

## 2.5 Alternative approaches to the GME for the RDM

### 2.5.1 The projector operator technique (Nakajima-Zwanzig 1958)

For the derivation of the GME according to the projector-operator technique one starts from the Liouville-von Neumann equation

$$\dot{\rho} = -\frac{i}{\hbar} [H, \rho] \equiv \mathcal{L}\rho$$

The equation above admits a very simple solution if  $\mathcal{L}$  is not explicitly time dependent  $\rho(t) = e^{\mathcal{L}t} \rho_0$  where  $\rho_0 = \rho|_{t=0}$ .

Our interest, though, is in a perturbative approach to a system-bath model:

$$H = H_S + H_B + V$$

where  $V$  represents the coupling between the system and the bath.

For this reason we introduce the projector:

$$\begin{cases} \mathcal{P}: \mathcal{P}\rho = \text{Tr}_B \{\rho\} \otimes \rho_B \\ \mathcal{Q} = 1 - \mathcal{P} \end{cases} \quad (2.76)$$

where  $\rho_B$  is a reference bath state. Typically one assumes  $\rho_B$  to be the thermal equilibrium state of the bath.

Notice that the following equations hold:

$$\begin{cases} \mathcal{P}^2 = \mathcal{P} \\ \mathcal{Q}^2 = \mathcal{Q} \end{cases} \quad (2.77)$$

from which it follows immediately  $\mathcal{P}\mathcal{Q} = \mathcal{Q}\mathcal{P} = 0$

proof of (2.77)

$$\rho^2 = \text{Tr}_B \{ \text{Tr}_B \{ \rho \} \otimes \rho_B \} \otimes \rho_B = \text{Tr}_B \{ \rho \} \overset{1}{\text{Tr}_B \rho_B} \otimes \rho_B = \rho$$

$$Q^2 = (1 - \rho)(1 - \rho) = 1 - 2\rho + \rho^2 = 1 - \rho = Q$$

Using the property  $\rho + Q = 1$  which follows directly from the definition of the projector operators  $\rho, Q$ , we can write:

$$\begin{cases} \rho \dot{\rho}(t) = \rho \mathcal{L} \rho(t) + \rho \mathcal{L} Q \rho(t) \\ Q \dot{\rho}(t) = Q \mathcal{L} \rho(t) + Q \mathcal{L} Q \rho(t) \end{cases} \quad (2.78)$$

The system of equations (2.78) is completely equivalent to the Liouville von Neumann equation. The second equation in (2.78) is formally solved by introducing the propagator

$$G_Q(t, s) = e^{Q \mathcal{L} (t-s)} \quad (2.79)$$

In fact we can rewrite the second of (2.78) as:

$$Q \dot{\rho} - Q \mathcal{L} Q \rho = Q \mathcal{L} \rho$$

Now we multiply from the left by  $G_Q(0, t)$

$$\underbrace{G_Q(0, t) Q \dot{\rho} - G_Q(0, t) Q \mathcal{L} Q \rho}_{\frac{d}{dt} [G_Q(0, t) Q \rho]} = G_Q(0, t) Q \mathcal{L} \rho$$

$$\frac{d}{dt} [G_Q(0, t) Q \rho]$$

Integrating on both sides we obtain

$$G_Q(0, t) Q \rho - \rho(0) = \int_0^t ds G_Q(0, s) Q \mathcal{L} \rho(s)$$

Finally we multiply by  $G_Q(t,0)$  from the left and solve for  $\rho_p$

$$\rho_p = G_Q(t,0) \rho_p(0) + \int_0^t ds G_Q(t,s) \mathcal{L} \rho_p(s) \quad (2.80)$$

If now we plug (2.80) into the first of 2.78 we obtain

$$\dot{\rho}_p(t) = \mathcal{L} \rho_p(t) + \mathcal{L} G_Q(t,0) \rho_p(0) + \int_0^t ds \mathcal{L} G_Q(t,s) \mathcal{L} \rho_p(s) \quad (2.81)$$

Eq. 2.81 is already a closed equation in  $\rho_p \Rightarrow$  an equation for the reduced density matrix. We can though specialize slightly the result if we assume

- $\rho(0) = \rho_S(0) \otimes \rho_B \Rightarrow \rho_p(0) = \rho_S(0) \otimes \rho_B - \text{Tr}_B \{ \rho_S(0) \otimes \rho_B \} \otimes \rho_B = 0$
- We can consider  $V = H_T$  as a tunnelling coupling between system and bath.  $\Rightarrow H_T$  does NOT conserve particle numbers of the bath.

