

## 7. Spin-orbit and double groups 7.1 Pure double group approach

We have already proved that the character of a rotation can be written

$$\chi(\Gamma^l(\phi)) = \frac{\sin[(2l+1)\phi/2]}{\sin(\phi/2)} \quad (4)$$

A more detailed analysis of the group of rotation (left to the continuous groups chapter) shows that  $\chi$  depends only on the commutation relations between the components of the generators of rotations.  
 $\Rightarrow$  the same result is valid for  $L, S$  and  $J=L+S$

$$\chi(\Gamma^j(\phi)) = \frac{\sin[(2j+1)\phi/2]}{\sin\phi/2}$$

Now, since  $l$  is an integer and  $s$  is an integer or a half integer,  $j$  can be integer or half integer.

$$\begin{aligned} \chi(\Gamma^j(\phi+2\pi)) &= \frac{\sin[(2j+1)\phi/2 + (2j+1)\pi]}{\sin[(\phi+2\pi)/2]} \\ &= \frac{\sin[(2j+1)\phi/2] \cos[(2j+1)\pi]}{\sin(\phi/2)} = (-1)^{2j} \chi(\Gamma^j(\phi)) \\ &= \chi(\Gamma^j(\phi)) \end{aligned}$$

$\Rightarrow$  if  $j$  is half integer  $\chi(\Gamma^j(\phi+2\pi)) = -\chi(\Gamma^j(\phi))$ . In other words we can distinguish the rotation of an angle  $\phi$  or  $\phi+2\pi$ . In config. space this is not possible but we are dealing with SPINORBITAL!

This result suggests to introduce a new operator  $\bar{E}$

$$\bar{E}R = \bar{R} = R((\phi+2\pi)k)$$

Def: A DOUBLE GROUP: Given a group  $G = \{R\}$  we define DOUBLE GROUP the group obtained by the direct sum  $\bar{G} = \bar{G} \oplus \bar{E}G$ .  $G = \{R\}$ ,  $\bar{E}G = \{\bar{E}R\}$ .

One should notice that  $\bar{G}$  contains twice as many elements as  $G$  but NOT necessarily twice the number of classes.

The number of new classes in  $\bar{G}$  is given by Opechowski's rules:

(1)  $\bar{C}_{2\vec{n}} = \bar{E}C_{2\vec{n}}$  and  $C_{2\vec{n}}$  are in the same class

iff there is in  $G$  a (proper or improper) rotation about another  $C_2$  axis normal to  $\vec{n}$ .

(2)  $\bar{C}_n = \bar{E}C_n$  and  $C_n$  are always in different classes  $n \neq 2$

(3) For  $n > 2$   $\bar{C}_n^k$  and  $\bar{C}_n^{-k}$  are in the same class as are  $C_n^k$  and  $C_n^{-k}$

Thus now we can more conveniently write

$$\chi[R(\phi\vec{n})] = \chi^j(\phi) = \frac{\sin[(2j+1)\phi/2]}{\sin[\phi/2]}$$

$$\chi[\bar{R}(\phi\vec{n})] = \bar{\chi}^j(\phi) = (-1)^{2j} \chi^j(\phi).$$

Examples the rotations in  $O$ :  $C_2, C_3, C_4$

	E	$C_2$	$C_3$	$C_4$
$\phi$	0	$\pi$	$\frac{2\pi}{3}$	$\frac{\pi}{2}$
$\chi^j$	$2j+1$	0	$\begin{cases} 1 & j = \frac{1}{2}, \frac{3}{2}, \dots \\ 0 & j = \frac{3}{2}, \frac{5}{2}, \dots \\ -1 & j = \frac{5}{2}, \frac{7}{2}, \dots \end{cases}$	$\begin{cases} \sqrt{2} & (j = \frac{1}{2}, \frac{3}{2}, \dots) \\ 0 & (j = \frac{3}{2}, \frac{5}{2}, \dots) \\ -\sqrt{2} & (j = \frac{5}{2}, \frac{7}{2}, \dots) \end{cases}$
$j = \frac{1}{2}$	2	0	1	$\sqrt{2}$
$j = \frac{3}{2}$	4	0	-1	0
$j = \frac{5}{2}$	6	0	0	$-\sqrt{2}$

Dealing with improper rotations the following rules hold:

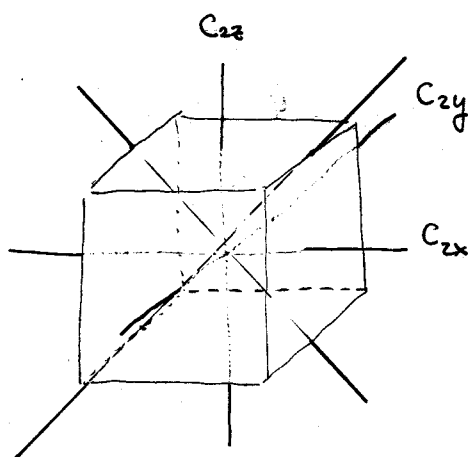
• if  $I \in G \Rightarrow I\psi = \pm\psi \therefore G = \{H\} \oplus I\{H\} \quad \chi[\Gamma^{\pm}(IR)] = \pm \chi[\Gamma^{\pm}(R)]$

• if  $I \notin G \Rightarrow G = \{H\} \oplus IR\{H\}$  is isomorphic with  $P = \{H\} + R\{H\}$   
 where  $R$  is a proper rotation and  $\{H\}$  is a subgroup of proper rotations  $\Rightarrow \chi[\Gamma^{\pm}(IR)] = \chi[\Gamma^{\pm}(R)]$

where  $R \in R\{H\}$  and  $IR \in G$  ( $C_{3h}$  is an example)

Examples  $\bar{O} = \{O\} + \bar{E}\{O\}$

The clones of  $O$  are  $\{E, 3C_2, 8C_3, 6C_4, 6C_2'\}$



From the Opechowski rules we know that  $\bar{C}_2$  belongs to the same class of  $C_2$  since there is  $C_{2x}, C_{2y}, C_{2z}$  which are orthogonal. The same happens to the  $6\bar{C}_2'$ . The (2) Opechowski's rule ensures instead that  $8\bar{C}_3$  and  $6\bar{C}_4$  are a new clones.

Summarizing

$$\bar{O} = \{E, 3\bar{C}_2, 8\bar{C}_3, 6\bar{C}_4, 6\bar{C}_2', \bar{E}, 8\bar{C}_3, 6\bar{C}_4\}$$

We have 3 new clones  $\Rightarrow$  3 new representations should appear.

$\bar{O}$	$\bar{3C}_2$					$\bar{6C}_2'$	$\bar{E}$	$\bar{8C}_3$	$\bar{6C}_4$	
	$E$	$3C_2$	$8C_2$	$6C_4$	$6C_2'$					
$A_1$	1	1	1	1	1	1	1	1	1	} vector representations
$A_2$	1	1	1	1	-1	1	1	-1		
$E$	2	2	-1	0	0	2	-1	0		
$T_1$	3	-1	0	1	-1	3	0	-1		
$T_2$	3	-1	0	-1	1	3	0	-1		
$E_{3/2}$	2	0	1	$\sqrt{2}$	0	-2	-1	$-\sqrt{2}$	} spinor representations	
$E_{5/2}$	2	0	1	$-\sqrt{2}$	0	-2	-1	$\sqrt{2}$		
$F_{3/2}$	4	0	-1	0	0	-4	1	0		

The characters of the table are derived as follows. From the operator's rules we obtain 3 further IR. From the rules of  $\bar{O}$  we get  $l_6^2 + l_7^2 + l_8^2 = 24 \Rightarrow l_6 = 2, l_7 = 2, l_8 = 4$ . The Mulliken notation has been extended by Herzberg including E, F, G, H representation with dimensionality 2, 4, 6, 8. The subscript is the value of  $j$  which corresponds to the representation  $\Gamma_j$  in which that IR first occurs. First occur means that one uses the following argument.

$$E_{3/2} = \Gamma_{3/2} = \sum_T |\chi_{3/2}(T)|^2 = 1(4) + 8(1) + 6(2) = 24 = \bar{3}_{3/2} \leftarrow \text{IR}$$

$$F_{3/2} \quad \Gamma_{3/2} = \sum_T |\chi_{3/2}(T)|^2 = 1(16) + 8(1) = 24 = \bar{3}_{3/2} \leftarrow \text{IR}$$

$$\Gamma_{5/2} = \sum_T |\chi_{5/2}(T)|^2 = 1(36) + 6(2) + 6(2) = 48 > \bar{3}_{3/2} \leftarrow \text{This representation is reducible.}$$

$\Gamma_{5/2}$  is reducible. Using the reduction formula one obtains

$$c(\Gamma_{3/2}) = 0 \quad c(\Gamma_{5/2}) = 1$$

$$\Gamma_{5/2} - \Gamma_{3/2} = \{2 \ 1 \ 0 \ -\sqrt{2} \ 0 \ -2 \ -1 \ \sqrt{2}\} = E_{5/2}.$$

## 7.2 The geometry of rotations

(following Atiyah  
Rotations, Quaternions and Double Groups)  
Oxford 1986

We start by saying that a generic rotation can be parametrized by an axis  $\vec{n}$  of rotation and a rotation angle  $\phi$ . It is thus conventionally taken  $0 \leq \phi < 2\pi$ . In reality one can shift the interval of an arbitrary angle and thus, for example assume  $-\pi < \phi \leq \pi$ .

Somehow it is convenient to introduce the concept of pole (Sylvester 1850). Let us take a unit sphere (a sphere of radius 1 in coordinate space) and represent the rotations as rotations of the points of this sphere.

