

# Topographical fingerprints of many-body interference blocking in STM junctions on thin insulating films

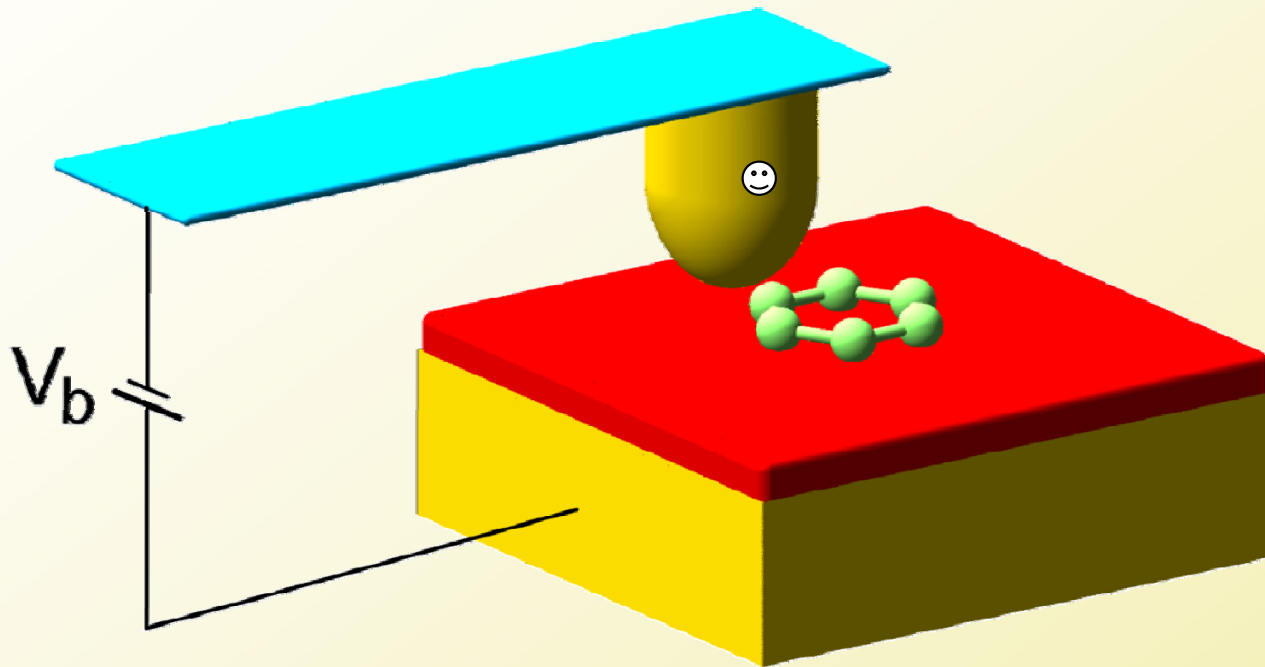
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*University of Regensburg, Germany*



# STM on thin insulating films



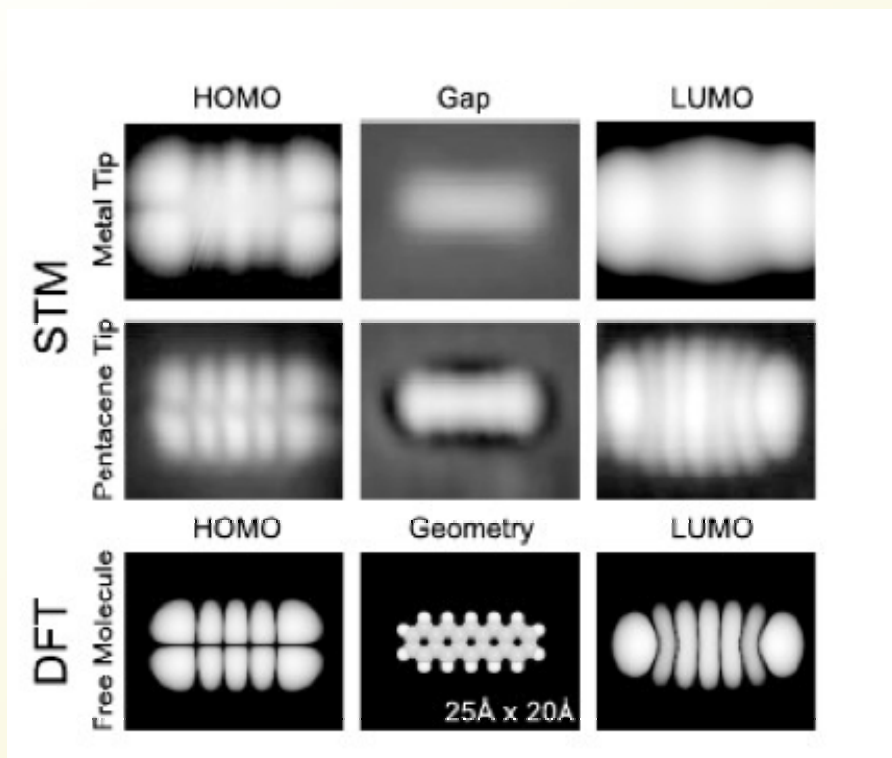
**Weak tip-molecule tunnelling coupling**  
**Low molecule-substrate hybridization**



