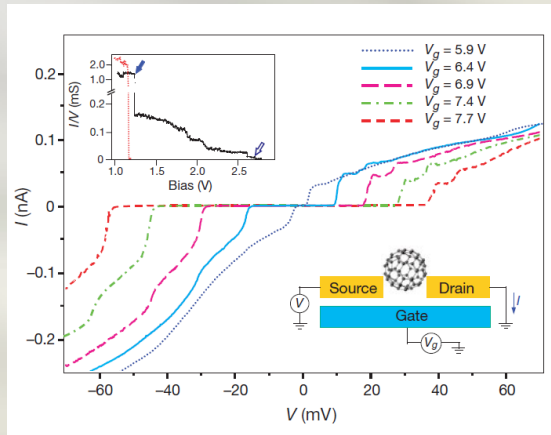# Modelling vibrations in STM single molecule junctions: a step beyond the Anderson-Holstein paradigm
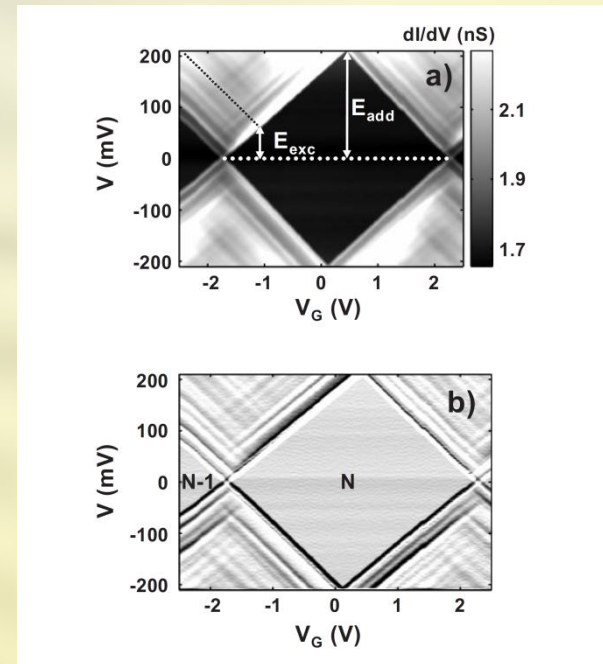
Andrea Donarini, Raphael Kozlowsky, Wael Karain

University of Regensburg, Germany
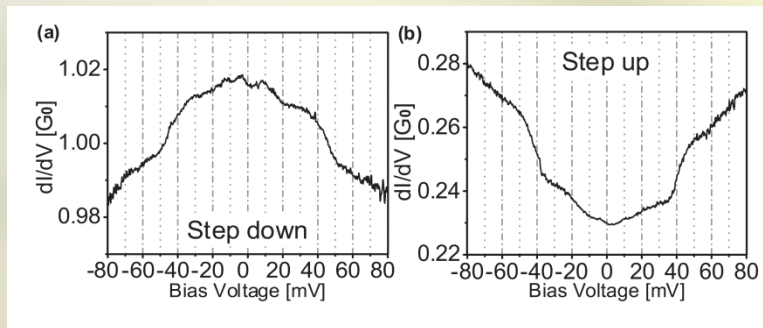Birzeit University, Palestine

# Vibrations in single molecule junctions
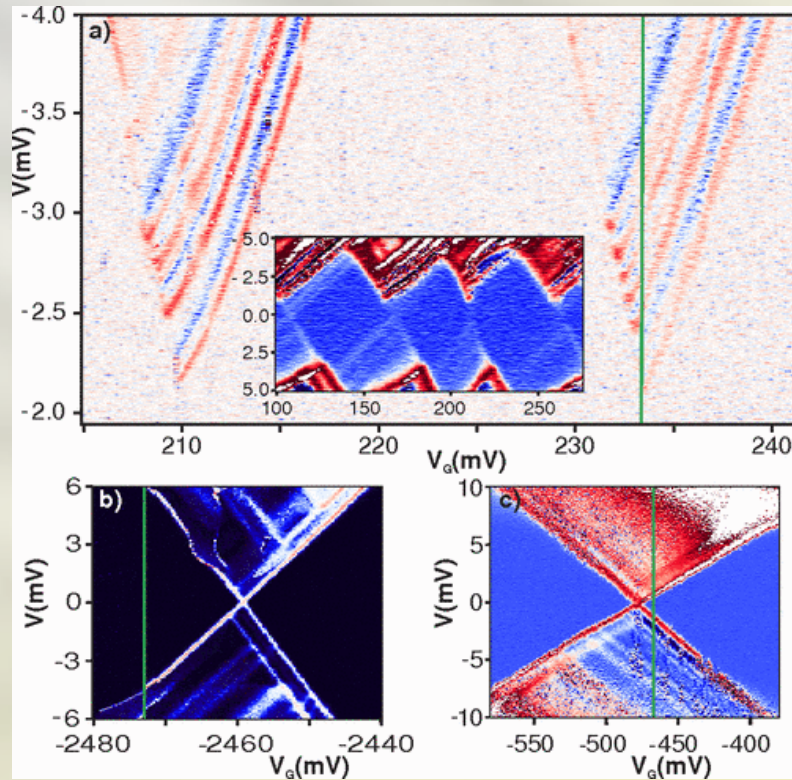


H. Park et al. Nature **407**, 57 (2000)



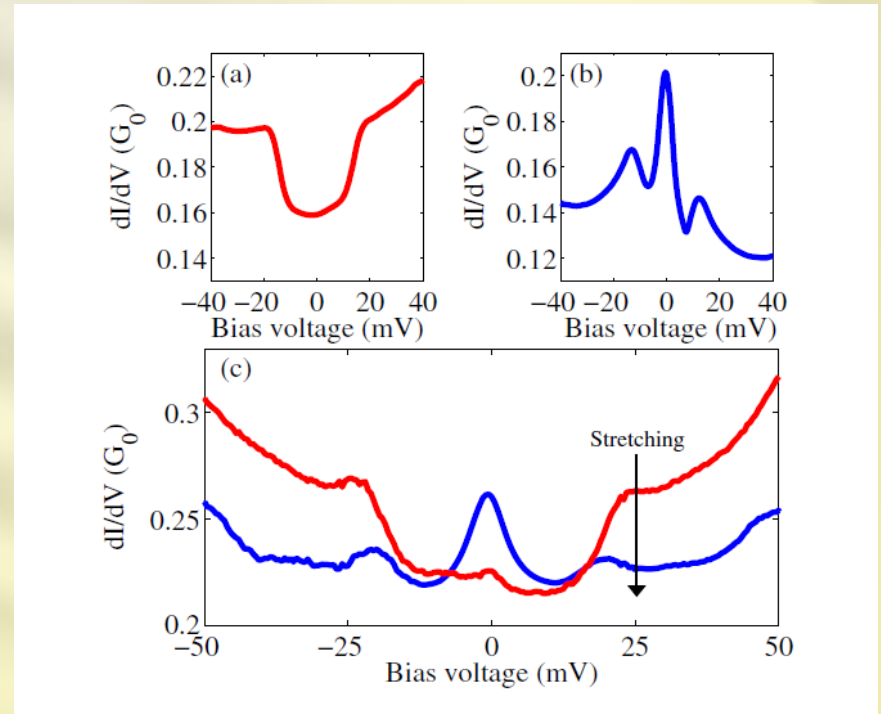O. Tal et al. PRL **100**, 196804 (2008)



E.A.Osorio et al. Advanced Mater. **19**, 281 (2007)