We define  $ih\mathcal{L}_T \equiv [H_T, \cdot]$  and conclude that

$$\mathcal{L}_T \rho_p = \text{Tr}_B \{ [H_T, \text{Tr}_B \{ \rho \} \otimes \rho_B] \} \otimes \rho_B = 0 \quad \forall \rho$$

Formally one writes  $\boxed{\mathcal{L}_T \rho = 0} \quad (2.82)$

$$\rho_B = e^{-\beta(H_B - \mu N_B)} \quad \text{and} \quad [H_B, N_B] = 0 \Rightarrow [\rho_B, H_B] = 0$$

$$\Rightarrow ih\mathcal{L}_B \rho_p = [H_B, \text{Tr}_B \{ \rho \} \otimes \rho_B] = \text{Tr}_B \{ \rho \} \otimes [H_B, \rho_B] = 0$$

On the other hand one also notices that

$$\begin{aligned}
 i\hbar \rho \mathcal{L}_B \rho &= \text{Tr}_B \{ [H_B, \rho] \} \otimes \rho_B = \\
 &= \sum_{N_B, \alpha} \langle N_B, \alpha | H_B \rho | N_B, \alpha \rangle \otimes \rho_B - \langle N_B, \alpha | \rho H_B | N_B, \alpha \rangle \otimes \rho_B = 0
 \end{aligned}$$

$\Rightarrow$  we can formally conclude 
$$[\mathcal{L}_B, \rho] = \mathcal{L}_B \rho = 0 \quad (2.83)$$

It follows immediately that 
$$[\mathcal{L}_B, Q] = 0$$

• Eventually we observe also that  $[H_S, \rho_B] = 0$ . At the level of the superoperator we can conclude that

$$[\rho, \mathcal{L}_S] = [Q, \mathcal{L}_S] = 0 \quad (2.84)$$

proof of (2.84)

$$\begin{aligned}
 i\hbar \rho \mathcal{L}_S \rho &= \text{Tr}_B \{ [H_S, \rho] \} \otimes \rho_B = \sum_{N_B, \alpha} \langle N_B, \alpha | H_S \rho - \rho H_S | N_B, \alpha \rangle \otimes \rho_B \\
 &= [H_S \text{Tr}_B \{ \rho \} - \text{Tr}_B \{ \rho \} H_S] \otimes \rho_B = [H_S, \text{Tr}_B \{ \rho \} \otimes \rho_B] \\
 &= i\hbar \mathcal{L}_S \rho
 \end{aligned}$$

$$[Q, \mathcal{L}_S] = [1 - \rho, \mathcal{L}_S] = [1, \mathcal{L}_S] - [\rho, \mathcal{L}_S] = 0.$$

Now we can combine all previous observations to simplify (2.81) term by term.

■ The first term:

$$\begin{aligned} \rho_L \rho_f &= \rho(L_S + L_B + L_T) \rho_f = \rho L_S \rho_f + \cancel{\rho L_B \rho_f} + \cancel{\rho L_T \rho_f} \\ &= L_S \rho_f^2 = L_S \rho_f. \end{aligned}$$

Alternatively one can also keep  $\underbrace{(L_S + L_B)}_{:= L_0} \rho_f$

■ The second term:

$$\rho_L G_Q(t, 0 | Q) \rho_f(0) = \rho_L G_Q(t, 0 | Q) Q \left( \rho_S(0) \otimes \rho_B \right) = 0$$

■ The integrand

$$\rho_L G_Q(t, s | Q) \rho_f(s)$$

In general one can prove the relation

$$\rho_L e^{Q L t} Q L \rho = \rho L_T e^{(L_S + L_B + Q L_T Q) t} L_T \rho \quad (2.85)$$

proof

$$\begin{aligned} \rho_L e^{Q L t} Q L \rho &= \rho_L \sum_{n=0}^{\infty} \frac{1}{n!} (Q L)^n t^n Q L \rho = \\ &= \sum_{n=0}^{\infty} \frac{1}{n!} \rho_L (Q L)^n Q L \rho t^n \\ &= \sum_{n=0}^{\infty} \frac{1}{n!} \rho_L Q (Q L Q)^n Q L \rho t^n \end{aligned}$$

Now we make the following observations

$$* \rho_L Q = \rho L_S Q + \cancel{\rho L_B Q} + \rho L_T Q = \cancel{L_S \rho Q} + \rho L_T Q = \rho L_T Q = \rho L_T$$

$$* Q L \rho = Q L_S \rho + \cancel{Q L_B \rho} + Q L_T \rho = \cancel{Q \rho L_S} + Q L_T \rho = Q L_T \rho = L_T \rho$$

$$* Q L Q = Q L_B Q + Q L_S Q + Q L_T Q = Q (L_B + L_S + Q L_T Q)$$

Since, moreover  $[Q, L_B] = [Q, L_S] = [Q, Q L_T Q] = 0$

$$\Rightarrow [Q(L_B + L_S + Q L_T Q)]^n = Q^n (L_B + L_S + Q L_T Q)^n = Q(L_B + L_S + Q L_T Q)^n$$

Finally

$$\begin{aligned} \rho_L e^{Q L_T} Q L \rho &= \rho L_T Q \sum_n \frac{t^n}{n!} (L_S + L_B + Q L_T Q)^n L_T \rho = \\ &= \rho L_T e^{(L_S + L_B + Q L_T Q)t} L_T \rho \end{aligned}$$