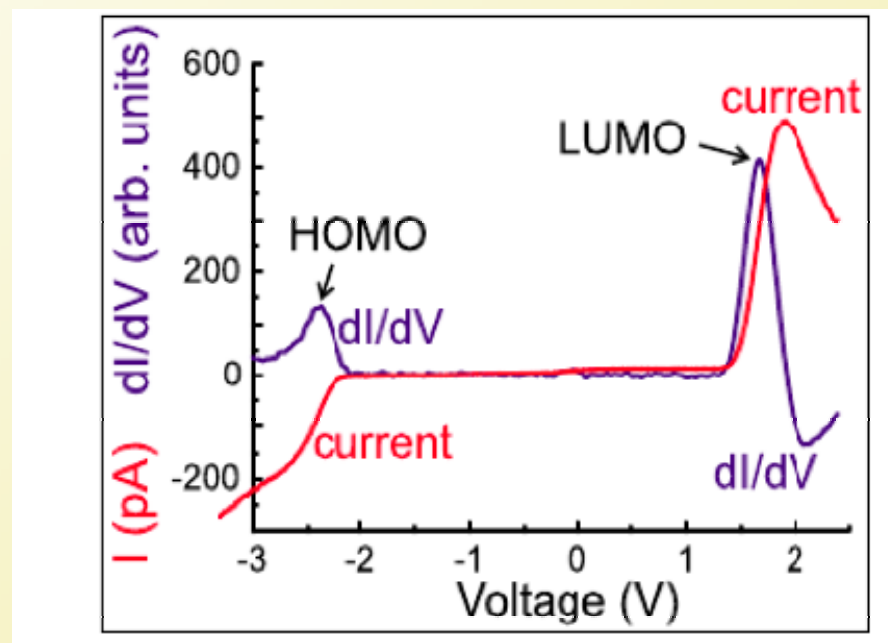
**sequential tunnelling**

# Visualization of molecular orbitals

## Topography

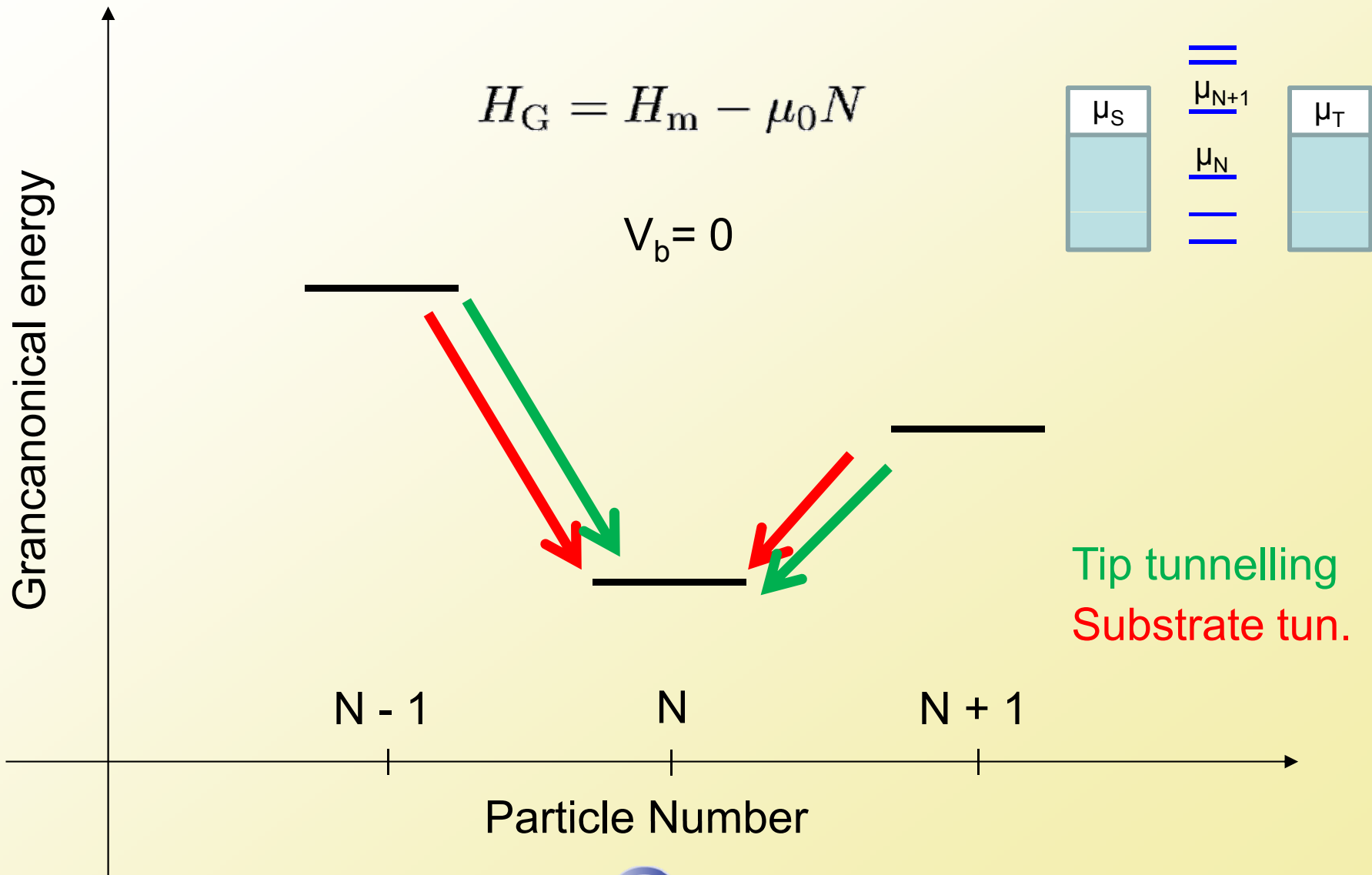