# Vibrations in single molecule junctions
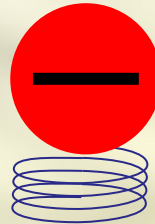


S. Sapmaz et al. PRL **96,** 026801 (2006)

D. Rakhmilevitch et al. PRL **113,** 236603 (2014)
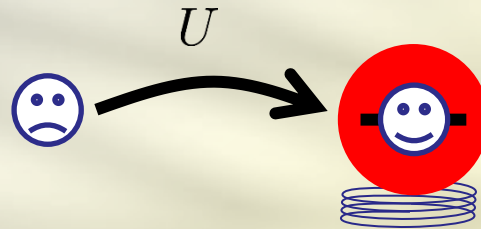
# Anderson-Holstein model

$$H = H_{\mathrm{mol}} + H_{\mathrm{leads}} + H_{\mathrm{tun}}$$



$$H_{\mathrm{mol}} = \epsilon\, N + \frac{U}{2} N(N-1) + \hbar\omega \left( \frac{1}{2} + a^{\dagger}a \right) + \lambda N(a^{\dagger} + a)$$
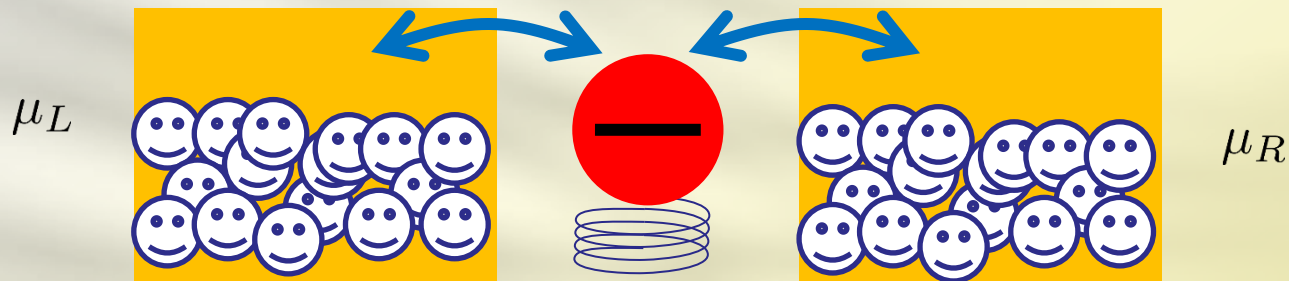
# Anderson-Holstein model

$$H = H_{\mathrm{mol}} + H_{\mathrm{leads}} + H_{\mathrm{tun}}$$



$$H_{\mathrm{mol}} = \epsilon\, N + \frac{U}{2} N(N-1) + \hbar\omega \left( \frac{1}{2} + a^\dagger a \right) + \lambda N(a^\dagger + a)$$

$$\underbrace{\hphantom{\hbar\omega \left( \frac{1}{2} + a^\dagger a \right) + \lambda N(a^\dagger + a)}}$$

$$\frac{P^2}{2M} + \frac{1}{2} M\omega^2 X^2 + CX$$

# Anderson-Holstein model

$$H = H_{\text{mol}} + H_{\text{leads}} + H_{\text{tun}}$$
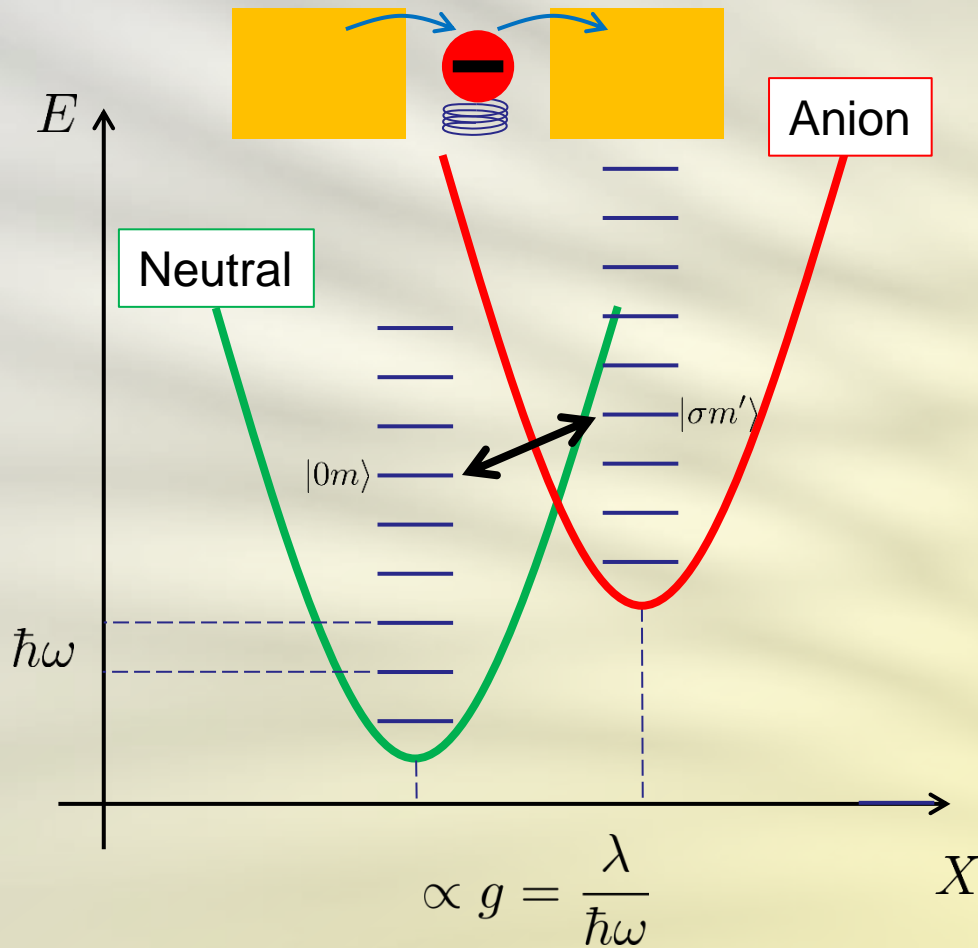


$\mu_L$     $\mu_R$

$$H_{\text{mol}} = \epsilon\, N + \frac{U}{2} N(N-1) + \hbar\omega \left( \frac{1}{2} + a^\dagger a \right) + \lambda N(a^\dagger + a)$$

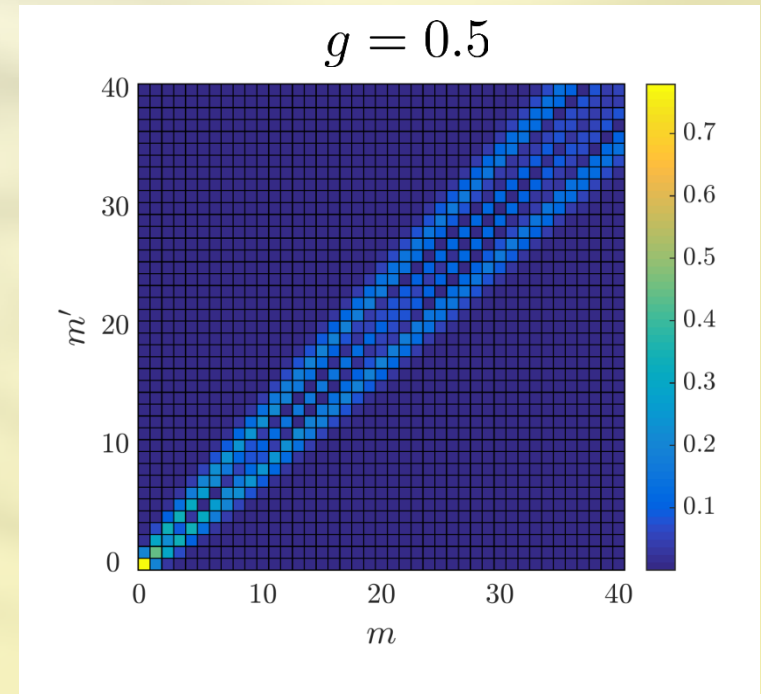$$H_{\text{leads}} = \sum_{\alpha\, k\, \sigma} \epsilon_{\alpha\, k\, \sigma}\, c^\dagger_{\alpha\, k\, \sigma} c_{\alpha\, k\, \sigma}$$

$$H_{\text{tun}} = \sum_{\alpha\, k\, \sigma} t_\alpha\, c^\dagger_{\alpha\, k\, \sigma} d_\sigma + H.c.$$