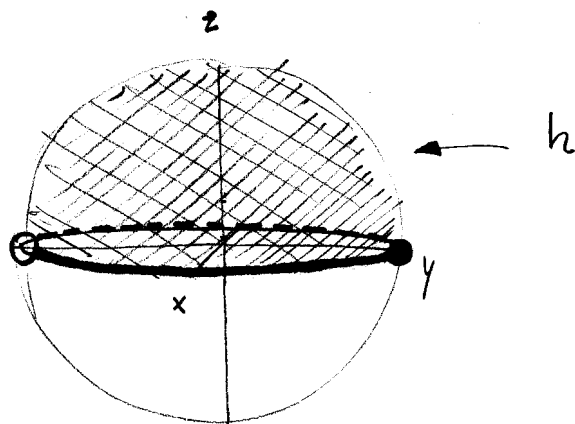
Def **POLE** A pole of a rotation is the point of the unit sphere that is invariant under rotation and such that the rotation is seen as counterclockwise from outside the sphere.

Symbolically, for each rotation  $g$  we identify with  $\Pi(g)$  the pole.

If we define the rotation with  $R(\phi, \vec{n})$  it is clear that  $R(\phi, \vec{n}) = R(-\phi, -\vec{n})$  and the distinction between positive and negative angles is arbitrary. Now having introduced the concept of pole,  $R(\phi, \vec{n})$  and  $R(-\phi, \vec{n})$  have antipodal poles. More consistently we can define  $R(\phi, \vec{n})$  and  $R(\phi, -\vec{n})$  and take  $\phi$  always positive.  $\Pi(g)$  can belong to the positive or negative hemisphere (disjoint areas of the unit sphere) and  $0 \leq \phi \leq \pi$ . Let's call  $h$  the positive hemisphere and  $\bar{h}$  the negative one. One possible definition:

$(xyz) \in h$  if  $(xyz) \in$  unit sphere and

- (i)  $z > 0$  or
- (ii)  $z = 0, x > 0$  or
- (iii)  $z = 0, x = 0, y > 0$



The usefulness of the concept of poles can be seen for example in the calculation of conjugation classes. By definition

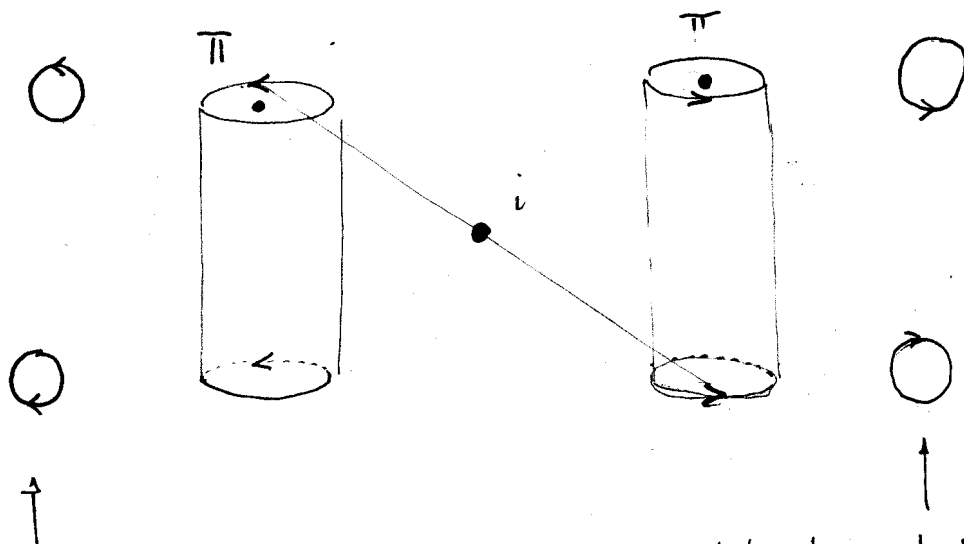
$$g_i \pi(g_i) = \pi(g_i)$$

We define  $g \pi(g_i) = \pi(g_i g)$  the conjugated pole of  $\pi(g_i)$  through  $g$ . As the notation anticipates

$$g_i g \pi(g_i) = g g_i g^{-1} g \pi(g_i) = g g_i \pi(g_i) = g \pi(g_i)$$

$\rightarrow g \pi(g_i)$  is invariant under  $g_i g$   $\Rightarrow g \pi(g_i) = \pi(g_i g)$ . Let us take for example  $D_3 = \{E, 3C_2', 2C_3\}$ . It is now clear that the 3  $C_2'$  dihedral axis are connected by the  $C_3^+$  and  $C_3^-$  which rotate their poles by  $120^\circ$ .

About improper rotations if we limit ourself to say that  $\pi(g) = \pi(ig)$



the rotation seen from outside the solid does not change under inversion.



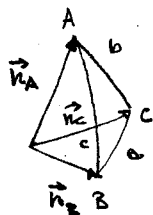
- About the angle  $\gamma$  let's consider the transformation of the composite rotation on the pole  $B$ .  $R(\beta \vec{n}_B)$  leaves it invariant while  $R(\alpha \vec{n}_A)$  takes it from  $B$  to  $B'$ . It is clear from the construction that the same effect is obtained by a rotation of  $\gamma$  around  $c$ .

This is the geometrical construction of the composite rotation. Using concept of spherical trigonometry it is possible to prove the following relation

$$(ER) \quad \begin{aligned} \cos \frac{\gamma}{2} &= \cos \frac{\alpha}{2} \cos \frac{\beta}{2} - \sin \frac{\alpha}{2} \sin \frac{\beta}{2} (\vec{n}_A \cdot \vec{n}_B) \\ \sin \frac{\gamma}{2} \vec{n}_C &= \sin \frac{\alpha}{2} \cos \frac{\beta}{2} \vec{n}_A + \cos \frac{\alpha}{2} \sin \frac{\beta}{2} \vec{n}_B + \sin \frac{\alpha}{2} \sin \frac{\beta}{2} (\vec{n}_A \times \vec{n}_B) \end{aligned}$$

proof

$$(*) \quad A = \frac{\alpha}{2} \quad B = \frac{\beta}{2} \quad C = \pi - \frac{\gamma}{2}$$



The proof starts with the cosine theorem of spherical trigonometry

$$\cos a = \cos b \cos c + \sin b \sin c \cos A \quad (1)$$

[proof of the cosine theorem

$$\cos a = \vec{n}_B \cdot \vec{n}_C \quad \cos b = \vec{n}_A \cdot \vec{n}_C \quad \cos c = \vec{n}_A \cdot \vec{n}_B$$

$\cos A = \vec{t}_b \cdot \vec{t}_c$  where  $\vec{t}_b$  and  $\vec{t}_c$  are unitary vectors tangent to  $a$  and  $b$  by construction  $\vec{t}_b$  belongs to the plane defined by  $\vec{n}_A$  and  $\vec{n}_C$  and it is  $\perp$  to  $\vec{n}_A$ . Analogously for  $\vec{t}_c$

$$\vec{t}_b = \frac{\vec{n}_C - (\vec{n}_C \cdot \vec{n}_A) \vec{n}_A}{|\vec{n}_C - (\vec{n}_C \cdot \vec{n}_A) \vec{n}_A|} = \frac{\vec{n}_C - \vec{n}_A \cos b}{\sqrt{(\vec{n}_C - \vec{n}_A \cos b) \cdot (\vec{n}_C - \vec{n}_A \cos b)}} = \frac{\vec{n}_C - \vec{n}_A \cos b}{\sqrt{1 - 2 \cos^2 b + \cos^2 b}} = \frac{\vec{n}_C - \vec{n}_A \cos b}{\sin b}$$

$$\vec{t}_c = \frac{\vec{n}_B - (\vec{n}_B \cdot \vec{n}_A) \vec{n}_A}{|\vec{n}_B - (\vec{n}_B \cdot \vec{n}_A) \vec{n}_A|} = \frac{\vec{n}_B - \cos c \vec{n}_A}{\sin c}$$



$$\Rightarrow \cos A = \frac{\vec{n}_c - \vec{n}_A \cos b}{\sin b} \cdot \frac{\vec{n}_B - \cos c \vec{n}_A}{\sin c} = \frac{\cos c + \cos b \cos c - \cos b \cos c - \cos b \cos c}{\sin b \sin c}$$

$$= \frac{\cos a - \cos b \cos c}{\sin b \sin c} \quad \square$$

First consider the supplementary triangle with dihedral angles  $A', B', C'$  and sides  $a', b', c'$  such that

$$\begin{aligned} A' + a &= a' + A = \pi \\ B' + b &= b' + B = \pi \\ C' + c &= c' + C = \pi \end{aligned} \quad (2)$$

$$\Rightarrow \cos a' \mapsto -\cos A \quad \sin c' \mapsto \sin A \quad \cos A' \mapsto -\cos a \quad (3)$$

$$(1) \mapsto \cos a' = \cos b' \cos c' + \sin b' \sin c' \cos A'$$

$$\Downarrow (3)$$

$$\cos A = -\cos B \cos C + \sin B \sin C \cos a$$

Now by cycling

$$\cos C = -\cos A \cos B + \sin A \sin B \cos c$$

and inserting  $\cos c = \vec{n}_A \cdot \vec{n}_B$

$$(I) \quad \boxed{\cos C = -\cos A \cos B + \sin A \sin B (\vec{n}_A \cdot \vec{n}_B)}$$