We can now return to the (2.81):

$$\rho \dot{f}(t) = L_S \rho f(t) + \int_0^t \rho L_T e^{(L_S + L_B + Q L_T Q)(t-s)} L_T \rho f(s) \quad (2.86)$$

The equation above clearly shows how the kernel of the GME has a convolutive form for all orders in the tunnelling coupling. Moreover, it is interesting to identify in (2.86) the "prepropagator"  $\bar{G}_Q(t, s) \equiv \exp(L_S + L_B + Q L_T Q)(t-s)$ . This prepropagator satisfies the following perturbative (Dyson) equation

$$\bar{G}_Q(t, s) = G_0(t, s) + \int_s^t dt' G_0(t, t') Q L_T Q \bar{G}_Q(t', s) \quad (2.87)$$

proof:

$$\frac{\partial}{\partial t} \bar{G}_Q(t, s) = (L_S + L_B) \bar{G}_Q(t, s) + Q L_T Q \bar{G}_Q(t, s)$$

But  $G_0(s, t) = e^{-(L_S + L_B)(t-s)}$

$$\Rightarrow \frac{\partial}{\partial t} [G_0(s, t) \bar{G}_Q(t, s)] = G_0(s, t) Q L_T Q \bar{G}_Q(t, s)$$

By integration between  $s$  and  $t$  we obtain

$$G_0(s, t) \bar{G}_Q(t, s) - 1 = \int_s^t dt' G_0(s, t') Q L_T Q \bar{G}_Q(t', s)$$

Finally, by multiplying on both sides by  $G_0(t, s)$  we obtain (2.87)

An analogous Dyson equation can also be obtained for the propagator of the factorized part of the density matrix. Eq. (2.86) can in fact be rewritten as ( $d_B \rho = 0!$ )

$$\dot{\rho}(t) - L_0 \rho(t) = \int_0^t ds \rho L_T \bar{G}_Q(t, s) L_T \rho(s)$$

We use again the free propagator  $G_0(0, t) = e^{-L_0 t}$  to obtain

$$\frac{d}{dt} [G_0(0, t) \rho(t)] = G_0(0, t) \int_0^t ds \rho L_T \bar{G}_Q(t, s) L_T \rho(s)$$

and, by integration and multiplication by  $G_0(t, 0)$

$$\rho(t) = G_0(t, 0) \rho(0) + \int_0^t ds' G_0(t, s') \int_0^{s'} ds \rho L_T \bar{G}_Q(s', s) L_T \rho(s)$$

We can finally introduce the propagator  $G_P(t, s)$  for the factorized component of the density matrix as:

$$\rho(t) = G_P(t, s) \rho(s) \quad (2.88)$$

And obtain:

$$\begin{cases} G_P(t, 0) = G_0(t, 0) + \int_0^t ds' \int_0^{s'} ds G_0(t, s') \rho L_T \bar{G}_Q(s', s) L_T \rho G_P(s, 0) \\ \bar{G}_Q(s', s) = G_0(s', s) + \int_s^{s'} ds'' G_0(s', s'') Q L_T Q \bar{G}_Q(s'', s) \end{cases} \quad (2.89)$$

Thanks to their convolution form eq. (2.89) here a simple algebraic form in Laplace space:

$$\begin{cases} \tilde{G}_P(\lambda) = \tilde{G}_0(\lambda) + \tilde{G}_0(\lambda) P \mathcal{L}_T \tilde{G}_Q(\lambda) \mathcal{L}_T P \tilde{G}_P(\lambda) \\ \tilde{G}_Q(\lambda) = \tilde{G}_0(\lambda) + \tilde{G}_0(\lambda) Q \mathcal{L}_T Q \tilde{G}_Q(\lambda) \end{cases} \quad (2.90)$$

We can formally introduce the super-operators  $\tilde{\Sigma}_Q = Q \mathcal{L}_T Q$  and

$\tilde{\Sigma}_P(\lambda) = P \mathcal{L}_T \tilde{G}_Q(\lambda) \mathcal{L}_T P$  and obtain

$$\begin{cases} \tilde{G}_P(\lambda) = [1 - \tilde{G}_0(\lambda) \tilde{\Sigma}_P(\lambda)]^{-1} \tilde{G}_0(\lambda) \\ \tilde{G}_Q(\lambda) = [1 - \tilde{G}_0(\lambda) \tilde{\Sigma}_Q]^{-1} \tilde{G}_0(\lambda) \end{cases} \quad (2.91)$$

$$\tilde{G}_0(\lambda) = \int_0^{\infty} dt e^{(\lambda_0 - \lambda)t} = \frac{1}{\lambda - \lambda_0} \quad \text{we thus obtain}$$

$$\begin{cases} \tilde{G}_P(\lambda) = [\lambda - \lambda_0 - \tilde{\Sigma}_P(\lambda)]^{-1} = [\lambda - \lambda_0 - P \mathcal{L}_T [\lambda - \lambda_0 - Q \mathcal{L}_T Q] \mathcal{L}_T P]^{-1} \\ \tilde{G}_Q(\lambda) = [\lambda - \lambda_0 - Q \mathcal{L}_T Q]^{-1} \end{cases} \quad (2.92)$$

The equations above can be used, for example, to calculate the stationary density matrix up to an arbitrary perturbative order in the coupling between the system and the bath.