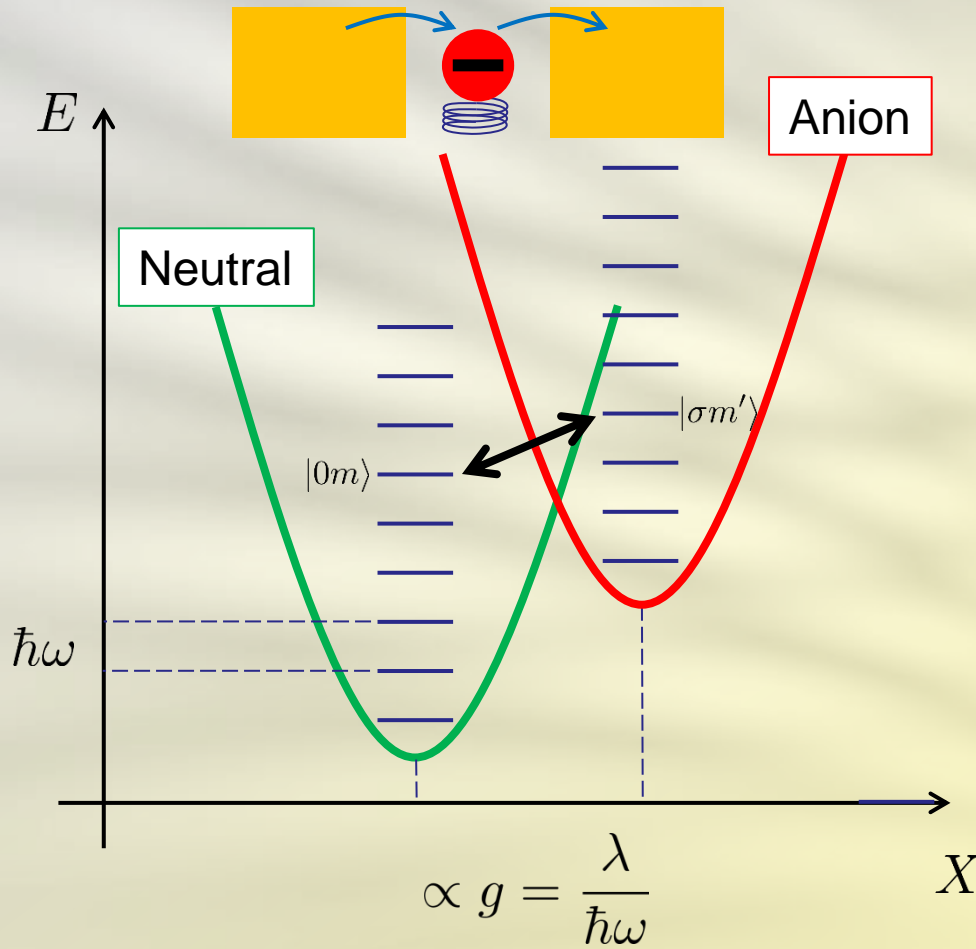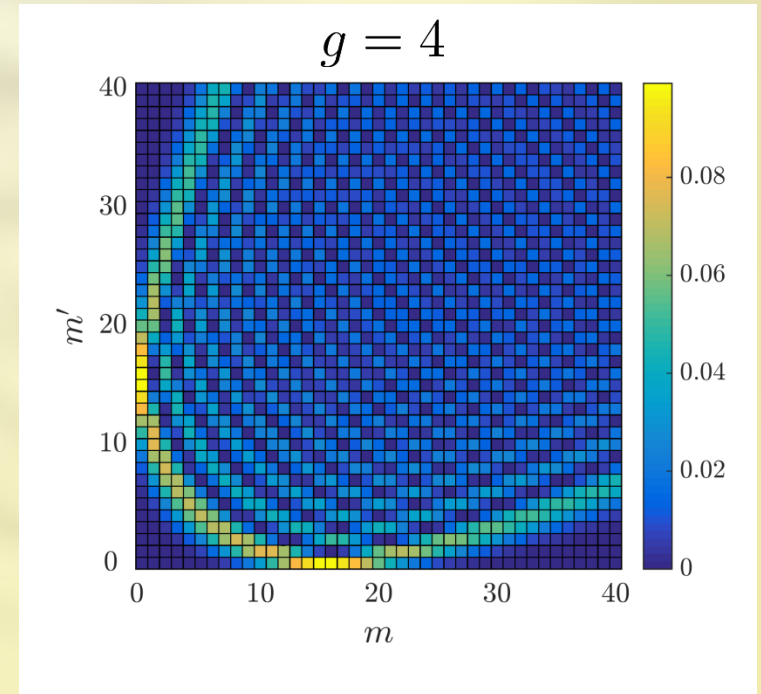$H_{\text{mol}}$ is diagonalized by a polaron transformation

# Franck-Condon Picture



$$F(m, m'; g) = |\langle 0m|d_\sigma|\sigma m'\rangle|^2$$

# Franck-Condon Picture



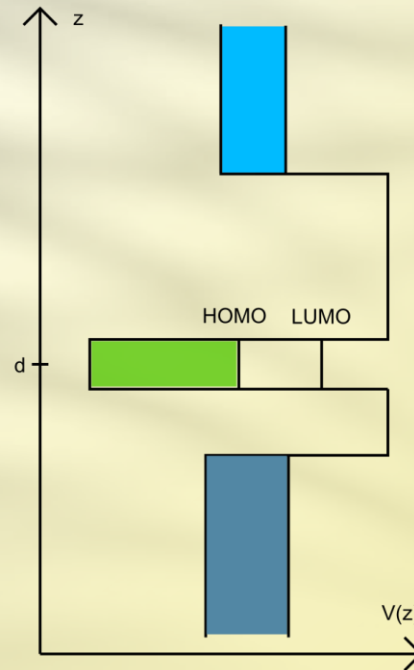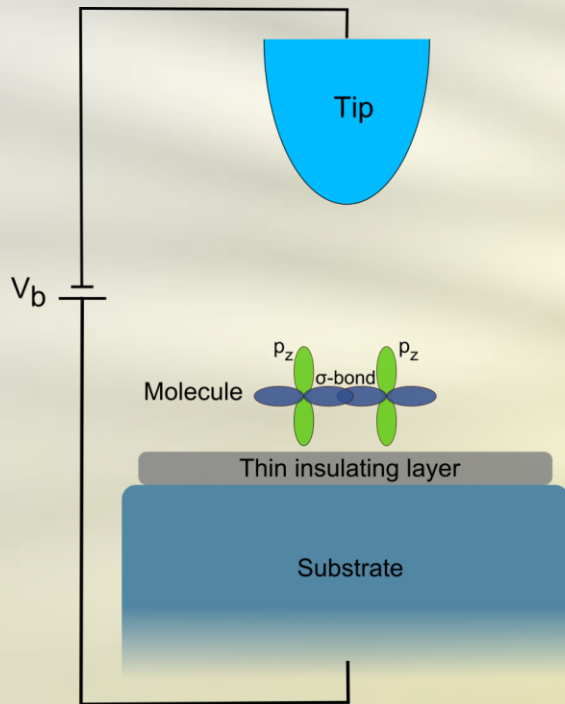$$F(m, m'; g) = |\langle 0m | d_\sigma | \sigma m' \rangle|^2$$

# Dynamics: rate equations

$$\dot{P}_n^N = -\sum_{\alpha m}(\Gamma_{\alpha,nm}^{N,+} + \Gamma_{\alpha,nm}^{N,-})P_n^N + \sum_{\alpha m}\Gamma_{\alpha,mn}^{N-1,+}P_m^{N-1} + \sum_{\alpha m}\Gamma_{\alpha,mn}^{N+1,-}P_m^{N+1}$$

$$-\Gamma_{\text{rel}}\left(P_n^N - \delta_{n0}\sum_m P_m^N\right)$$

Vibrational relaxation
which does NOT change
the particle number

$$\Gamma_{\alpha,nm}^{M,+} = \frac{2\pi}{\hbar}|t_\alpha|^2 D_\alpha\, F(n,m;g)f^+(E_{M+1,m} - E_{M,n} - \mu_\alpha)$$

$$\Gamma_{\alpha,nm}^{M,-} = \frac{2\pi}{\hbar}|t_\alpha|^2 D_\alpha\, F(n,m;g)f^-(E_{M,n} - E_{M-1,m} - \mu_\alpha)$$

# Scanning tunnelling microscopy on thin insulating films

Tip

$V_b$

$p_z$ $p_z$

$\sigma$-bond

Molecule

Thin insulating layer

Substrate

z

HOMO LUMO

d

V(z)