The task of finding  $\vec{n}_c$  from  $A, B$  and  $\vec{n}_A, \vec{n}_B$  is harder. First of all one needs to get to the sin theorem:

$$\frac{\sin A}{\sin a} = \frac{\sin B}{\sin b} = \frac{\sin C}{\sin c}$$

proof of the sin theorem

$$(\vec{n}_A \times \vec{n}_B) \times (\vec{n}_A \times \vec{n}_C) = (\vec{n}_A \times \vec{n}_B \cdot \vec{n}_C) \vec{n}_A$$

as can be proven using the relations

$$(\vec{n}_A \times \vec{n}_B)_k = \sum_{ij} \epsilon_{ijk} n_{Ai} n_{Bj}$$

$$\sum_k \epsilon_{ijk} \epsilon_{hmk} = (\delta_{ih} \delta_{jm} - \delta_{im} \delta_{jh})$$

$$(\vec{n}_A \times \vec{n}_C)_n = \sum_{lm} \epsilon_{lmn} n_{Al} n_{Cm}$$

$$\epsilon_{ijk} = \epsilon_{kij} = \epsilon_{jki} \quad \epsilon_{ijk} = -\epsilon_{jik}$$

$$\left[ (\vec{n}_A \times \vec{n}_B) \times (\vec{n}_A \times \vec{n}_C) \right]_r = \sum_{kh} \epsilon_{khr} \sum_{ij} \epsilon_{ijk} \sum_{lm} \epsilon_{lmn} n_{Ai} n_{Bj} n_{Al} n_{Cm} =$$

$$= \sum_{kijlm} \epsilon_{khr} \epsilon_{ijk} \epsilon_{lmn} n_{Ai} n_{Bj} n_{Al} n_{Cm} = \sum (\delta_{ni} \delta_{rj} - \delta_{nj} \delta_{ri}) \epsilon_{lmn} n_{Ai} n_{Bj} n_{Al} n_{Cm} =$$

$$= \sum_{ijlm} \epsilon_{lmi} n_{Ai} n_{Bj} n_{Al} n_{Cm} - \sum_{ijlm} \epsilon_{lmj} n_{Ar} n_{Bj} n_{Al} n_{Cm} =$$

$$= 0$$

$$n_{Ai} n_{Bj} n_{Al} n_{Cm} = n_{Al} n_{Bj} n_{Ai} n_{Cm}$$

$$\text{but } \epsilon_{lmi} = -\epsilon_{ilm}$$

$$= \sum_{ijlm} (\epsilon_{ljm} n_{Al} n_{Bj}) n_{Cm} n_{Ar} = ((\vec{n}_A \times \vec{n}_B) \cdot \vec{n}_C) \vec{n}_A$$

using the fact that  $|\vec{n}_A| = 1$

$$|(\vec{n}_A \times \vec{n}_B) \cdot \vec{n}_C| = |\vec{n}_A \times \vec{n}_B \cdot \vec{n}_C| = \left| \sum_{ijk} \epsilon_{ijk} n_{Ai} n_{Bj} n_{Ck} \right|$$

$$\begin{array}{ccc} \downarrow & & \downarrow \\ \sin c \sin A \sin b & = & |\vec{n}_A \times \vec{n}_B \cdot \vec{n}_C| \end{array}$$

$$\sin a \sin B \sin c = |\vec{n}_B \times \vec{n}_C \cdot \vec{n}_A|$$

$$\sin b \sin C \sin a = |\vec{n}_C \times \vec{n}_A \cdot \vec{n}_B|$$

divide by  $\sin a \sin b \sin c$  end of the proof of the sin theorem.

$\vec{n}_c$  can be expressed in the form

$$\vec{n}_c = f \vec{n}_A + g \vec{n}_B + h \underbrace{(\vec{n}_A \times \vec{n}_B)}_{\vec{n}_\perp}$$

$f$ ,  $g$ , and  $h$  can be obtained by introducing the reciprocal vectors

$$\left. \begin{aligned} \vec{n}_A^* &= \frac{\vec{n}_B \times \vec{n}_\perp}{(\vec{n}_B \times \vec{n}_\perp) \cdot \vec{n}_A} \\ \vec{n}_B^* &= \frac{\vec{n}_\perp \times \vec{n}_A}{(\vec{n}_\perp \times \vec{n}_A) \cdot \vec{n}_B} \\ \vec{n}_\perp^* &= \frac{\vec{n}_A \times \vec{n}_B}{(\vec{n}_A \times \vec{n}_B) \cdot \vec{n}_\perp} \end{aligned} \right\} \Rightarrow \begin{aligned} \vec{n}_A^* \cdot (\vec{n}_B \text{ or } \vec{n}_\perp) &= 0 \\ \vec{n}_A \cdot \vec{n}_A^* &= 1 \\ \text{and analogously for the others} \end{aligned}$$

$$\vec{n}_\perp^* = \vec{n}_\perp$$

The normalization of the three reciprocal vectors is the same (as proven on page 75)  $\Rightarrow$  we can use the rule of  $\vec{n}_\perp^*$

$$(\vec{n}_A \times \vec{n}_B) \cdot \vec{n}_\perp = |\vec{n}_\perp|^2 = |\vec{n}_A \times \vec{n}_B|^2 = \sin^2 c = 1 - \cos^2 c = 1 - (\vec{n}_A \cdot \vec{n}_B)^2$$

$$\bullet f = \vec{n}_c \cdot \vec{n}_A^* = \frac{\vec{n}_c \cdot [\vec{n}_B \times (\vec{n}_A \times \vec{n}_B)]}{1 - (\vec{n}_A \cdot \vec{n}_B)^2}$$

$$\begin{aligned} [\vec{n}_B \times (\vec{n}_A \times \vec{n}_B)]_k &= \sum_{ij} n_{Bi} (\vec{n}_A \times \vec{n}_B)_j \epsilon_{ijk} = \sum_{ij, lm} n_{Bi} n_{Aj} n_{Bm} \epsilon_{lmj} \epsilon_{ijk} = \\ &= \sum_{ij, lkm} n_{Bi} n_{Aj} n_{Bm} \epsilon_{lmj} \epsilon_{kij} = \sum_{i, lkm} n_{Bi} n_{Aj} n_{Bm} (\delta_{lk} \delta_{mi} - \delta_{li} \delta_{mk}) \\ &= \sum_i n_{Bi} n_{Ak} n_{Bi} - \sum_i n_{Bi} n_{Ai} n_{Bk} = |\vec{n}_B|^2 (\vec{n}_A - (\vec{n}_A \cdot \vec{n}_B) \vec{n}_B)_k \end{aligned}$$

$$f = \frac{\vec{n}_A \cdot \vec{n}_c - (\vec{n}_A \cdot \vec{n}_B)(\vec{n}_B \cdot \vec{n}_c)}{1 - (\vec{n}_A \cdot \vec{n}_B)^2}$$

$$\bullet \vec{g} = \vec{n}_c \cdot \vec{n}_B^* = \frac{\vec{n}_c \cdot [(\vec{n}_A \times \vec{n}_B) \times \vec{n}_A]}{1 - (\vec{n}_A \cdot \vec{n}_B)^2} = \frac{\vec{n}_B \cdot \vec{n}_c - (\vec{n}_A \cdot \vec{n}_B)(\vec{n}_A \cdot \vec{n}_c)}{1 - (\vec{n}_A \cdot \vec{n}_B)^2}$$

$$[(\vec{n}_A \times \vec{n}_B) \times \vec{n}_A] = [\vec{n}_A \times (\vec{n}_B \times \vec{n}_A)] = \vec{n}_B - (\vec{n}_A \cdot \vec{n}_B) \vec{n}_A$$

$$\bullet \vec{h}_c = \frac{\vec{n}_c \cdot (\vec{n}_A \times \vec{n}_B)}{1 - (\vec{n}_A \cdot \vec{n}_B)^2}$$

$$\vec{n}_c = \frac{[\vec{n}_A \cdot \vec{n}_c - (\vec{n}_A \cdot \vec{n}_B)(\vec{n}_B \cdot \vec{n}_c)] \vec{n}_A + [\vec{n}_B \cdot \vec{n}_c - (\vec{n}_A \cdot \vec{n}_B)(\vec{n}_A \cdot \vec{n}_c)] \vec{n}_B + [\vec{n}_c \cdot (\vec{n}_A \times \vec{n}_B)] (\vec{n}_A \times \vec{n}_B)}{1 - (\vec{n}_A \cdot \vec{n}_B)^2}$$

$$= \frac{(\cos b - \cos c \cos a) \vec{n}_A + (\cos a - \cos c \cos b) \vec{n}_B + \sin c \sin b \sin A (\vec{n}_A \times \vec{n}_B)}{\sin c^2}$$

∥ multiplying on both sides by  $\sin c$ .

$$\sin c \vec{n}_c = \frac{\cos b - \cos c \cos a}{\sin a \sin c} \sin a \vec{n}_A + \frac{\cos a - \cos c \cos b}{\sin c \sin b} \sin b \vec{n}_B +$$

$$+ \sin b \sin A (\vec{n}_A \times \vec{n}_B)$$

$$= \cos B \sin c \vec{n}_A + \cos A \sin b \vec{n}_B + \sin b \sin A (\vec{n}_A \times \vec{n}_B)$$

equivalently, due to the sin theorem

$$(II) \quad \sin C \vec{n}_c = \cos B \sin A \vec{n}_A + \cos A \sin B \vec{n}_B + \sin B \sin A (\vec{n}_A \times \vec{n}_B)$$

by inserting in (I) and (II) the conditions (\*) on the angles A, B, C one obtains the Euler Rodrigues formulas (ER)

From the (ER) it is clear that it is most convenient to replace the  $\phi$  and  $\vec{n}$  by the new parameters

$$\lambda = \cos \frac{\phi}{2} \quad \vec{\Lambda} = \sin \frac{\phi}{2} \vec{n}$$

The composition of rotation can thus be written in the form

$$R(\lambda_1; \vec{\Lambda}_1) R(\lambda_2; \vec{\Lambda}_2) = R(\lambda_3; \vec{\Lambda}_3)$$

where

$$\lambda_3 = \lambda_1 \lambda_2 - \vec{\Lambda}_1 \cdot \vec{\Lambda}_2$$

$$\vec{\Lambda}_3 = \lambda_1 \vec{\Lambda}_2 + \lambda_2 \vec{\Lambda}_1 + \vec{\Lambda}_1 \times \vec{\Lambda}_2$$

Remarks

i)  $R(\phi, \vec{n}) > R(\lambda, \vec{\Lambda})$  the Rodrigues parameters  
 $R(-\phi, -\vec{n})$  correctly assign the same rotation to the 2 cases.

ii) On the other hand  $\phi \rightarrow \phi + 2\pi$   $(\lambda, \vec{\Lambda}) \rightarrow (-\lambda, -\vec{\Lambda})$   
 this tells us that the Rodrigues parameters can distinguish the history of a rotation. (see later about this point)