On one hand we have

$$\lim_{t \rightarrow \infty} \rho_f(t) = \lim_{t \rightarrow \infty} G_P(t, 0) \rho(0)$$



Due to the final value theorem it holds, in general that, given

$$\tilde{f}(\lambda) = \int_0^{\infty} dt f(t) e^{-\lambda t}$$

$$\lim_{t \rightarrow \infty} f(t) = \lim_{\lambda \rightarrow 0^+} \lambda \tilde{f}(\lambda) \quad (2.93)$$

proof:

$$\lim_{\lambda \rightarrow 0^+} \int_0^{\infty} dt e^{-\lambda t} f'(t) = \lim_{t \rightarrow \infty} f(t) - f(0)$$

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$$\lim_{\lambda \rightarrow 0^+} \left( e^{-\lambda t} f(t) \Big|_0^{\infty} + \lambda \int_0^{\infty} dt e^{-\lambda t} f(t) \right) = -f(0) + \lim_{\lambda \rightarrow 0^+} \lambda \tilde{f}(\lambda)$$

In our specific case we have thus:

$$\rho_p(\infty) = \lim_{\lambda \rightarrow 0^+} \lambda \tilde{G}_p(\lambda) \rho(0) = \lim_{\lambda \rightarrow 0^+} \lambda [\lambda - \lambda_0 - \tilde{\Sigma}_p(\lambda)]^{-1} \rho_p(0)$$

If we multiply both sides by  $[\lambda - \lambda_0 - \tilde{\Sigma}_p(\lambda)]$  we obtain the equation satisfied by  $\rho(\infty)$

$$\boxed{[\lambda_s + \tilde{\Sigma}_p(0)] \rho_p(\infty) = 0} \quad (2.94)$$

where we have used again the fact that  $\lambda_s \rho = 0$ . If we consider the general formulation (in Schrödinger picture)

$$\dot{\rho}_p = \lambda_s \rho_p + \int_0^t ds k(t-s) \rho_p(s)$$

we can again go into the Laplace space and obtain

$$\lambda \rho \tilde{p}(\lambda) - p_0 = L_S \rho \tilde{p}(\lambda) + \tilde{K}(\lambda) \rho \tilde{p}(\lambda)$$

If one multiplies by  $\lambda$  and takes the limit  $\lambda \rightarrow 0^+$  one obtains

$$\lim_{\lambda \rightarrow 0^+} \lambda^2 \rho \tilde{p}(\lambda) - \lambda p_0 = \lim_{\lambda \rightarrow 0^+} [L_S + \tilde{K}(\lambda)] \lambda \rho \tilde{p}(\lambda)$$

$$0 = [L_S + \tilde{K}(0)] \rho p(\infty) \quad (2.95)$$

The comparison between (2.94) and (2.95) allows me to identify

$$\tilde{K}(0) = \tilde{\Sigma}_P(0) \quad (2.96)$$

By expanding  $\tilde{\Sigma}_P(0)$  in power series of  $L_T$  one obtains

$$\tilde{\Sigma}_P(0) = \lim_{\lambda \rightarrow 0^+} \rho L_T \sum_{n=0}^{\infty} \left( \tilde{G}_0(\lambda) Q L_T Q \right)^n \tilde{G}_0(\lambda) L_T \rho$$

$$= \lim_{\lambda \rightarrow 0^+} \rho L_T \sum_{n=0}^{\infty} \left( \tilde{G}_0(\lambda) Q L_T Q \tilde{G}_0(\lambda) Q L_T Q \right)^n \tilde{G}_0(\lambda) L_T \rho$$

$$= \lim_{\lambda \rightarrow 0^+} \rho L_T \left[ \lambda - L_0 - Q L_T Q \tilde{G}_0(\lambda) Q L_T Q \right]^{-1} L_T \rho$$

(\*) This equality follows from the observation that only an even number of tunnelling Liouvillean give a non vanishing contribution when sandwiched between  $\rho$  operators.

$$\tilde{K}^{(2)}(0) = \lim_{\lambda \rightarrow 0^+} \rho L_T \frac{1}{\lambda - L_0} L_T \rho \quad (2.97)$$

$$\tilde{K}^{(4)}(0) = \lim_{\lambda \rightarrow 0^+} \rho L_T \frac{1}{\lambda - L_0} Q L_T Q \frac{1}{\lambda - L_0} Q L_T Q \frac{1}{\lambda - L_0} L_T \rho$$