Strong asymmetry
in the barrier strengths

$$\bar{\Gamma}_{\mathrm{tip}} \ll \bar{\Gamma}_{\mathrm{sub}}$$
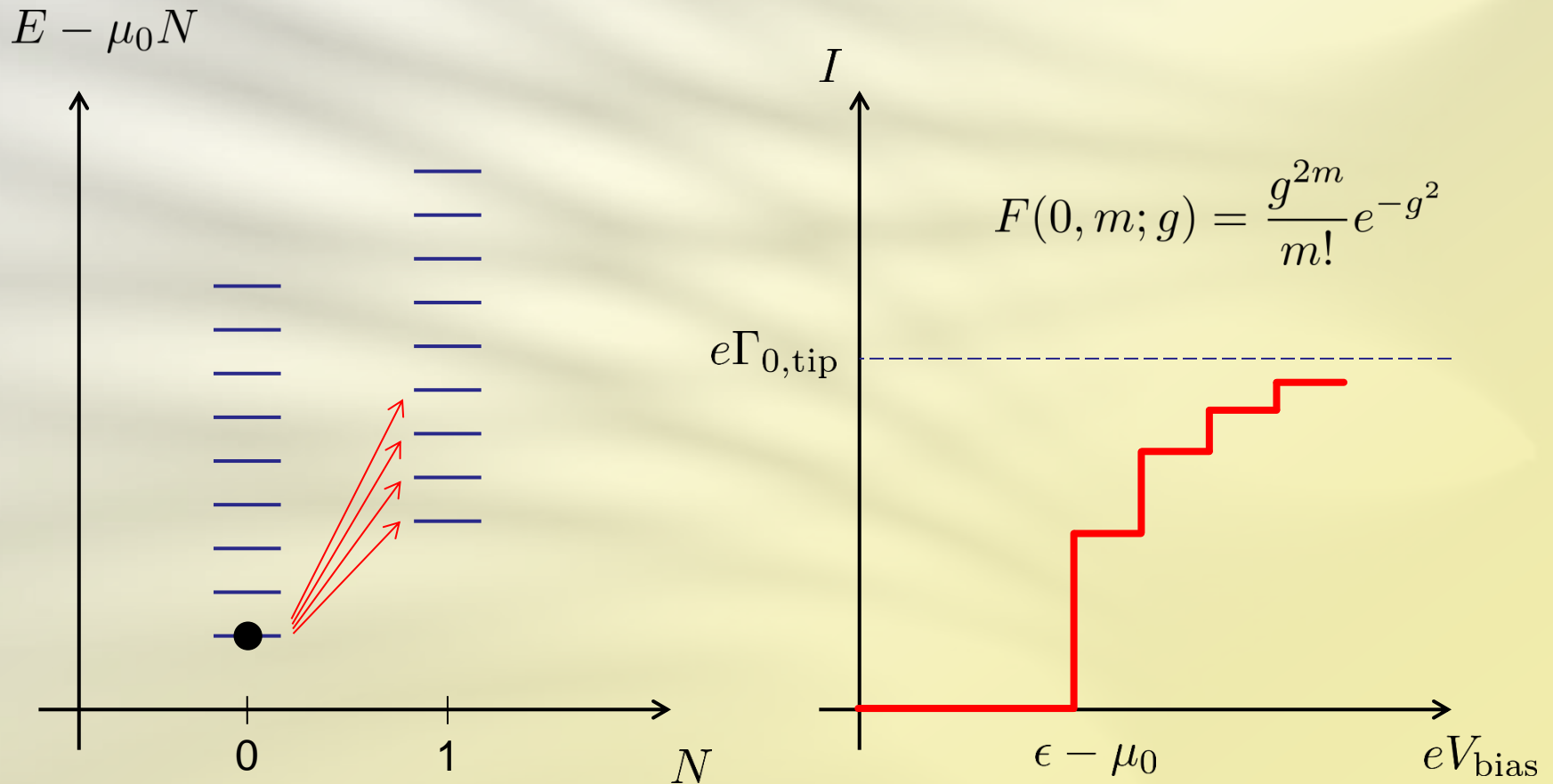
Several channels for
vibrational relaxation

$$\Gamma_{\mathrm{rel}} \gg \bar{\Gamma}_{\mathrm{tip}}$$

Bias drop mainly on the
tip side

$$\mu_{\mathrm{tip}} = \mu_0 + eV_{\mathrm{bias}}$$

# Current

$$I_{\text{tip}} = e\cancel{P_0^0} \sum_m \Gamma_{0,\text{tip}} \, F(0,m;g) f^+[\epsilon + m\hbar\omega - \mu_0 - eV_{\text{bias}}]$$



$$F(0,m;g) = \frac{g^{2m}}{m!}e^{-g^2}$$

# Role of the tip position ?

The tunnelling amplitude between the tip and the molecule depends on the tip position. E.g. for a tip with *spherical* symmetry:

$$t_{\mathrm{tip}}(\mathbf{R}_{\mathrm{tip}}) = C\psi_{\mathrm{mol}}(\mathbf{R}_{\mathrm{tip}})$$
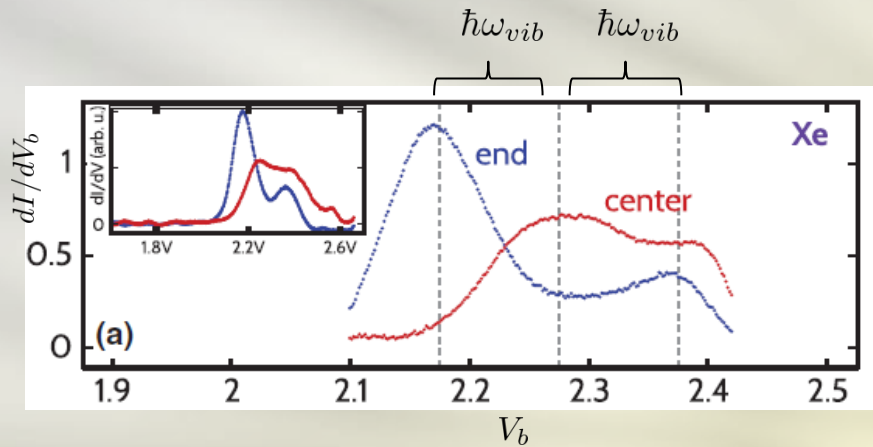
$$\Gamma_{0,\mathrm{tip}} = \frac{2\pi}{\hbar} D_{\mathrm{tip}} \, C^2 |\psi_{\mathrm{mol}}(\mathbf{R}_{\mathrm{tip}})|^2$$

By changing the tip position the current is **rescaled** by the profile of the molecular orbital which participates in the transport.
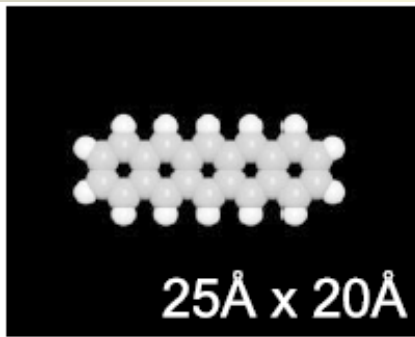
According to the Anderson-Holstein model, though, the current should NOT change its SHAPE.

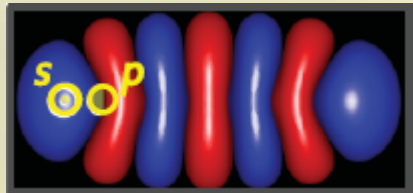# Spatially resolved vibronic spectroscopy



- position dependent dI/dV peaks
- the energy scale excludes higher electronic excitations
- if the tip and the (local) molecule symmetries match, the vibronic ground state transition is allowed
- if the symmetries do not match only transitions involving vibrational excited states are observed
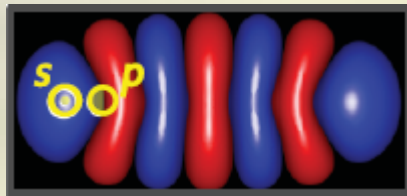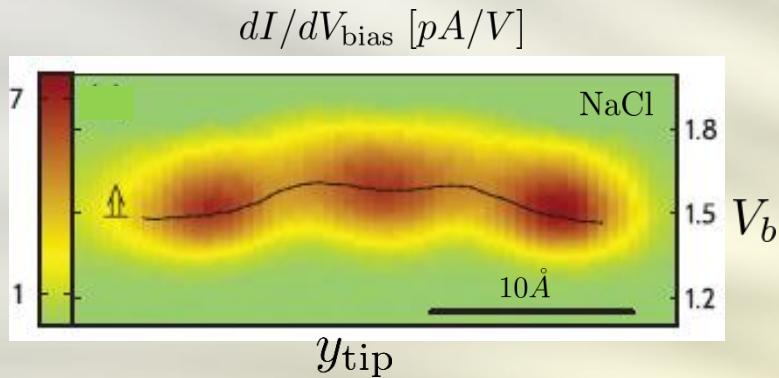  ⇨ "vibron-assisted tunnelling"