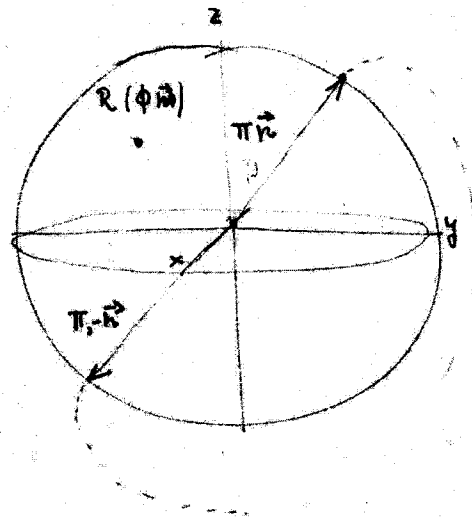
iii) The Rodrigues parameters are 4 while a rotation can be identified by 3 parameters (example  $\phi$  and direction of  $\vec{n}$ ). Notice that

$$\lambda^2 + |\vec{\Lambda}|^2 = 1$$

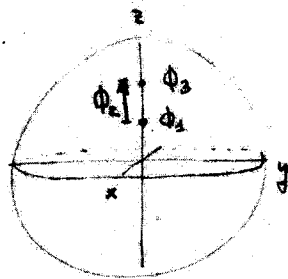
### 7.3 The topology of rotations

From the definition of pole and the parametrization of the rotations using the unit sphere with positive and negative hemispheres it is now natural to introduce the PARAMETRIC BALL as the set of points contained inside a sphere of radius  $\pi$ . The points of this ball are in  $1 \leftrightarrow 1$  correspondence with the full group of rotations. To be more precise one should peel off from the parametric ball one of the hemispheres to avoid a double counting of  $R(\pi \vec{n}) = R(\pi, -\vec{n})$ . An alternative way consists in taking the entire parametric ball with identification of all antipodal points:

$R(\pi \vec{n})$  is a binary rotation ( $C_2$ )



The composition of infinitesimal rotations generates paths in the parametric ball. As a simple example of path let us take 2 rotations around the z axis such that  $\phi_1 + \phi_2 = \phi_3 < \pi$ . We can represent the composition in the parametric ball as



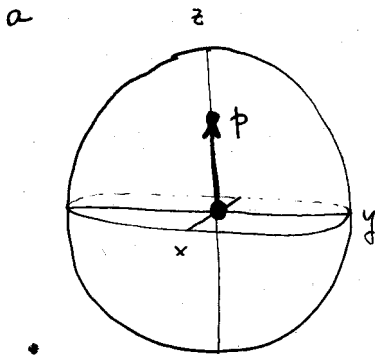
In general a PATH is a line in the parametric ball that describes the change of the parametric point as a succession of rotations is effected.

There are infinite different paths connecting the origin to the parametric point  $p$ . Let's analyze the following 2:

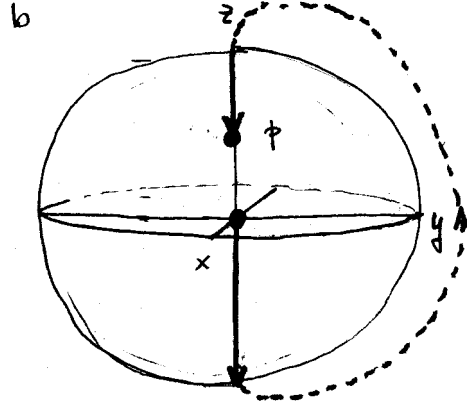
PATH 1

PATH 2

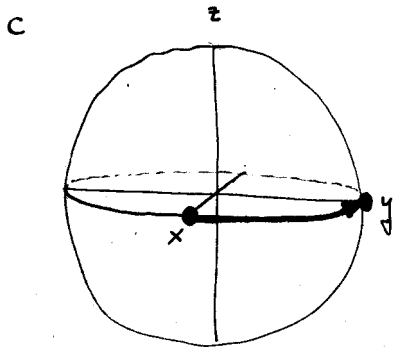
PARAMETRIC BALL



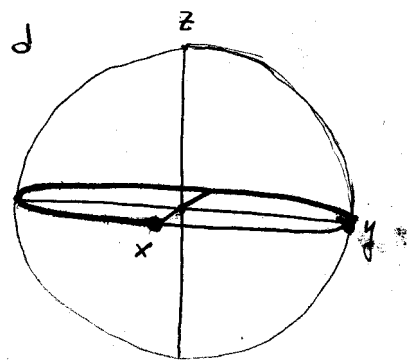
$\pi$



UNIT SPHERE



1



$\phi = \frac{\pi}{2} \hat{z}$  is the same in a and b  $\Rightarrow$  the rotations are the same, but the path is different as clearly seen in all figures. In particular, path 2 has a "jump" between identified antipodal points while PATH 1 shows no "jump".

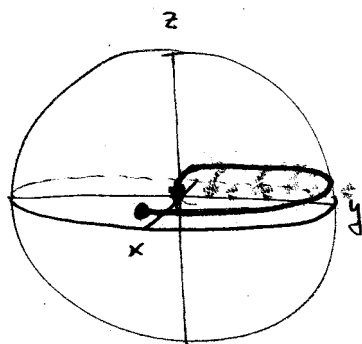
The paths can be easily calculated using the Euler construction and/or the (ER). Let us consider the rotation composed by  $C_{xx}C_{zz}$  when the rotation around  $\hat{x}$  is of an infinitesimal angle  $\alpha$ . Let's call  $\vec{n}$  the parametrization of the 'composite rotation'

$$(ER) \quad \cos \frac{\phi}{2} = \cancel{\cos \frac{\alpha}{2} \cos \frac{\pi}{2}} - \sin \frac{\alpha}{2} \sin \frac{\pi}{2} (\hat{x} \cdot \hat{z}) = 0 \Rightarrow \phi = \pi$$

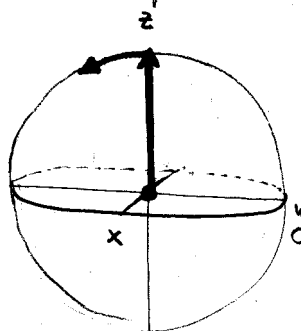
$$\cancel{\sin \frac{\pi}{2} \vec{n}} = \cancel{\sin \frac{\alpha}{2} \cos \frac{\pi}{2} \hat{x}} + \cos \frac{\alpha}{2} \cancel{\sin \frac{\pi}{2} \hat{z}} + \sin \frac{\alpha}{2} \sin \frac{\pi}{2} (\hat{x} \times \hat{z}) =$$

$$\vec{n} = \cos \frac{\alpha}{2} \hat{z} - \sin \frac{\alpha}{2} \hat{y} \quad (\text{notice that the result is valid } \forall \alpha)$$

Since  $\gamma = \pi$  the parameter point moves on the surface of the parameter ball. The expression for  $\vec{n}$  indicates that the pole moves in the  $\hat{z}, \hat{y}$  plane towards smaller  $\hat{z}$  and larger (negative)  $\hat{y}$ .



UNIT SPHERE



PARAMETER BALL

It should be noticed that, as  $\alpha \rightarrow \pi$  the path approaches the point  $(0, -\pi, 0)$  where the surface has a "jump" to  $(0, \pi, 0)$  since  $(0, -\pi, 0)$  belongs to the negative hemisphere. The same point  $(0, \pi, 0)$  can be reached also through the path  $C_x \times C_z$  without "jumps". We have taken for this purpose the same definition of  $h$  and  $\vec{h}$  as in the unit sphere.

Idea: paths can be deformed continuously both on the unit sphere and in the parameter ball.

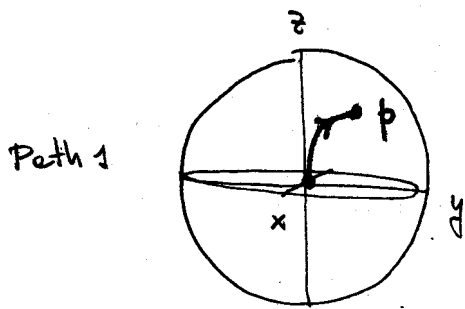
Def: homotopy: Two continuous paths in parameter space are said homotopic if they can be continuously deformed one into the other.

Def: class of homotopy: all paths homotopic to each other form a class of homotopy

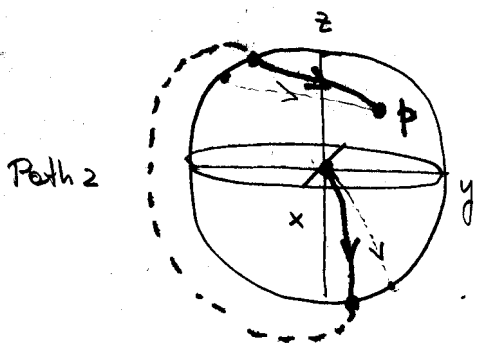
Theorem: In the parametric ball (the parametric space of the proper rotation group -  $SO(3)$  the group of the special ( $\det M_g = 1$ ) orthogonal matrices of dimension 3 -) there are only 2 class of homotopy: paths without jumps and path with 1 jump.