The direct comparison between the Nakajima-Zwanzig and the iterative method of derivation of the GME will be performed in the interaction picture. To this extent it is instructive to make the following mapping between Hilbert space and Liouville space:

	Hilbert space	Liouville space
Eq. of motion	$i\dot{ \psi\rangle} = -\frac{i}{\hbar} H  \psi\rangle$	$\dot{\rho} = \mathcal{L} \rho$
Evolution	$U(t,0) = e^{-\frac{i}{\hbar} H t}$	$G(t,0) = e^{\mathcal{L} t}$
	$U_0(t,0) = e^{-\frac{i}{\hbar} H_0 t}$	$G_0(t,0) = e^{\mathcal{L}_0 t}$
Interaction picture	$ \psi_I\rangle = U_0^\dagger(t,0)  \psi\rangle$	$\rho_I(t) = G_0(0,t) \rho(t)$
	$A_I = U_0^\dagger(t,0) A_S U_0(t,0)$	$A_I(t) = G_0(0,t) A G_0(t,0)$

The "vectors" of the Liouville space are the density operators. The operators are represented by all superoperator acting on the density operator, like for example the tumbling Liouvillean  $\mathcal{L}_T = [H_T, \cdot]$

The propagator in interaction picture  $G_I(t,0) = G_0(0,t) G(t,0)$ , thus, from (2.89) we obtain:

$$\begin{aligned}
 G_{P,I}(t,0) &= G_0(0,t) G_0(t,0) + \int_0^t ds' \int_0^{s'} ds G_0(0,t) G_0(t,s') \mathcal{P} \mathcal{L}_T \bar{G}_Q(s',s) \mathcal{L}_T \mathcal{P} G_{P,I}(s,0) \\
 &= 1 + \int_0^t ds' \int_0^{s'} ds \mathcal{P} G_0(0,s') \mathcal{L}_T G_0(s',0) \bar{G}_Q(0,s') \bar{G}_Q(s',s) G_0(s,0) \\
 &\quad G_0(0,s) \mathcal{L}_T G_0(s,0) \mathcal{P} G_{P,I}(s,0) \\
 &= 1 + \int_0^t ds' \int_0^{s'} ds \mathcal{P} \mathcal{L}_{T,I}(s') G_0(0,s') \bar{G}_Q(s',s) G_0(s,0) \mathcal{L}_{T,I}(s) \mathcal{P} G_{P,I}(s,0) \mathcal{P}
 \end{aligned}$$

On the other side

$$G_0(0, s') \bar{G}_Q(s', s) G_0(s, 0) \equiv \bar{G}_{Q, I}(s', s)$$

$$= 1 + \int_s^{s'} ds'' G_0(0, s'') Q L_T Q G_0(s'', 0) G_0(0, s'') \bar{G}_Q(s'', s) G_0(s, s'')$$

$$= 1 + \int_s^{s'} ds'' Q L_{T, I}(s'') Q \bar{G}_{Q, I}(s'', s)$$

Summarizing

$$\left\{ \begin{aligned} G_{P, I}(t, 0) &= 1 + \int_0^t ds' \int_0^{s'} ds'' \rho L_{T, I}(s') \bar{G}_{Q, I}(s', s'') L_{T, I}(s'') \rho G_{P, I}(s'', 0) \\ \bar{G}_{Q, I}(s', s) &= 1 + \int_s^{s'} ds'' Q L_{T, I}(s'') Q \bar{G}_{Q, I}(s'', s) \end{aligned} \right. \quad (2.98)$$

$\bar{G}_{Q, I}(s', s)$  to lowest order is 1. It follows that, to lowest non vanishing order:

$$\frac{d}{dt} G_{P, I}(t) = \int_0^t dt' \rho L_{T, I}(t) L_{T, I}(t') \rho G_{P, I}(t', 0)$$

or in other terms, by applying the <sup>super-</sup>operator above to  $\rho \rho_I(0) = \rho(0)$ .

$$\frac{d}{dt} \rho \rho_I(t) = \int_0^t dt' \rho L_{T, I}(t) L_{T, I}(t') \rho \rho_I(t') \quad (2.99)$$

which coincides with eq. (2.29) if we trace on both sides over the both degrees of freedom.

## 2.5.2 The T-matrix approach

The idea consists in assuming a Hamiltonian of the form

$$H(t) = \underbrace{H_S + H_B}_{= H_0} + H_{S-B} e^{\eta t} \quad (2.100)$$

where  $0 < \eta \ll 1$ . Thus the interaction starts adiabatically at  $t = -\infty$  and it is turned on completely at  $t = 0$ . For a large time interval around  $t = 0$  the total Hamiltonian contains thus  $H_S$ ,  $H_B$  and  $H_{S-B}$ .

Now, let us consider 2 different eigenstates of  $H_0$ ,  $|i\rangle$  and  $|f\rangle$  with associated energies  $E_i$  and  $E_f$ . Let us further assume that at time  $t_0$  the system and bath combination are in the initial state vector  $|i\rangle$ .