**Pentacene**



**LUMO**

# Spatially resolved vibronic spectroscopy



$dI/dV_{\mathrm{bias}}\ [pA/V]$

NaCl

$V_b$

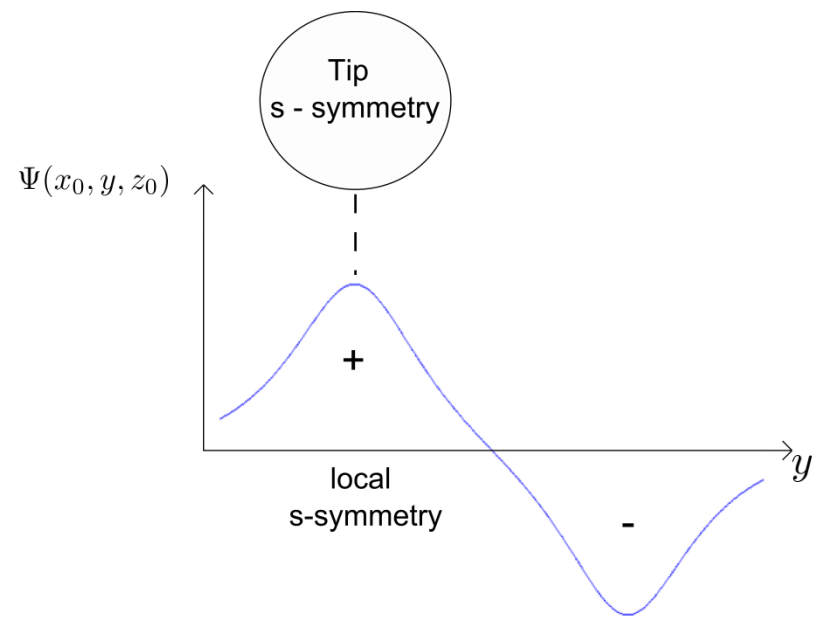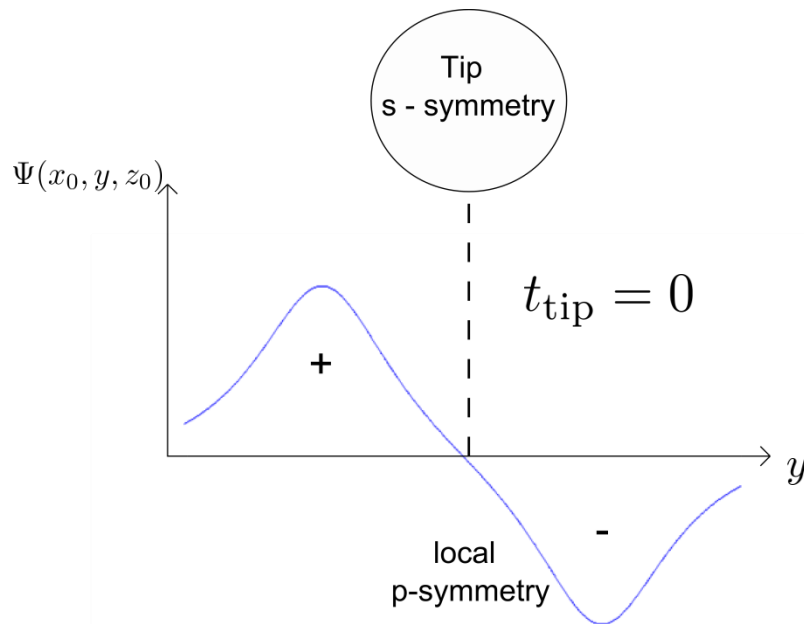$10\mathring{A}$

$y_{\mathrm{tip}}$

LUMO

- position dependent dI/dV peaks

- the energy scale excludes higher electronic excitations

- if the tip and the (local) molecule symmetries match, the vibronic ground state transition is allowed

- if the symmetries do not match only transitions involving vibrational excited states are observed

  ⇨ "vibron-assisted tunnelling"

# Chen's derivative rule

$$t_{\text{tip}}(R_{\text{tip}}) = C\psi_{\text{mol}}(R_{\text{tip}})$$

Tunnelling into an odd molecular orbital formed by two $p_z$ orbitals:

$$\psi_{\text{odd}}(\mathbf{r}) = \frac{1}{\sqrt{2}}\left[p_z(\mathbf{r} - \mathbf{r}_1) - p_z(\mathbf{r} - \mathbf{r}_2)\right]$$



C.J. Chen, Phys. Rev B **42**, 8841 (1990)

# Mechanical feedback

The molecular orbitals change their shape due to the molecular vibrations. Thus, the tunnelling amplitude is a function of the vibration coordinate

$$t_{\text{tip}}(\mathbf{R}_{\text{tip}}, X) = C\psi_{\text{mol}}(\mathbf{R}_{\text{tip}}, X)$$

$$\Gamma_{\text{tip}} = \frac{2\pi}{\hbar} D_{\text{tip}} C^2 |\psi_{\text{mol}}(\mathbf{R}_{\text{tip}}, X)|^2$$
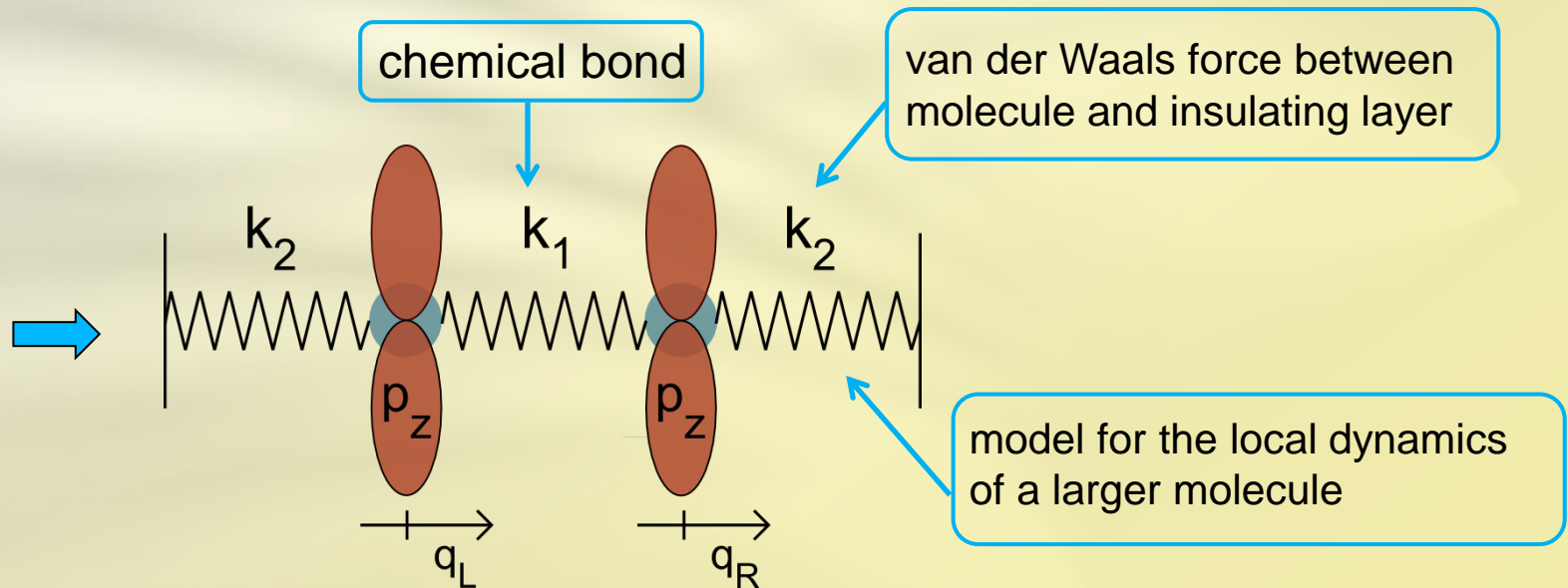
The tunnelling rates connecting the eigenstates are proportional to a set of modified Franck-Condon coefficients, e.g.