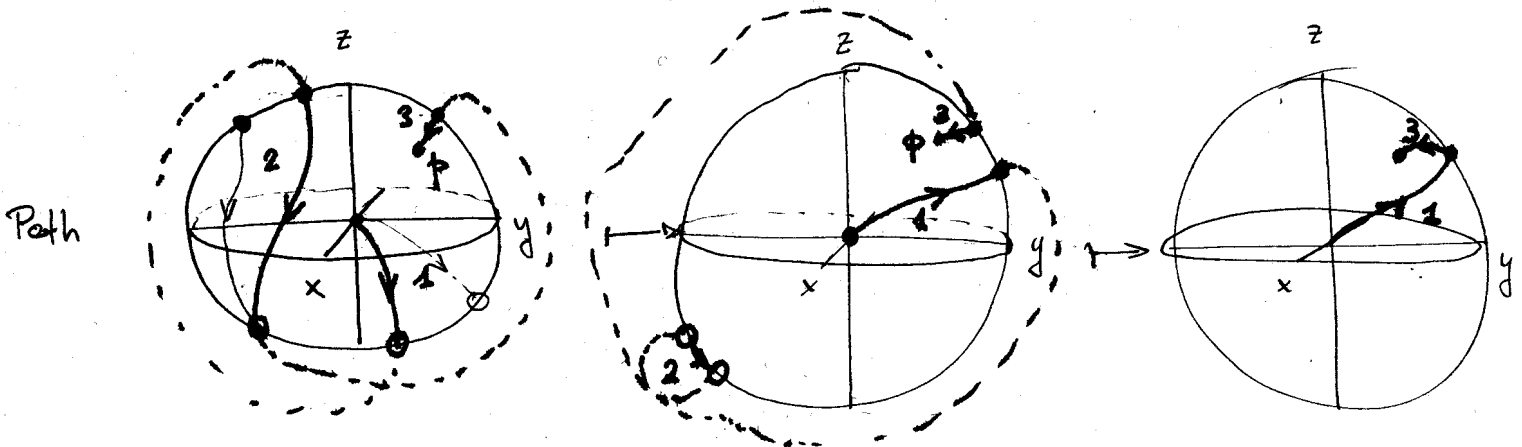
proof A path without a jump is all contained in 1 hemisphere  
 $\Rightarrow$  it does not contain antipodal point. A path containing  
 1 jump contains 1 pair of antipodal points. Antipodal  
 points move "together" in infinitesimal transformation  $\Rightarrow$   
 remaining always in distinct hemispheres. It remains  
 to be proven that 2 pairs of antipodal points can  
 always be eliminated: graphically:



path without jumps (class 0)



path with 1 jump (class 1)  
 the depicted deformation of the path  
 shows the impossibility to deform path 2  
 into path 1.



the next step in the deformation is already PATH 1.

We can define a projective representation of  $SO(3)$  in the following way. Let's take 3 elements  $g_i, g_j, g_k$  of  $SO(3)$  such that  $g_i g_j = g_k$ . A projective representation

$$\check{G}(g_i) \check{G}(g_j) = [g_i, g_j] \check{G}(g_k)$$

where  $[g_i, g_j] = +1$  if the path  $g_i, g_j$  is of class 0  
 $-1$  if the path  $g_i, g_j$  is of class 1

$\check{G}(g_i g_j)$  is fixed but the sign is determined by the class of homotopy of the path  $g_i, g_j$ . If  $g_i' g_j' = g_k$  and  $g_i', g_j'$  has the same class of homotopy of  $g_i, g_j \Rightarrow$  the sign does not change.

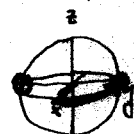
- Every element  $g \in SO(3)$  is mapped in a parametric point  $p_g$  by a path of class 0 starting in the origin ( $0 \vec{v}$  map of the identity  $E$ ) and ending in  $p_g$ .
- $SO(3)$  is not simply connected since not all loops in its parameter space can be continuously contracted to a point (it is doubly connected)
- $R(2\pi \vec{v}) = R(0 \vec{v})$  is an operation in  $SO(3)$ . Nevertheless, as all points in the parameter space, it can be reached from the origin by 2 classes of loops.
- The idea of a turn by  $2\pi$  is handy to classify different classes of homotopy.

## 7.4 The spinor representations

First we want to determine the class of homotopy enclosed by the path  $g_i, g_j$ . Let us take as an example  $C_{zz}, C_{zx}$  and  $C_{xz}, C_{zz}$

$[C_{zz}, C_{zx}] = +1$  the entire path in  $h$

$[C_{xz}, C_{zz}] = -1$  the path ends in  $\bar{h}$



projective factors

For large groups it is more convenient an algebraic method based on the (ER).

• The standard parametric points are either in the parametric ball or on  $h$ .  $\Rightarrow$  all standard parametric points are reached by path of class 0 from the origin.

• Standard ER parameters are obtained from the previous point

$$0 \leq \phi \leq \pi \Rightarrow \lambda = \cos \frac{\phi}{2} \geq 0 \quad \vec{\Lambda} = \sin \frac{\phi}{2} \vec{n} \in h \text{ positive rotations}$$

$\vec{n}$  negative rotations

The standard ER parameters are the set

$$\lambda_g > 0 \quad \text{or} \quad \lambda_g = 0 \quad \Lambda \in h \leftarrow \text{binary rotations.}$$

$$g \in SO(3) \longleftrightarrow p_g \text{ standard parametric point} \longleftrightarrow (\lambda_g; \vec{\Lambda}_g) \text{ standard ER parameters}$$

$R(-\lambda_g; -\vec{\Lambda}_g)$  correspond to the same  $p_g$  but reached via a path of class 1. The path from  $R(\lambda_g, \vec{\Lambda}_g)$  to  $R(-\lambda_g, \vec{\Lambda}_g)$  is obtained

•  $\lambda_g \neq 0$  as a  $2\pi$  rotation of  $\phi \rightarrow \phi + 2\pi$

•  $\lambda_g = 0$  as a pure "jump" between antipodal points

$$\begin{aligned} R(\lambda_1; \vec{\Lambda}_1) R(\lambda_2; \vec{\Lambda}_2) &= R(\lambda_1 \lambda_2 - \vec{\Lambda}_1 \cdot \vec{\Lambda}_2; \lambda_1 \vec{\Lambda}_2 + \lambda_2 \vec{\Lambda}_1 + \vec{\Lambda}_1 \times \vec{\Lambda}_2) \\ &= R(\lambda_3; \vec{\Lambda}_3) \end{aligned}$$

if  $\lambda_3 > 0$  or  $\lambda_3 = 0$  and  $\vec{\Lambda}_3 \in \mathfrak{h}$

$$\Rightarrow [g_1, g_2] = 1$$

if  $\lambda_3 < 0$  or  $\lambda_3 = 0$  and  $\vec{\Lambda}_3 \in \bar{\mathfrak{h}}$

$$\Rightarrow [g_1, g_2] = -1$$

Examples:

i)  $[C_2, C_2] = -1 \quad \forall \vec{n}$

$$R(0; \vec{n}) R(0; \vec{n}) = R(-1, \vec{0}) \quad \lambda < 0$$

ii)  $[C_3^+, C_3^+] = -1$

$$C_3^+ : \lambda = \frac{1}{2}, \quad \vec{\Lambda} = \frac{\sqrt{3}}{2} (001)$$

$$C_3^- : \lambda' = \frac{1}{2}, \quad \vec{\Lambda}' = \frac{\sqrt{3}}{2} (00\bar{1})$$

$$\lambda_3 = \frac{1}{4} - \frac{3}{4} = -\frac{1}{2} \quad \vec{\Lambda}_3 = \left( \frac{\sqrt{3}}{4} + \frac{\sqrt{3}}{4} \right) (001) = \frac{\sqrt{3}}{2} (001)$$

$$\lambda_3 = -\lambda' \quad \vec{\Lambda}_3 = -\vec{\Lambda}'$$

The interlocking theorem is fundamental to understand the characters of a spinor representation.

Def:  $g_i$  and  $g_j$  are interlocked by  $g$  if

$$(1) \quad gg_i = g_i g$$

- if  $i=j \Rightarrow g_i$  interlocked with  $g \Leftrightarrow [g, g_i] = 0$   $g$  and  $g_i$  commute

- if  $i \neq j \rightarrow g_i = g_i g$  the conjugate of  $g_i$  through  $g$ .

By using (1) we conclude that

$$\boxed{gg_i = g_i g} \quad \text{that is } g_i \text{ and } g_i g \text{ are interlocked}$$

Theorem - The following relations between projective factors hold

i)  $[g, g_i] = [g_i g, g]$  (non-commuting rotations)

ii)  $[g, g_i] = [g_i, g]$  (coaxial rotations)

iii)  $[g, g_i] = -[g_i, g]$  (bilateral binary,  $C_{2n} C_{2m} \quad n \perp m$ )

proof i)  $g \leftrightarrow (\lambda, \vec{\Lambda}) \quad g_i \leftrightarrow (\lambda_i, \vec{\Lambda}_i)$

the ER parameters for the composite rotation  $gg_i$  are

$$(\lambda \lambda_i - \vec{\Lambda} \cdot \vec{\Lambda}_i, \lambda \vec{\Lambda}_i + \lambda_i \vec{\Lambda} + \vec{\Lambda} \times \vec{\Lambda}_i)$$

In order to proceed we need to know the ER parameters for inverse or conjugate rotation. We obtain both:

-  $gg^{-1} = E \quad g \leftrightarrow \lambda, \vec{\Lambda} \quad g^{-1} \leftrightarrow \lambda', \vec{\Lambda}' \quad E \leftrightarrow 1, \vec{0}$

$$gg^{-1} \leftrightarrow \lambda \lambda' - \vec{\Lambda} \cdot \vec{\Lambda}', \lambda \vec{\Lambda}' + \lambda' \vec{\Lambda} + \vec{\Lambda} \times \vec{\Lambda}'$$

$\lambda' = \lambda \quad \vec{\Lambda}' = -\vec{\Lambda}$  as it was to be expected (same angle, opposite pole)