What is the probability that S+B is in the state vector  $|f\rangle$  at time  $t$ ?

$$P(t_0) = |i\rangle\langle i| \Rightarrow P(t) = U(t, t_0) |i\rangle\langle i| U^\dagger(t, t_0) \quad (2.101)$$

Thus, the probability reads

$$P_{fi}(t, t_0) = \text{Tr} \{ |f\rangle\langle f| P(t) \} = |\langle f | U(t, t_0) | i \rangle|^2 \quad (2.102)$$

Since  $H_0 |i, f\rangle = E_{i, f} |i, f\rangle$  and  $U_0(t_2, t_1) = U_0(t_2 - t_1) = \exp\left[-\frac{i}{\hbar} H_0 (t_2 - t_1)\right]$  we can also write (the phases formally disappear!)

$$P_{fi}(t, t_0) = |\langle f | U_S^\dagger(t, 0) U(t, t_0) | i \rangle|^2 = |\langle f | U_I(t, t_0) U_0^\dagger(t_0, 0) | i \rangle|^2 = |\langle f | U_I(t, t_0) | i \rangle|^2$$

where  $t = 0$  is conventionally taken as the time at which all representation coincide.

Intermediate on interaction picture.

Let us consider the time dependent Hamiltonian:

$$H = H_0 + V(t)$$

We can associate to  $H$  the time evolution operator  $U(t_2, t_1)$  such that

$$U(t_2, t_1) |\psi(t_1)\rangle = |\psi(t_2)\rangle \quad (2.103)$$

Now, let us choose  $t_0$  as the time at which all representations are equal. The evolution in interaction picture is by definition the one that brings  $|\psi(t_2)\rangle_I$  into  $|\psi(t_1)\rangle_I$ . But  $|\psi(t_2)\rangle_I = U_0^\dagger(t_2, t_0) |\psi(t_2)\rangle$  and  $|\psi(t_1)\rangle_I = U_0^\dagger(t_1, t_0) |\psi(t_1)\rangle$ . From (2.73) one obtains

$$U(t_2, t_1) U_0(t_1, t_0) |\psi(t_1)\rangle_I = U_0(t_2, t_0) |\psi(t_2)\rangle_I$$

or

$$\underbrace{U_0^\dagger(t_2, t_0) U(t_2, t_1) U_0(t_1, t_0)}_{U_I(t_2, t_1)} |\psi(t_1)\rangle_I = |\psi(t_2)\rangle_I \quad (2.104)$$

For a closed expression of  $U_I(t_2, t_1)$  it is enough to notice that:

$$\frac{\partial}{\partial t_2} U_I(t_2, t_1) = U_0^\dagger(t_2, t_0) V(t_2) U_0(t_2, t_0) U_I(t_2, t_1) \quad \text{and} \quad U_I(t_1, t_1) = 1$$

$$\Rightarrow U_I(t_2, t_1) = T \leftarrow \exp \left[ \left( -\frac{i}{\hbar} \right) \int_{t_1}^{t_2} U_0^\dagger(t', t_0) V(t') U_0(t', t_0) dt' \right]$$

By integrating now the equation of motion of  $U_I$  (Eq. 4.22) we obtain

$$P_{fi}(t, t_0) = \left| \langle f | T_{\leftarrow} \exp \left[ \left( -\frac{i}{\hbar} \right) \int_{t_0}^t dt' (H_{S-B} e^{\eta t'}) \right] | i \rangle \right|^2 \quad (2.105)$$

Instead of calculating now directly  $P_{fi}(t, t_0)$  I concentrate on its  
RATE OF CHANGE

$$\Gamma_{fi}(t, t_0) = \frac{d}{dt} P_{fi}(t, t_0) \quad (2.106)$$

The essence of the T-matrix approach to the master equation relies on the evaluation of (2.106) for  $t_0 \rightarrow -\infty$  and  $\eta \rightarrow 0^+$ , in the order.

The statement is that

$$\Gamma_{fi}(t, -\infty) = 2\pi \delta(E_i - E_f) \left| \langle f | T(E_i) | i \rangle \right|^2 \quad (2.107)$$

where

$$T(E_i) = H_{S-B} + H_{S-B} (E_i - H_0 + i0^+)^{-1} T(E_i) \quad (2.107b)$$

in the T-matrix. Let us prove (2.107). First we develop  $U_I(t, t_0)$

in series

$$U_I(t, t_0) = T_{\leftarrow} \sum_{n=0}^{\infty} \left( -\frac{i}{\hbar} \right)^n \frac{1}{n!} \prod_{i=1}^n \int_{t_0}^t dt_i (H_{S-B} e^{\eta t_i}) | i \rangle$$

For  $n=0$   $\prod_{i=1}^0 = 1$ , conventionally.  $\Rightarrow$  the rate vanishes because it is time independent.