$$\Gamma_{\alpha,nm}^{M,+} = \frac{2\pi}{\hbar} D_\alpha \tilde{F}(n, m; g, \mathbf{R}_{\text{tip}}) f^+(E_{M+1,m} - E_{M,n} - \mu_\alpha)$$

$$\tilde{F}(n, m; g, \mathbf{R}_{\text{tip}}) = |\langle m|t_{\text{tip}}(\mathbf{R}_{\text{tip}}, X)e^{-ig\Delta X_0 P/\hbar}|n\rangle|^2$$
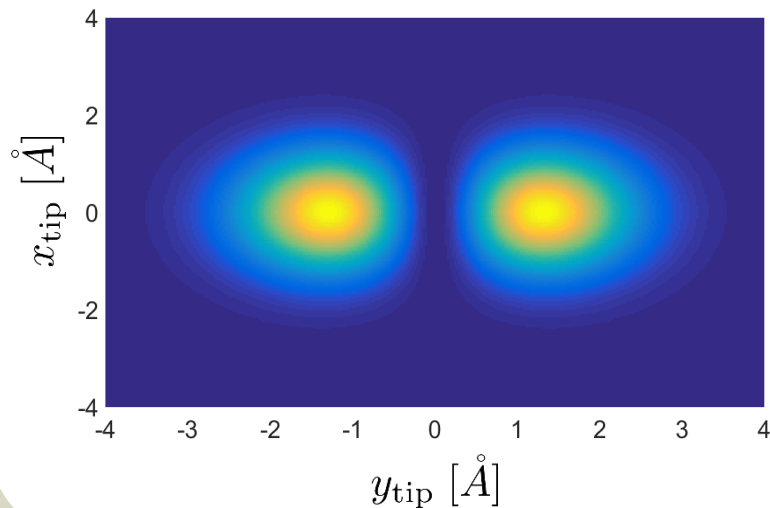
# Minimal model for the molecule

- molecular orbital with one nodal plane
- two vibrational modes
  - one mode moves the nodal plane
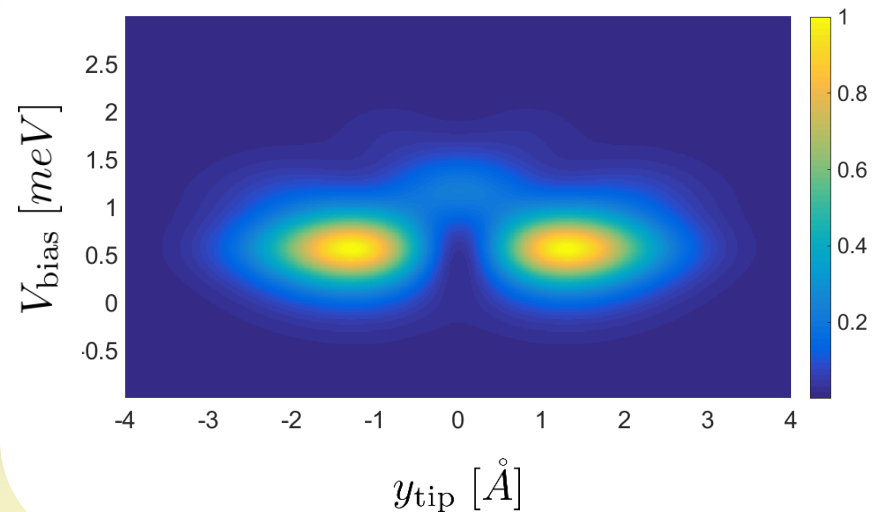  - one mode does not move the nodal plane

chemical bond

van der Waals force between molecule and insulating layer

$k_2$  $k_1$  $k_2$

$p_z$  $p_z$

$q_L$  $q_R$

model for the local dynamics of a larger molecule

Theory Seminar – Regensburg, 23.11.2016

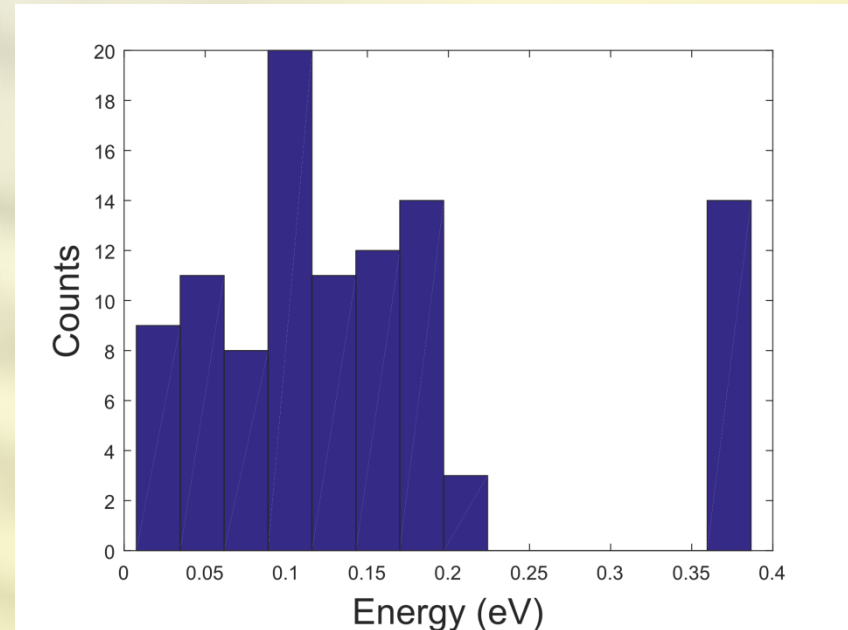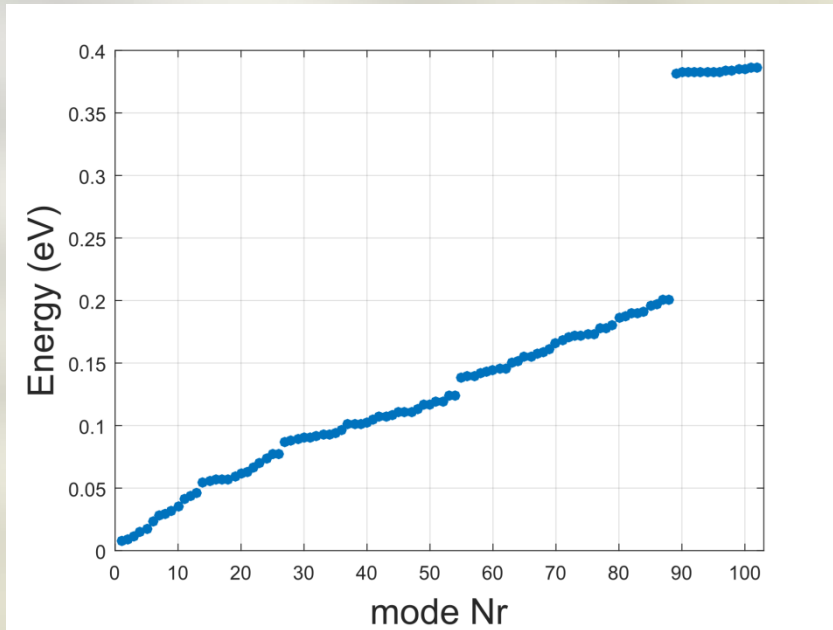# Spatially resolved vibronic spectroscopy