-  $g_i g = gg_i g^{-1}$  one has to obtain it in pieces

$$\begin{aligned}
gg^{-1} &= (gg)g^{-1} = R(\lambda\lambda_i - \vec{\Lambda} \cdot \vec{\Lambda}_i, \lambda\vec{\Lambda}_i + \lambda_i\vec{\Lambda} + \vec{\Lambda} \times \vec{\Lambda}_i) R(\lambda, -\vec{\Lambda}) \\
&= R\left[\lambda\lambda_i - \vec{\Lambda} \cdot \vec{\Lambda}_i, \lambda - (\lambda\vec{\Lambda}_i + \lambda_i\vec{\Lambda} + \vec{\Lambda} \times \vec{\Lambda}_i) \cdot (-\vec{\Lambda})\right], \\
&\quad (\lambda\lambda_i - \vec{\Lambda} \cdot \vec{\Lambda}_i)(-\vec{\Lambda}) + \lambda(\lambda\vec{\Lambda}_i + \lambda_i\vec{\Lambda} + \vec{\Lambda} \times \vec{\Lambda}_i) + (\lambda\vec{\Lambda}_i + \lambda_i\vec{\Lambda} + \vec{\Lambda} \times \vec{\Lambda}_i) \times (-\vec{\Lambda}) \\
&= R\left[\lambda\lambda_i - \vec{\Lambda} \cdot \vec{\Lambda}_i, (\vec{\Lambda} \cdot \vec{\Lambda}_i)\vec{\Lambda} + \lambda^2\vec{\Lambda}_i + 2\lambda\vec{\Lambda} \times \vec{\Lambda}_i - (\vec{\Lambda} \times \vec{\Lambda}_i) \times \vec{\Lambda}\right] \\
&= R\left[\lambda_i, 2(\vec{\Lambda} \cdot \vec{\Lambda}_i)\vec{\Lambda} + 2\lambda\vec{\Lambda} \times \vec{\Lambda}_i + (\lambda^2 - |\vec{\Lambda}|^2)\vec{\Lambda}_i\right]
\end{aligned}$$

$$\begin{aligned}
\left[(\vec{v} \times \vec{w}) \times \vec{v}\right]_k &= \sum_{ij, lm} v_i w_j \epsilon_{ijl} \epsilon_{lmk} v_m = \sum_{ijm} v_i w_j v_m \sum_l \epsilon_{ijl} \epsilon_{lmk} \\
&= \sum_{ijm} v_i w_j v_m (\delta_{im} \delta_{jk} - \delta_{ik} \delta_{jm}) = \sum_i v_i^2 w_k - v_k \sum_j w_j v_j \\
&= \left[|\vec{v}|^2 \vec{w} - (\vec{w} \cdot \vec{v}) \vec{v}\right]_k
\end{aligned}$$

$$= R\left[\lambda_i, 2(\vec{\Lambda} \cdot \vec{\Lambda}_i)\vec{\Lambda} + 2\lambda\vec{\Lambda} \times \vec{\Lambda}_i + (1 - 2|\vec{\Lambda}|^2)\vec{\Lambda}_i\right]$$

as expected the cycle is invariant under conjugation. The other ER parameters represent the rotated pole of  $g_i$  (verify).

Now we must perform the last composition

$$\begin{aligned}
&R\left[\lambda_i, 2(\vec{\Lambda} \cdot \vec{\Lambda}_i)\vec{\Lambda} + (1 - 2|\vec{\Lambda}|^2)\vec{\Lambda}_i + 2\lambda(\vec{\Lambda} \times \vec{\Lambda}_i)\right] R(\lambda, \vec{\Lambda}) = \\
&= R\left[\lambda_i\lambda - 2(\vec{\Lambda} \cdot \vec{\Lambda}_i)|\vec{\Lambda}|^2 - (1 - 2|\vec{\Lambda}|^2)(\vec{\Lambda}_i \cdot \vec{\Lambda}) - 2\lambda(\vec{\Lambda} \times \vec{\Lambda}_i) \cdot \vec{\Lambda}, \right. \\
&\quad \lambda_i\vec{\Lambda} + 2\lambda(\vec{\Lambda} \cdot \vec{\Lambda}_i)\vec{\Lambda} + \lambda(1 - 2|\vec{\Lambda}|^2)\vec{\Lambda}_i + 2\lambda^2(\vec{\Lambda} \times \vec{\Lambda}_i) \\
&\quad \left. + (1 - 2|\vec{\Lambda}|^2)(\vec{\Lambda}_i \times \vec{\Lambda}) + 2\lambda(\vec{\Lambda} \times \vec{\Lambda}_i) \times \vec{\Lambda}\right] \\
&= R\left[\lambda_i\lambda + \vec{\Lambda}_i \cdot \vec{\Lambda}, \lambda_i\vec{\Lambda} + 2\lambda(\vec{\Lambda} \cdot \vec{\Lambda}_i)\vec{\Lambda} + \lambda\vec{\Lambda}_i - 2\lambda|\vec{\Lambda}|^2\vec{\Lambda}_i \right. \\
&\quad \left. + 2\lambda^2(\vec{\Lambda} \times \vec{\Lambda}_i) + (\lambda^2 - |\vec{\Lambda}|^2)(\vec{\Lambda}_i \times \vec{\Lambda}) + 2\lambda|\vec{\Lambda}|^2\vec{\Lambda}_i - 2\lambda(\vec{\Lambda} \cdot \vec{\Lambda}_i)\vec{\Lambda}\right]
\end{aligned}$$

$$= R\left[\lambda_i\lambda - \vec{\Lambda}_i \cdot \vec{\Lambda}, \lambda_i\vec{\Lambda} + \lambda\vec{\Lambda}_i + \vec{\Lambda} \times \vec{\Lambda}_i\right] \quad \text{the same ER parameters as } L_i$$

ii) For axial rotations the operators commute

$$g \leftrightarrow (\lambda, \sqrt{1-\lambda^2} \vec{n})$$

$$g_i \leftrightarrow (\lambda_i, \pm \sqrt{1-\lambda_i^2} \vec{n}_i)$$

$$R(\lambda, \sqrt{1-\lambda^2} \vec{n}) R(\lambda_i, \pm \sqrt{1-\lambda_i^2} \vec{n}_i) = R(\lambda \lambda_i \mp \sqrt{1-\lambda^2} \sqrt{1-\lambda_i^2}, (\lambda \sqrt{1-\lambda_i^2} \pm \lambda_i \sqrt{1-\lambda^2}) \vec{n})$$

the result is symmetric in the exchange  $\lambda$  and  $\lambda_i$

iii)  $g \leftrightarrow (0, \vec{n})$

$$g_i \leftrightarrow (0, \vec{n}_i) \quad \text{and} \quad \vec{n}_i \perp \vec{n}$$

$$R(0, \vec{n}) R(0, \vec{n}_i) = R(0, \vec{n} \times \vec{n}_i)$$

$$R(0, \vec{n}_i) R(0, \vec{n}) = R(0, \vec{n}_i \times \vec{n}) = R(0, -(\vec{n} \times \vec{n}_i))$$

the resulting composite rotations have antipodal poles.  $\Leftrightarrow$  the projective factors differ by a sign.

The theorem can be rephrased by the introduction of regular and irregular rotations the first being the non-commuting or axial, the second being the bilateral binary (BB) rotations.

for  $g$  and  $g_i$  regular  $[g, g_i] = [g_i^c, g]$

for  $g$  and  $g_i$  irregular  $[g, g_i] = -[g_i^c, g] = -[g_i, g]$ .

The characters are in general not class functions for projective representations (Schur, 1904)

$$\chi(g_i^g | \check{G}) = [g_i^g, g] [g, g_i]^{-1} \chi(g_i | \check{G}) \quad (1)$$

proof

the proof of (1) is based on the associativity condition for the factor system.

$$[g_i, g_j] [g_i g_j, g_k] = [g_i g_j, g_k] [g_i, g_k] \quad (\text{associativity condition})$$

Proof of the associativity condition

$$\begin{aligned} & \check{G}(g_i) \{ \check{G}(g_i) \check{G}(g_k) \} - \{ \check{G}(g_i) \check{G}(g_j) \} \check{G}(g_k) \\ & \check{G}(g_i) [g_i, g_k] \check{G}(g_i g_k) = [g_i, g_i g_k] [g_i, g_k] \check{G}(g_i g_j g_k) \\ & [g_i, g_j] \check{G}(g_i g_j) \check{G}(g_k) = [g_i g_j, g_k] [g_i, g_j] \check{G}(g_i g_j g_k) \end{aligned}$$

$$\begin{aligned} \chi(g_i, g | \check{G}) &= \text{Tr } \check{G}(g_i g | g^{-1}) = \text{Tr } \{ [g, g | g^{-1}]^{-1} \check{G}(g | \check{G}(g_i g^{-1})) \} \\ &= [g, g | g^{-1}]^{-1} [g, g^{-1}] \text{Tr } \{ \check{G}(g) \check{G}(g | \check{G}(g^{-1})) \} \\ &= [g, g | g^{-1}]^{-1} [g, g^{-1}]^{-1} \text{Tr } \{ \check{G}(g^{-1}) \check{G}(g) \check{G}(g |) \} = \\ &= [g, g | g^{-1}]^{-1} [g, g^{-1}]^{-1} [g^{-1}, g] \text{Tr } \{ \check{G}(e) \check{G}(g |) \} \\ &= [g, g | g^{-1}]^{-1} [g, g^{-1}]^{-1} [g^{-1}, g] \underbrace{[e, g |]}_1 \underbrace{\text{Tr } \{ \check{G}(g |) \}}_{\chi(g | \check{G})} \quad (*) \end{aligned}$$

Now we use associativity in the form

$$[g g_i, g^{-1}] [g g_i, g^{-1}, g] = \frac{1}{[g^{-1}, g]} [g g_i, g^{-1}] [g^{-1}, g]$$

$$[g g_i, g^{-1}] [g g_i, g] = [g^{-1}, g] \leftarrow \text{we introduce } [g^{-1}, g] \text{ in } (*)$$

$$\chi(g_i, g | \check{G}) = [g, g | g^{-1}]^{-1} [g, g^{-1}]^{-1} [g g_i, g^{-1}] [g g_i, g] \chi(g | \check{G})$$

Once more the associativity in the form

$$[g, g |] [g g_i, g^{-1}] = [g, g | g^{-1}] [g, g^{-1}]$$

$$\Rightarrow [g g_i, g^{-1}] = [g, g | g^{-1}] [g, g^{-1}] [g, g |]^{-1}$$



$$\Rightarrow \chi(g; \vartheta | \check{G}) = \underbrace{[\cancel{g, g, g}]^{-1} [\cancel{g, g^{-1}}]^{-1} [\cancel{g, g, g}] [\cancel{g, g^{-1}}]}_{\chi(g; \vartheta, g)}$$

From (2) of page 89 and i) - iii) of page 87 it follows that  $\square$

i) For all regular rotations

$$\chi(g; \vartheta | \check{G}) = \chi(g; | \check{G})$$

ii) For all irregular rotations

$$\chi(g; \vartheta | \check{G}) = -\chi(g; | \check{G}) \text{ but } [g; g] = 0$$

$$\Rightarrow \chi(g; \vartheta | \check{G}) = -\chi(g; | \check{G}) = 0$$

Summarizing: the character is a class function of spinorial representations and it vanishes for irregular classes.