$$\boxed{n=1} \quad \Gamma_{fi}^{(1)}(t, t_0) = \frac{d}{dt} \left| \langle f | \left( -\frac{i}{\hbar} \right) \int_{t_0}^t dt' (H_{S-B} e^{\eta t'}) | i \rangle \right|^2 =$$

$$= \left( \frac{d}{dt} F(t) \right) F^*(t) + F(t) \left( \frac{d}{dt} F^*(t) \right) = 2 \operatorname{Re} \left[ \left( \frac{d}{dt} F(t) \right) F^*(t) \right]$$

$$= \frac{1}{\hbar^2} 2\text{Re} \left\{ \langle f | e^{iH_0 t/\hbar} H_{S-B} e^{\eta t} e^{-iH_0 t/\hbar} | i \rangle \langle i | \int_{t_0}^t dt' e^{iH_0 t'/\hbar} H_{S-B} e^{\eta t'} e^{-iH_0 t'/\hbar} | f \rangle \right\}$$

$$= \frac{1}{\hbar^2} 2\text{Re} \left\{ \exp \left[ \frac{i}{\hbar} (E_f - E_i) t + \eta t \right] \langle f | H_{S-B} | i \rangle \langle i | H_{S-B} | f \rangle \int_{t_0}^t dt' \exp \left[ \frac{i}{\hbar} (E_i - E_f) t' + \eta t' \right] \right\}$$

$$= \frac{1}{\hbar^2} |\langle f | H_{S-B} | i \rangle|^2 2\text{Re} \left\{ \exp \left[ \frac{i}{\hbar} (E_f - E_i) t + \eta t \right] \cdot \frac{1}{\frac{i}{\hbar} (E_i - E_f) + \eta} \left( \exp \left[ \frac{i}{\hbar} (E_i - E_f) t + \eta t \right] - \exp \left[ \frac{i}{\hbar} (E_i - E_f) t_0 + \eta t_0 \right] \right) \right\}$$

$t_0 \rightarrow -\infty$

$$= \frac{e^{2\eta t}}{\hbar^2} |\langle f | H_{S-B} | i \rangle|^2 \frac{2\eta}{\left( \frac{E_i - E_f}{\hbar} \right)^2 + \eta^2} \stackrel{\eta \rightarrow 0^+}{=} \frac{2\pi}{\hbar^2} |\langle f | H_{S-B} | i \rangle|^2 \delta \left( \frac{E_i - E_f}{\hbar} \right)$$

$$= \frac{2\pi}{\hbar} |\langle f | H_{S-B} | i \rangle|^2 \delta(E_i - E_f) \quad \checkmark$$

$n=2$

$$\Gamma_{fi}^{(2)}(t, t_0) = \frac{d}{dt} \left| \langle f | \left( -\frac{1}{\hbar^2} \right) \int_{t_0}^t dt_1 \int_{t_0}^{t_1} dt_2 (H_{S-B} e^{\eta t_1}) (t_1) (H_{S-B} e^{\eta t_2}) (t_2) | i \rangle \right|^2$$

$$= \frac{1}{\hbar^4} 2\text{Re} \left\{ \langle f | e^{iH_0 t/\hbar + \eta t} H_{S-B} e^{-iH_0 t/\hbar} \int_{t_0}^t dt_2 e^{iH_0 t_2/\hbar + \eta t_2} H_{S-B} e^{-iH_0 t_2/\hbar} | i \rangle \right. \\ \left. \langle i | \int_{t_0}^t dt_1 \int_{t_0}^{t_1} dt_2 e^{iH_0 t_1/\hbar + \eta t_1} H_{S-B} e^{-iH_0 t_1/\hbar} e^{iH_0 t_2/\hbar + \eta t_2} H_{S-B} e^{-iH_0 t_2/\hbar} | f \rangle \right\}$$

$$= \frac{1}{\hbar^4} 2\text{Re} \left\{ \exp \left[ \frac{i}{\hbar} E_f t + \eta t \right] \langle f | H_{S-B} e^{-iH_0 t/\hbar} \left( \frac{iH_0}{\hbar} + \eta - \frac{iE_i}{\hbar} \right)^{-1} \left( \exp \left[ \frac{i}{\hbar} H_0 t + \eta t - \frac{iE_i t}{\hbar} \right] H_{S-B} | i \rangle \right. \right. \\ \left. \left. \int_{t_0}^t dt_1 \int_{t_0}^{t_1} dt_2 \exp \left[ \frac{i}{\hbar} E_i t_1 + \eta t_1 \right] \langle i | H_{S-B} \exp \left[ -\frac{i}{\hbar} H_0 (t_1 - t_2) \right] H_{S-B} | f \rangle e^{\eta t_2 - \frac{i}{\hbar} E_f t_2} \right) \right\}$$



$$= \frac{1}{\hbar^4} 2\text{Re} \int \exp \left[ \frac{i}{\hbar} (E_f - E_i) t + 2\eta t \right] \langle f | H_{S-B} \left( \frac{i}{\hbar} H_0 + \eta - \frac{i}{\hbar} E_i \right)^{-1} H_{S-B} | i \rangle$$

