

## Quantum Theory of Condensed Matter II

## Mesoscopic Physics

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## Sheet 4

**1. Kubo formula for a uniform external perturbation ( $q = 0$ )**

In this exercise you will derive the Kubo formula for non-interacting electrons in a uniform electric field  $\mathbf{E}$ . The interaction between the electrons and the electric field is well described in this case by the dipole coupling.

$$\hat{V}(t) = e\hat{\mathbf{X}} \cdot \mathbf{E}(t)$$

where  $e$  is the (absolute value of the) electronic charge and  $\hat{\mathbf{X}} = \sum_i \hat{\mathbf{r}}_i$  with  $\hat{\mathbf{r}}_i$  the position operator of the  $i$ -th electron.

1. Prove that the dipole moment operator for a collection of electrons reads  $\hat{\mathcal{P}} = -e\hat{\mathbf{X}}$ , thus justifying the coupling Hamiltonian. Moreover, prove that the current operator reads instead  $\hat{\mathcal{J}} = -e\hat{\mathbf{P}}/m = -e/m \sum_i \hat{\mathbf{p}}_i$  where  $\hat{\mathbf{p}}_i$  is the momentum operator of the  $i$ -th electron.

Hint: Start from the definition of the density and current density operators in first quantization.

2. Describe the perturbation on the current  $J(t) \equiv \langle \hat{\mathcal{J}} \rangle$  within the linear response theory. Calculate explicitly the response function in the frequency domain, thus obtaining the classical result

$$\sigma_{\alpha\beta}(\omega) = i \frac{e^2 n}{m\omega} \delta_{\alpha\beta}$$

Hint: The following representation of the step function could be of interest:

$$\theta(t) = \lim_{\eta \rightarrow 0^+} \int \frac{d\omega}{2\pi} e^{-i\omega t} \frac{i}{\omega + i\eta}$$

3. Use then the relation  $\dot{\mathbf{X}} = \mathbf{P}/m$  and a partial integration to obtain, starting from the linear response expression of 1.2, the more familiar Kubo formula

$$\sigma(\omega) = \frac{i}{\omega} \left( \mathbf{\Pi}(\omega) + \frac{e^2 n}{m} \right)$$

where the polarization  $\mathbf{\Pi}(\omega)$  reads, in components:

$$\Pi_{\alpha\beta}(\omega) = \frac{i}{\hbar V} \int_0^{+\infty} dt \langle [\hat{\mathcal{J}}_\beta, \hat{\mathcal{J}}_\alpha(t)] \rangle_0 e^{i\omega t},$$

with  $V$  the volume of the system and  $\langle \bullet \rangle_0$  the thermal average with respect to the unperturbed, free electron Hamiltonian.

4. Prove that:

$$\Pi_{\alpha\beta}(\omega = 0) = -\frac{e^2 n}{m} \delta_{\alpha\beta}.$$

Do you see any contradiction with the results obtained at points 1.2 and 1.3 ?

(8 Points)

## 2. Classical limit of the Kubo formula

In the lecture the following expression for the conductivity of charged Fermions has been derived

$$\sigma_{xx}(\omega) = i \frac{e^2}{\omega V} \sum_{n,m} |v_{nm}|^2 \frac{f(\epsilon_n) - f(\epsilon_m)}{\epsilon_n - \epsilon_m + \hbar\omega + i\eta} + i \frac{e^2 n}{m\omega}, \quad (1)$$

where  $V$  is the volume of the system and  $v_{nm}$  is the matrix element of the velocity operator in the single particle basis.

Derive the Kubo formula in the classical (*i.e.* non-degenerate) limit in which the Fermi-Dirac distribution is approximated by  $f(\epsilon) \approx e^{-\beta(\epsilon-\mu)}$ .

1. First simplify equation (1) by means of the given approximation for  $f(\epsilon)$  and expand the numerator in powers of the difference  $\beta(\epsilon_n - \epsilon_m)$ .
2. Divide the resulting sum in two terms. One term is proportional to  $\omega^{-1}$  and cancels exactly the diamagnetic term in equation (1). Bear in mind that, in the classical limit the following substitution holds

$$1/V \mathbf{Tr}[v_x e^{-\beta H/2} v_x e^{-\beta H/2}] = n \langle v_x^2 \rangle = nkT/m,$$

where the square parentheses represent the thermal single particle average.

3. Analogously, derive from the second term the classical result already derived in Sheet 2.

(6 Points)

**Frohes Schaffen!**