Quantum theory of condensed matter II

Mesoscopic physics (Quantum transport)

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	Fri	10:00 - 12:00	9.2.01
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Sheet 2

1. Density of states in reduced dimensions

The density of states (DOS) is a basic quantity useful to characterize a physical system. Fingerprints of the shape of the density of states D(E) are observed both in the equilibrium state and non-equilibrium dynamics. The DOS is defined as:

$$D(E) = \sum_{i} \delta(E - \epsilon_i), \tag{1}$$

where i denotes the set of quantum numbers characterizing the system. E.g. for free electrons in semiconductors, it is

$$\epsilon_i = \epsilon_{\vec{k}\sigma} = \frac{\hbar^2 |\vec{k}|^2}{2m^*},\tag{2}$$

with m^* the effective mass, \vec{k} and σ the electron wave number and the spin.

- 1. Using equations (??) and (??), calculate the DOS for an electron gas in zero (quantum dot), one (quantum wire), two (2DEG), and three (bulk) dimensions. Sketch the function D(E).
- 2. Do you recognize any relation between dimensionality d, dispersion relation and energy dependence of D(E)?
- 3. What changes in the DOS if the electrons follow a linear dispersion relation instead of the quadratic one given in equation (??)?

(6 Points)

2. Two dimensional electron gases in semiconductor heterostructures

The effective conduction band edge of a heterostructure composed of several semiconducting crystals has a complex structure like the one depicted in Fig.??. At the interface between the substrate GaAs and the spacer AlGaAs, the conduction band forms an almost perfect triangular quantum well, as sketched in Fig.??, which, under specific conditions, hosts a two dimensional electron gas (2DEG).

1. Prove that the conduction band edge forms at the interface between the substrate GaAs and the spacer AlGaAs (i.e. $z \approx 0$) a triangular well given by

$$E_{\rm c}(z) = \frac{e^2 N_{\rm D} d}{\epsilon^*} z + \Delta E_{\rm c} \theta(-z), \qquad (3)$$

where $N_{\rm D}$ is the average density of Si donors in the n-doped AlGaAs layer of thickness d, e is the magnitude of the electronic charge, ϵ^* is the dielectric constant of GaAs. Moreover, ΔE_c is the conduction band discontinuity at the interface of AlGaAs and GaAs and $\theta(z)$ is the Heaviside function. The derivation of Eq. (??) rely on the assumption that the only free carriers in the GaAs are all the electrons of the Si donors.

Hint: Take advantage of the global charge neutrality of the system and think in terms of a plane capacitor. Apply Gauß theorem using a cylindrical box with top and bottom lid at the interface and far inside the substrate GaAs and calculate the electric field in the vicinity of z = 0.



Figure 1: The conduction band edge in a GaAs/AlGaAs heterostructure, with the characteristic triangular well forming at the interface. The donor charges of the n-doped layer are indicated. In red a sketch of the wavefunction for the lowest subband of the 2DEG.

2. The triangular well just calculated in the previous point supports a set of states bound in the z direction with energies ε_n . Assume $\Delta E_c \gg \varepsilon_1$ and solve the Schrdinger equation for the triangular well:

$$\left[-\frac{\hbar^2}{2m^*}\nabla^2 + E_c(z)\right]\psi(x, y, z) = \mathcal{E}\psi(x, y, z)$$
(4)

with m^* the effective electronic mass in GaAs. Prove that the energy eigenfunctions read

$$\psi_{n\vec{k}}(x,y,z) = \frac{1}{\mathcal{N}} e^{ik_x x} e^{ik_y y} \zeta_n(z) \tag{5}$$

where \mathcal{N} is a normalization factor and $\zeta_n(z)$ can be written in terms of the Airy function:

$$\zeta_n(z) = \operatorname{Ai}\left(\frac{eEz}{\varepsilon_0} + a_n\right) \tag{6}$$

where $E = \frac{eN_{\rm D}d}{\varepsilon^*}$, $\varepsilon_0 = \left[\frac{(\hbar eE)^2}{2m^*}\right]^{\frac{1}{3}}$, and a_n is the $n^{\rm th}$ zero of the Airy function. The first few zeros are: $a_1 = -2.338$, $a_2 = -4.088$, $a_3 = -5.521$, $a_4 = -6.787$.

Hint: The Airy function Ai(x) is one of the two solutions (the integrable one) of the Airy equation

$$\frac{d^2y}{dx^2} = xy$$

studied by the 19th century mathematician W. Airy (1801-1892) to understand the origin of multiple rain-bows. Transform at first Eq. (??) into the Airy equation. A variable rescaling $z = \ell \xi$ in Eq. (??) is useful to the purpose. The quantization is obtained by imposing $\zeta_n(0) = 0$. Can you justify this point?

3. Prove that the energy eigenvalues associated to $\psi_{n\vec{k}}$ are:

$$\mathcal{E}_{n\vec{k}} = \frac{\hbar^2 |\vec{k}|^2}{2m^*} + \varepsilon_n = \frac{\hbar^2 |\vec{k}|^2}{2m^*} - \varepsilon_0 a_r$$

thus defining a set of electronic subbands labelled by the quantum number n.

4. Calculate the Fermi energy $\varepsilon_{\rm F}$ corresponding to the electron density of the 2DEG and estimate at which temperature you expect to observe thermal population of the second subband (n = 2). Take as parameters $N_{\rm D} = 10^{23} \text{ m}^{-3}$, d = 25 nm, $\epsilon^* = 13\epsilon_0$, $m^* = 0.067 m_{\rm e}$ with $m_{\rm e}$ the bare electron mass.

Frohes Schaffen!