Constant height current

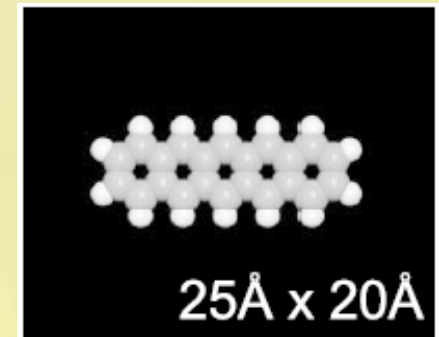$dI/dV_{\text{bias}}$

R. Kozlowsky, Master Thesis 2015

# Size of the effect

For realistic zero-point fluctuations the peak height in the center of the molecule is much smaller than the peak height at the end.
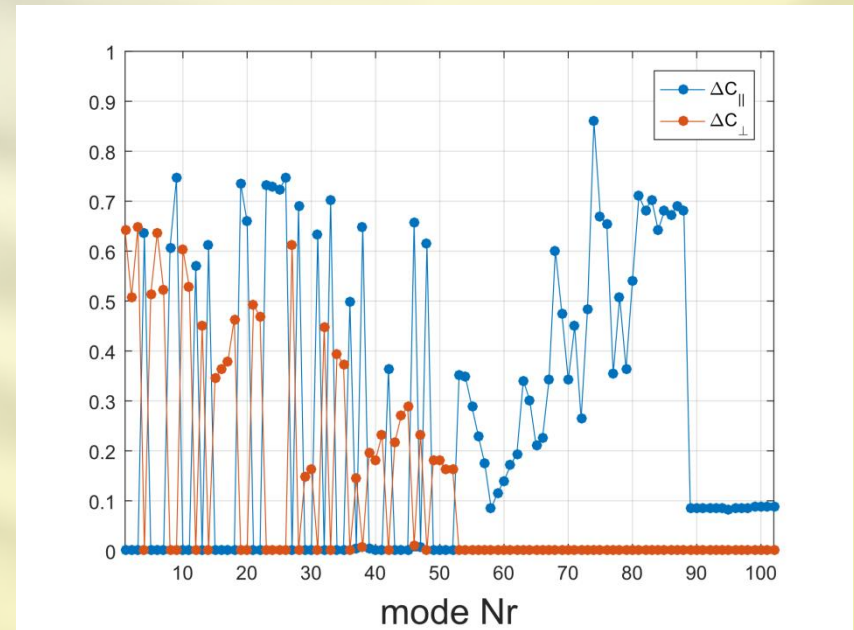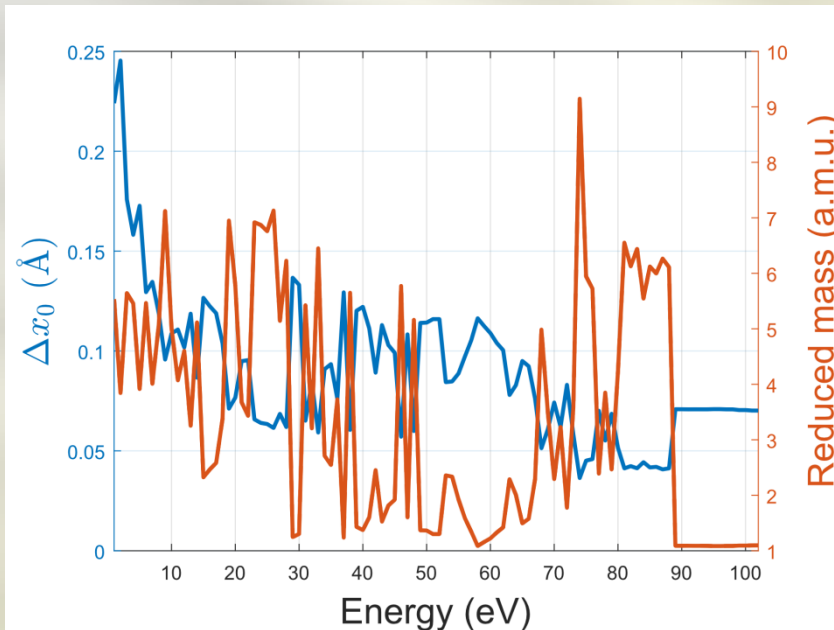


R. Kozlowsky, Master Thesis 2015

Theory Seminar – Regensburg, 23.11.2016
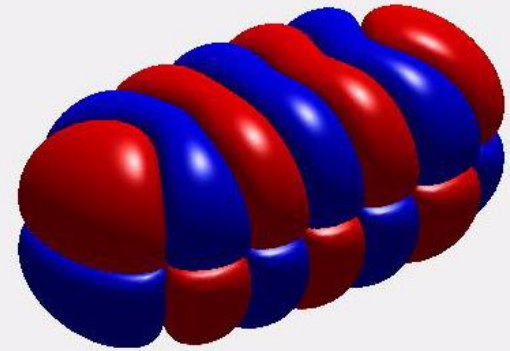
# The vibrational modes of pentacene



Frequencies and eigenmodes calculated with FHI-AIMS
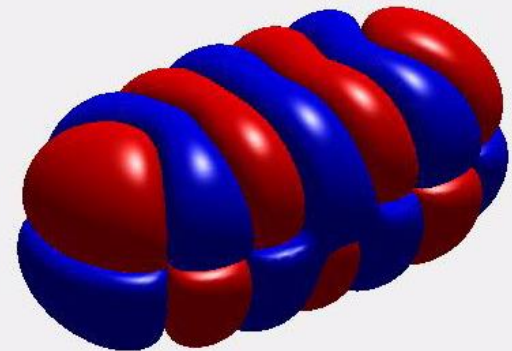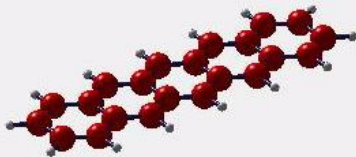