### 7.5 The algebra of rotations: quaternions

Def: A quaternion  $A$  is a set of 4 real numbers combined as  $[a, \vec{A}]$  with the non-commutative multiplication rule:

$$AB = [a, \vec{A}][b, \vec{B}] = [ab - \vec{A} \cdot \vec{B}, \underbrace{a\vec{B} + b\vec{A} + \vec{A} \times \vec{B}}]$$

↓ for this reason it is non commutative.

The product of quaternions is associative (verify)

Def: real quaternion  $[a, \vec{0}]$  since  $[a, \vec{0}][b, \vec{0}] = [ab, \vec{0}]$   
and  $[a, \vec{0}] \equiv a \in \mathbb{R}$ .

$$\text{moreover } a[b, \vec{B}] = [a, \vec{0}][b, \vec{B}] = [ab, a\vec{B}]$$

Def: pure quaternion  $[[0, \vec{A}]]$ .

Notice that the product of 2 pure quaternions is expressed in terms of scalar and vector product  $[[0, \vec{A}]] [[0, \vec{B}]] = [-\vec{A} \cdot \vec{B}, \vec{A} \times \vec{B}]$

Def: unit quaternion is a pure quaternion  $[[0, \vec{n}]]$  with  $|\vec{n}|^2 = 1$ .

$\Rightarrow$  a pure quaternion can be written as  $[[0, \vec{A}]] = |\vec{A}| [[0, \vec{n}]]$ . we give the symbol  $n = [[0, \vec{n}]]$

Now we want to establish an additive form for quaternions.

$$[[a, \vec{A}]] = [[a, \vec{0}]] + [[0, \vec{A}]]$$

$$[[b, \vec{B}]] = [[b, \vec{0}]] + [[0, \vec{B}]]$$

the pure that this makes sense is given by:

$$\begin{aligned} [[a, \vec{A}]] [[b, \vec{B}]] &= ([[a, \vec{0}]] + [[0, \vec{A}]])([[b, \vec{0}]] + [[0, \vec{B}]]) = \\ &= [[ab, \vec{0}]] + [[0, a\vec{B}]] + [[0, b\vec{A}]] + [[-\vec{A} \cdot \vec{B}, \vec{A} \times \vec{B}]] = \\ &= [[ab - \vec{A} \cdot \vec{B}, a\vec{B} + b\vec{A} + \vec{A} \times \vec{B}]] \end{aligned}$$

It follows that  $[[a, \vec{A}]] = a + nA$  which resembles the complex numbers. An even closer analogy to the complex numbers is given

by:  $n^2 = [[0, \vec{n}]] [[0, \vec{n}]] = [[-\vec{n} \cdot \vec{n}, 0]] = [[-1, 0]] = -1$ .

Def binary form of a quaternion  $A = a + nA$ .

A pure quaternion can be easily identified with a binary rotation if thought in terms of ER parameters

$$R(\lambda, \Lambda) \leftrightarrow [[\cos \phi/2, \sin \phi/2 \vec{n}]]$$

$$\Rightarrow [[0, \vec{n}]] \leftrightarrow \hat{\phi} = \pi$$

The historical association  $[[0, \vec{A}]]$  with the vector  $\vec{A}$  has serious limitations (it was through association to the invention of the term "vector").

The inversion of a quaternion helps us in the identification of its components:

$$i\vec{r} = -\vec{r} \Rightarrow \text{vector (polar vector)}$$

$$i\vec{r} = \vec{r} \Rightarrow \text{pseudovector (axial vector)}$$

Analogously for scalars (fields) they can be

$$A(|\vec{r}|) = \pm A(i|\vec{r}|) = \pm A(-|\vec{r}|) \quad \begin{cases} + & \text{scalar} \\ - & \text{pseudoscalar} \end{cases}$$

$$\Rightarrow \text{for example: } \vec{r} = r_x \vec{i} + r_y \vec{j} + r_z \vec{k}$$

$$r_x, r_y, r_z = \text{pseudoscalars}$$

$$\vec{i}, \vec{j}, \vec{k} = \text{pseudovectors}$$

$$\vec{r} = \text{vector}$$

Now we can return to the definition of quaternion product

$$[[a, \vec{A}]] [[b, \vec{B}]] = [[ab - \vec{A} \cdot \vec{B}, a\vec{B} + b\vec{A} + \vec{A} \times \vec{B}]]$$

$$a \begin{cases} \text{scalar} \\ \text{pseudoscalar} \end{cases} \Rightarrow ab = \text{scalar} \quad \neq$$

$$\vec{A} \begin{cases} \text{vector} \\ \text{pseudovector} \end{cases} \Rightarrow \vec{A} \times \vec{B} = \text{pseudovector} \quad \neq$$

$$\Rightarrow \boxed{\begin{matrix} a & \text{scalar} \\ \vec{A} & \text{pseudovector} \end{matrix}}$$

Now let us deal with conjugation

$$A^* \stackrel{\text{def}}{=} [[a, -\vec{A}]]$$

$$\text{It follows immediately } AA^* = [[a^2 + A^2, \vec{0}]] = a^2 + A^2 \stackrel{\text{def}}{=} |A|^2$$

A normalized quaternion  $A$ :  $|A|^2 = a^2 + A^2 = 1$ . (different from the unit quaternion  $[0, \vec{n}]$  which is a pure normalized quaternion)

The normalized quaternions have at least 2 famous parameterizations:

\* (Hamilton)  $[ \cos \alpha, \sin \alpha \vec{n} ]$

\* (Euler - Rodrigues)  $[ \cos \frac{\phi}{2}, \sin \frac{\phi}{2} \vec{n} ]$   $\phi$  is the rotation angle!

The inverse quaternion  $A^{-1}$  is defined by

$$A A^{-1} = [1, \vec{0}] = 1$$

but  $A A^* |A|^{-2} = 1 \Rightarrow A^{-1} = A^* |A|^{-2}$  if  $|A| \neq 0$

Thus two quaternions can always be divided

$$A/B = C \quad C = AB^{-1} = AB^* |B|^{-2}$$

We conclude this introduction to the quaternion algebra with the following intuitive extension to the additive notation

$$A = a [1, \vec{0}] + A [0, \vec{n}]$$

if  $\vec{A} = A_x \vec{i} + A_y \vec{j} + A_z \vec{k}$  it can be proven that

$$A = a [1, \vec{0}] + A_x \underset{\substack{\parallel \\ i}}{[0, \vec{i}]} + A_y \underset{\substack{\parallel \\ j}}{[0, \vec{j}]} + A_z \underset{\substack{\parallel \\ k}}{[0, \vec{k}]}$$

It follows:  $i^2 = j^2 = k^2 = -1 \quad ij = k \quad ji = -k$

These are the famous equations involving quaternion units that Hamilton carved on Monday 16 October 1843 on Brougham Bridge.

## 7.6 Double groups in terms of quaternions

Given a group  $G$ , the associated double group is constructed by defining the "rotation by  $2\pi$ " =  $\tilde{e}$  and constructing then  $\tilde{g}_i = \tilde{e}g_i$ .  
 $\forall g_i \in G \Rightarrow$  the order of the group is doubled. ~~Here ends the~~ easy part and start the troubles without the quaternion formulation since even  $g_1 g_2 = ?$  in the  $\tilde{G}$  group and the construction of the multiplication table is cumbersome. Let us now use quaternions

• Proper rotation  $\rightarrow R(\lambda, \vec{\lambda})$  in the Euler Rodriguez parametrization. The STANDARD parametrization takes paths of class 0 from the origin of the parameter ball.  
 [notice: improper rotations are excluded to the same  $R(\lambda, \vec{\lambda})$  since it does not change the pole]

$R(\lambda, \vec{\lambda}) \rightarrow$  quaternions  $[\lambda, \vec{\lambda}]$  In fact the composition rule for (ER) is identical to the definition of the quaternion product

$$\tilde{e} \leftrightarrow \left[ \cos \frac{2\pi}{2}, \sin \frac{2\pi}{2} \vec{n} \right] = [-1, 0] = -1$$

$$\Rightarrow \tilde{g} = [\lambda, \vec{\lambda}] [-1, 0] = [-\lambda, -\vec{\lambda}] \leftarrow \text{element of the double group.}$$

we now have a one to one mapping between the elements of  $\tilde{G}$  and the associated quaternions. Example let us consider  $D_2$

	E	$C_{2x}$	$C_{2y}$	$C_{2z}$
E	E	$C_{2x}$	$C_{2y}$	$C_{2z}$
$C_{2x}$	$C_{2x}$	E	$C_{2z}$	$C_{2y}$
$C_{2y}$	$C_{2y}$	$C_{2z}$	E	$C_{2x}$
$C_{2z}$	$C_{2z}$	$C_{2y}$	$C_{2x}$	E

The extension to  $\tilde{D}_2$  is now completely natural

$$E \quad C_{2x} \quad C_{2y} \quad C_{2z}$$

$$[1, (000)] \quad [0, (100)] \quad [0, (010)] \quad [0, (001)]$$

$$\tilde{E} \quad \tilde{C}_{2x} \quad \tilde{C}_{2y} \quad \tilde{C}_{2z}$$

$$[-1, (000)] \quad [0, (\bar{1}00)] \quad [0, (0\bar{1}0)] \quad [0, (00\bar{1})]$$