$$\int_{t_0}^t dt_1 \exp \left[ \frac{i}{\hbar} E_i t_1 + \eta t_1 \right] \langle i | H_{S-B} \left( \frac{i}{\hbar} H_0 - \frac{i}{\hbar} E_f + \eta \right)^{-1} e^{-\frac{i}{\hbar} H_0 t_1} \cdot$$

$$\cdot \exp \left[ \left( \frac{i}{\hbar} H_0 - \frac{i}{\hbar} E_f + \eta \right) t_1 \right] H_{S-B} | f \rangle \Bigg\} =$$

$$= \frac{1}{\hbar^4} 2\text{Re} \int \exp \left[ \frac{i}{\hbar} (E_f - E_i) t + 2\eta t \right] \langle f | H_{S-B} \left( \frac{i}{\hbar} H_0 + \eta - \frac{i}{\hbar} E_i \right)^{-1} H_{S-B} | i \rangle$$

$$\langle i | H_{S-B} \left( \frac{i}{\hbar} H_0 + \eta - \frac{i}{\hbar} E_f \right)^{-1} H_{S-B} | f \rangle \frac{1}{\frac{i}{\hbar} (E_i - E_f) + 2\eta} \exp \left[ \frac{i}{\hbar} (E_i - E_f) t + 2\eta t \right] \Bigg\}$$

$$= \frac{1}{\hbar^4} \left| \langle f | H_{S-B} \left( \frac{i}{\hbar} (H_0 - E_i) + 0^+ \right)^{-1} H_{S-B} | i \rangle \right|^2 2\pi \hbar \delta(E_i - E_f)$$

$$= \frac{2\pi}{\hbar} \left| \langle f | H_{S-B} (E_i - H_0 + i0^+)^{-1} H_{S-B} | i \rangle \right|^2 \delta(E_i - E_f) \checkmark$$

In order to extract the matrix elements from the Re function we have used the relation

$$\langle f | H_{S-B} \left( \frac{i}{\hbar} (H_0 - E_i) + \eta \right)^{-1} H_{S-B} | i \rangle = \langle i | H_{S-B} \left( \frac{i}{\hbar} (H_0 - E_f) + \eta \right)^{-1} H_{S-B} | f \rangle^*$$

which can be proven as follows:

$$\langle f | H_{S-B} \left( \frac{i}{\hbar} (H_0 - E_i) + \eta \right)^{-1} H_{S-B} | i \rangle^* = \sum_m \left( \langle f | H_{S-B} | m \rangle \frac{1}{\frac{i}{\hbar} (E_m - E_i) + \eta} \langle m | H_{S-B} | i \rangle \right)^*$$

$$= \sum_m \langle i | H_{S-B} | m \rangle \frac{1}{\frac{i}{\hbar} (E_i - E_m) + \eta} \langle m | H_{S-B} | f \rangle = \sum_{m'} \langle i | H_{S-B} | m' \rangle \frac{1}{\frac{i}{\hbar} (E_m' - E_f) + \eta} \langle m' | H_{S-B} | f \rangle$$

$$E_{m'} = E_i + E_f - E_m$$

The connection to the master equation is obtained by choosing both  $|i\rangle$  and  $|f\rangle$  as product states of  $|n\rangle_S \otimes |i\rangle_B$  and  $|m\rangle_S \otimes |f\rangle_B$ . The rate from  $n \rightarrow m$  is then constructed as

$$\tilde{\Gamma}_{n \rightarrow m} = 2\pi \sum_{i,f} W_i |\langle f|_B \langle m|_S T |n\rangle_S \otimes |i\rangle_B|^2 \delta(E_n + \epsilon_i - E_m - \epsilon_f)$$

where  $E_m$  ( $\epsilon_i$ ) are eigenenergies of system (bath) states and  $W_i$  is the probability to find the bath in the initial state  $|i\rangle_B$ .

The factorization assumption is about the  $S+B$  at time  $t_0 \rightarrow -\infty$  and is analogous to the  $\rho = \rho_S \otimes \rho_B$  of the density operator approach.

The next step is the identification of  $\tilde{\Gamma}_{n \rightarrow m}$  with the rates  $W_{mn}$  of (2.47). More specifically  $\tilde{\Gamma}_{n \rightarrow m} = W_{mn}$  when evaluated to lowest order in  $H_{S-B}$ . Otherwise it should be considered as a generalization.

Notice that the rate  $\tilde{\Gamma}_{n \rightarrow m}$  represents the variation of the population per unit time of the state  $|m\rangle$  at time  $t$  under the assumption that the system was in  $|n\rangle$  at time  $t_0 \rightarrow -\infty$ . The rate  $\Gamma_{mn}$  in the BRE represents the rate of transition at EQUAL time.  $\Rightarrow$ , in principle a different concept. To lowest order in  $H_I$ , though, they coincide since multiple interaction events interleaved by free system evolution are neglected.