25Å x 20Å

# The vibrational modes of pentacene
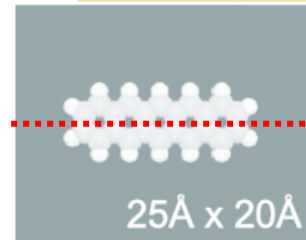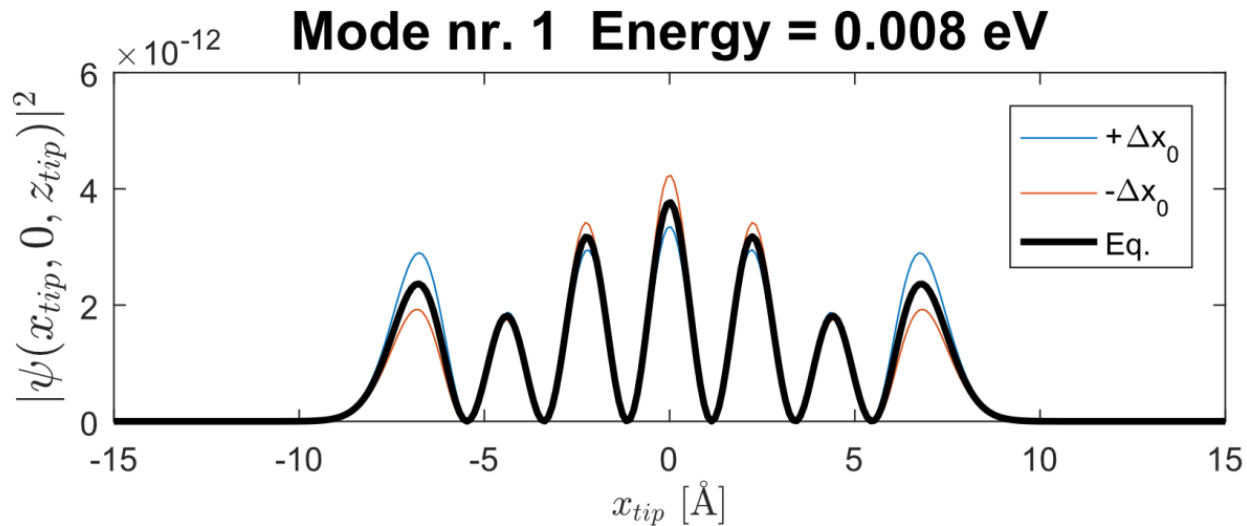


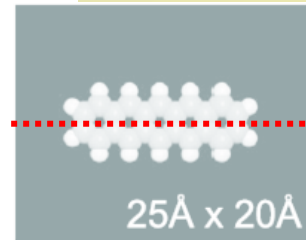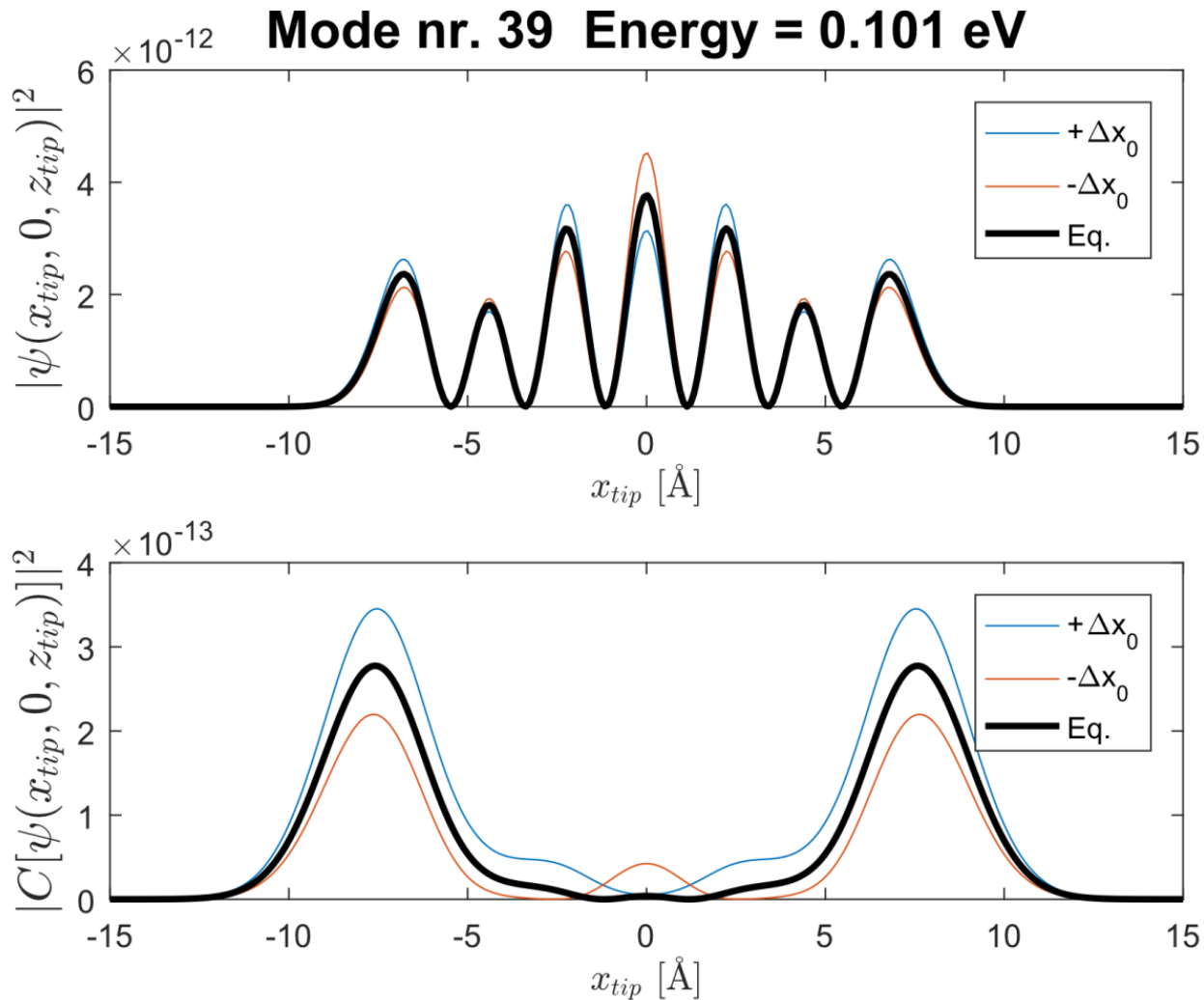Frequencies and eigenmodes calculated with FHI-AIMS

# Mode 1



# Mode 39

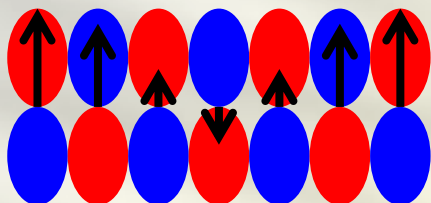# The vibrational modes of pentacene
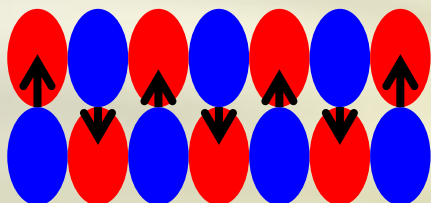
# The vibrational modes of pentacene

# Destructive interference

Mode 1



Electronic destructive interference
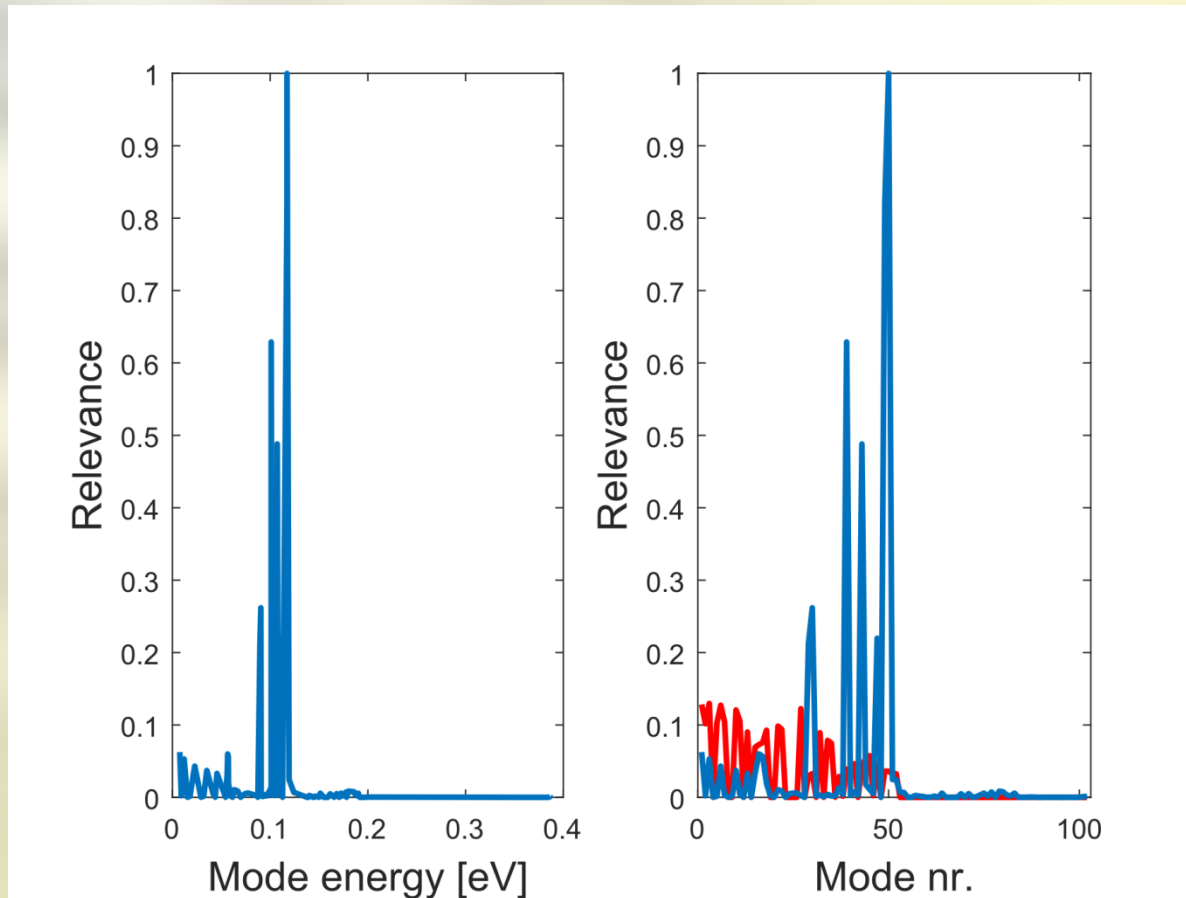hardly affected by the vibration

Mode 39



Electronic destructive interference
strongly affected by the vibration

Moreover, the out of plane oscillations of the H atoms causes in the higher
energy modes a $\sigma\pi$ of the molecular orbitals.

# The vibrational modes of pentacene

Relevance =  norm of the variation of the (convoluted) tunnelling
rate with respect to the mode coordinate

# Summary

1.  The Anderson-Holstein model fails in describing molecular vibrations in the STM setup

2.  Position resolved spectroscopy reflecting tip-molecule symmetry matching has been obtained by including a mechanical feedback to the tunnelling rate

3.  The effect predicted by the minimal model is much weaker than the one experimentally observed

4.  A detailed analysis of the vibrational eigenmodes of pentacene reveals promising vibrational modes for a realistic improvement of the theory

# Thank you
# for your attention!