Example of 2 elements of the new multiplication table

$$C_{2x} C_{2y} \mapsto [0, i] [0, j] = [0, \vec{k}] \rightarrow C_{2z}$$

$$C_{2y} C_{2x} \mapsto [0, j] [0, i] = [0, -\vec{k}] \rightarrow \tilde{C}_{2z}$$

Now we are ready to study the general properties of products and conjugates in the double group and determine its class structure (key to the number and dimension of the irreducible representations)

One has to be careful with notation:

$$C_{2y} C_{2x} = C_{2z} \quad C_{2x}^{-1} = C_{2x} \quad \text{in } D_2$$

$$C_{2y} C_{2x} = \tilde{C}_{2z} \quad C_{2x}^{-1} = \tilde{C}_{2x} \quad \text{in } \tilde{D}_2$$

in  $G$

in  $\tilde{G}$

$$g_i = g_j$$

$$g_i \approx g_j$$

equality

$$g^{-1}$$

$$g^{-1}$$

inverse

$$g_i \subset g_j$$

$$g_i \tilde{\subset} g_j$$

conjugation

$$C(g_i)$$

$$\tilde{C}(g_i)$$

class

↑  
rotations irrespective  
of the homotopy  
class

↑  
rotations with homotopy  
class (in the quaternions  
sense)

Let us exercise the notation

$$g_i g_j = g_k \Rightarrow \begin{cases} \text{either } g_i g_j \approx g_k \\ \text{or } g_i g_j \approx \tilde{g}_k \end{cases}$$

By applying  $\tilde{e}$  on the last equation  $g_i \tilde{g}_j \approx g_k$  and  $\tilde{g}_i g_j \approx g_k$

$$\left. \begin{array}{l} g_i g_j \approx g_k \Rightarrow g_i g_j = g_k \\ g_i g_j \approx \tilde{g}_k \Rightarrow g_i g_j = g_k \end{array} \right\} \begin{array}{l} \text{Products in } \tilde{G} \text{ must} \\ \text{reduce to the ones in} \\ G \text{ if } \tilde{e} = e. \end{array}$$

For the inverses the following rules hold

$$(*) \quad g^{\sim 1} \approx g^{-1} \quad (g \text{ not binary})$$

$$(**) \quad g^{\sim 1} \approx \tilde{g} \quad (g \text{ binary})$$

proof  
 (\*) follow from  $g g^{-1} \approx e$  as can be proven using quaternion algebra

$$(\Delta) \quad g g^{-1} \approx [ \lambda, \vec{\lambda} ] [ \lambda, -\vec{\lambda} ] \approx [ \lambda^2 + \lambda^2, \vec{0} ] \approx [ 1, \vec{0} ] \approx e$$

(\*\*) can also be proven using quaternions binary relations  $[ 0, \vec{n} ]$

$$(\square) \quad g \tilde{g} \approx [ 0, \vec{n} ] [ 0, -\vec{n} ] \approx [ 1, \vec{0} ] \approx e$$

As for the inverses of  $\tilde{g}$ :

$$\tilde{g}^{\sim 1} \approx \tilde{g}^{-1} \stackrel{\text{def}}{=} \tilde{e} g^{-1} \quad (\text{non-binary}) \quad \left[ \begin{array}{l} \text{from } (\Delta) \text{ multiplying} \\ \text{by } \tilde{e} \end{array} \right]$$

$$\tilde{g}^{\sim 1} \approx g \quad (\text{binary}) \quad \left[ \text{simply new reading of } (\square) \right]$$

Putting all together  $\forall \tilde{g} \in \tilde{G} \quad \tilde{g}^{\sim 1} \approx \tilde{e} g^{-1}$

# Conjugation:

First we start with the definition

$g_i \tilde{c} g_j$  if either  $g g_i g^{-1} \approx g_i$  or  $\tilde{g} g_i \tilde{g}^{-1} \approx g_i$  but, using the fact that  $\tilde{g}^{-1} \approx \tilde{c} g^{-1} \Rightarrow$  the relation resolves to

$$g_i \tilde{c} g_j \text{ means } g g_i g^{-1} \approx g_i$$

Now we want to correlate the conjugation in  $G$  with the one in  $\tilde{G}$ .

$g_i \tilde{c} g_j$  means  $g g_i g^{-1} = g_i$  since a factor  $\tilde{c}$  can always reappear  
 passing from  $=$  to  $\approx$

$$g_i \tilde{c} g_j \Rightarrow \begin{cases} g_i \tilde{c} g_j & \text{either} \\ g_i \tilde{c} \tilde{g}_j & \text{or} \end{cases}$$

The point now is to determine when one or the other case are happening  
 We do it by steps.

① Intertwining theorem for double groups. If

$$g g_i = g_i g \Rightarrow g g_i \approx g_i g$$

except when  $g$  and  $g_i$  are irregular operations  $\Rightarrow g g_i \approx \tilde{g}_i g$ . Notice that if  $g$  and  $g_i$  are irregular  $\Rightarrow [g, g_i] = 0 \Rightarrow g_i = g_i \Rightarrow$  the relation is  $g g_i \approx \tilde{g}_i g$ .

The proof is based on  $g g_i = g_i g \Leftrightarrow g g_i g^{-1} = g_i = g_i g^{-1} \Leftrightarrow g g_i = g_i g$  and the calculation of the factors  $[g, g_i] = \pm [g, g_i g^{-1}]$ .



The consequences for double group conjugation are then

$$gg_i = g_i g \Leftrightarrow g_i \subset g_i$$

||  
√

- 1)  $gg_i \approx g_i g$  in general  $\Rightarrow gg_i g^{-1} \approx g_i = g_i \tilde{c} g_i$  the theorem considers  $g_i g_i!$
- 2)  $gg_i \approx \tilde{g}_i g$  when  $g$  and  $g_i$  irregular  $\Rightarrow g_i \tilde{c} \tilde{g}_i$

② Theorem 1 for conjugation: Let us assume  $g_i \tilde{c} \tilde{g}_i \Rightarrow \exists g \in G$  such that

$$gg_i = g_i g \quad \text{but} \quad gg_i \neq g_i g$$

proof

$$g_i \tilde{c} \tilde{g}_i \Leftrightarrow g g_i g^{-1} \approx \tilde{g}_i \Rightarrow gg_i \approx \tilde{g}_i g \Rightarrow gg_i = g_i g \quad \text{since in } G$$

$$\cdot \text{ let us assume } gg_i \approx g_i g, \text{ by comparison with } gg_i \approx \tilde{g}_i g \Rightarrow g_i \approx \tilde{g}_i \quad \square$$

③ Theorem 2 for conjugation: For any pair of operations  $g_i$  and  $g_j$

$$g_i \subset g_j \Rightarrow g_i \tilde{c} g_j$$

but iff  $g_i$  is irregular  $\Rightarrow$  the following additional relation also holds:

$$g_i \subset g_j \Rightarrow g_i \tilde{c} \tilde{g}_j$$

if  $g_i$  is irregular  $\Rightarrow g_i \tilde{c} \tilde{g}_i$  (consequence of intertwining theorem) but if

$$g_i \tilde{c} g_j \Rightarrow \tilde{g}_i \tilde{c} \tilde{g}_j \quad g_i \tilde{c} \tilde{g}_i \tilde{c} \tilde{g}_j \Rightarrow g_i \tilde{c} \tilde{g}_j$$

We still have to prove the reverse

$g_i \sim g_j$  but  $\tilde{g}_i \not\sim \tilde{g}_j \Rightarrow g_i \sim g_j \stackrel{\text{Th 1}}{\Rightarrow} \exists g \in G$  such that  $[g, g_i] = 0$  but  $[g, g_j] \neq 0$ . The only operations that commute in both cases are the axial rotations  $\Rightarrow g_i$  is irregular.

④ Theorem 3 (Opechowski) The class  $C(g_i)$  of a regular operation  $g_i \in G$  gives two classes in  $\tilde{G}$  which are  $\tilde{C}(g_i)$  and  $\tilde{C}(\tilde{g}_i)$ . If  $g_i$  is irregular, then  $C(g_i)$  gives only one class in  $\tilde{G}, \tilde{C}(g_i)$  which coincides with  $\tilde{C}(\tilde{g}_i)$

proof

$g_i$  regular  $\Rightarrow g_i \sim g_j \Rightarrow g_i \sim g_j \Rightarrow g_j \in C(g_i) \Rightarrow g_j \in \tilde{C}(g_i)$ .  
 $C(g_i) \subseteq \tilde{C}(g_i)$ .  $g_j \in \tilde{C}(g_i) \Rightarrow g_i \sim g_j \Rightarrow g_j \in C(g_i) \Rightarrow C(g_i) = \tilde{C}(g_i)$ .  
 $g_i \sim g_j \rightarrow \tilde{g}_i \sim \tilde{g}_j \rightarrow \tilde{C}(\tilde{g}_i)$  forms a second class. The two classes are disjoint since  $g_i \sim g_j$  is valid iff  $g_i$  is irregular.

$g_i$  irregular  $\begin{cases} g_i \sim g_j \Rightarrow g_i \sim g_j & C(g_i) \\ g_i \sim g_j \Rightarrow g_i \sim \tilde{g}_j & \tilde{C}(g_i) \Rightarrow g_j \sim \tilde{g}_j \end{cases}$

Corollary :

- $|C_G|$  number of classes in  $G$
- $|C_{\tilde{G}}|$  number of classes in  $\tilde{G}$
- $|r|$  number of regular classes
- $|i|$  number of irregular classes

$$|C_G| = |r| + |i|$$

$$|C_{\tilde{G}}| = 2|r| + |i| = \# \text{ irreducible representations}$$

$$|C_{\tilde{G}}| - |C_G| = |r| = \# \text{ spinor representations.}$$