## Spectroscopy

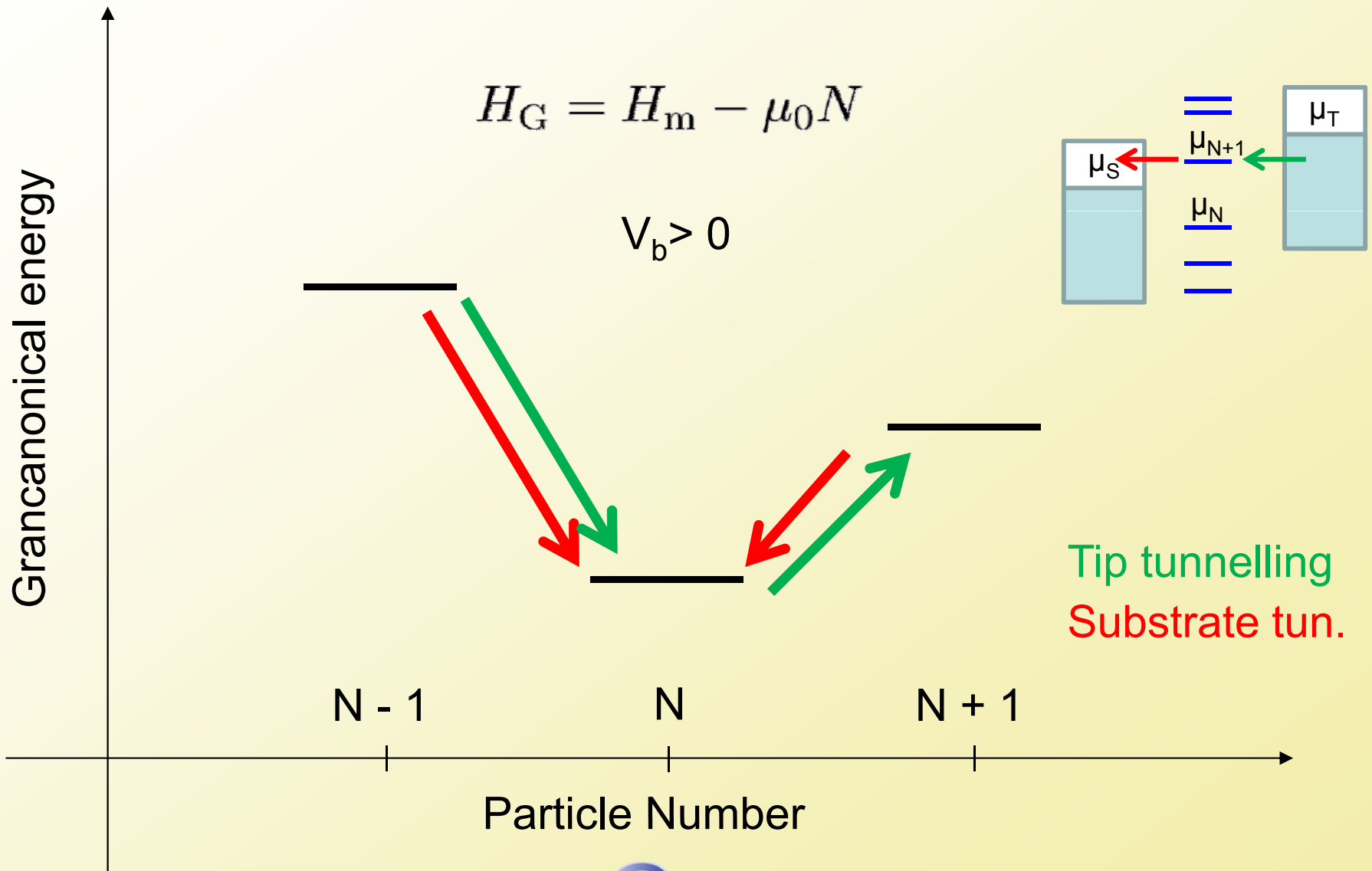


J. Repp and G. Meyer, Physical Review Letters **94**, 026803 (2005)

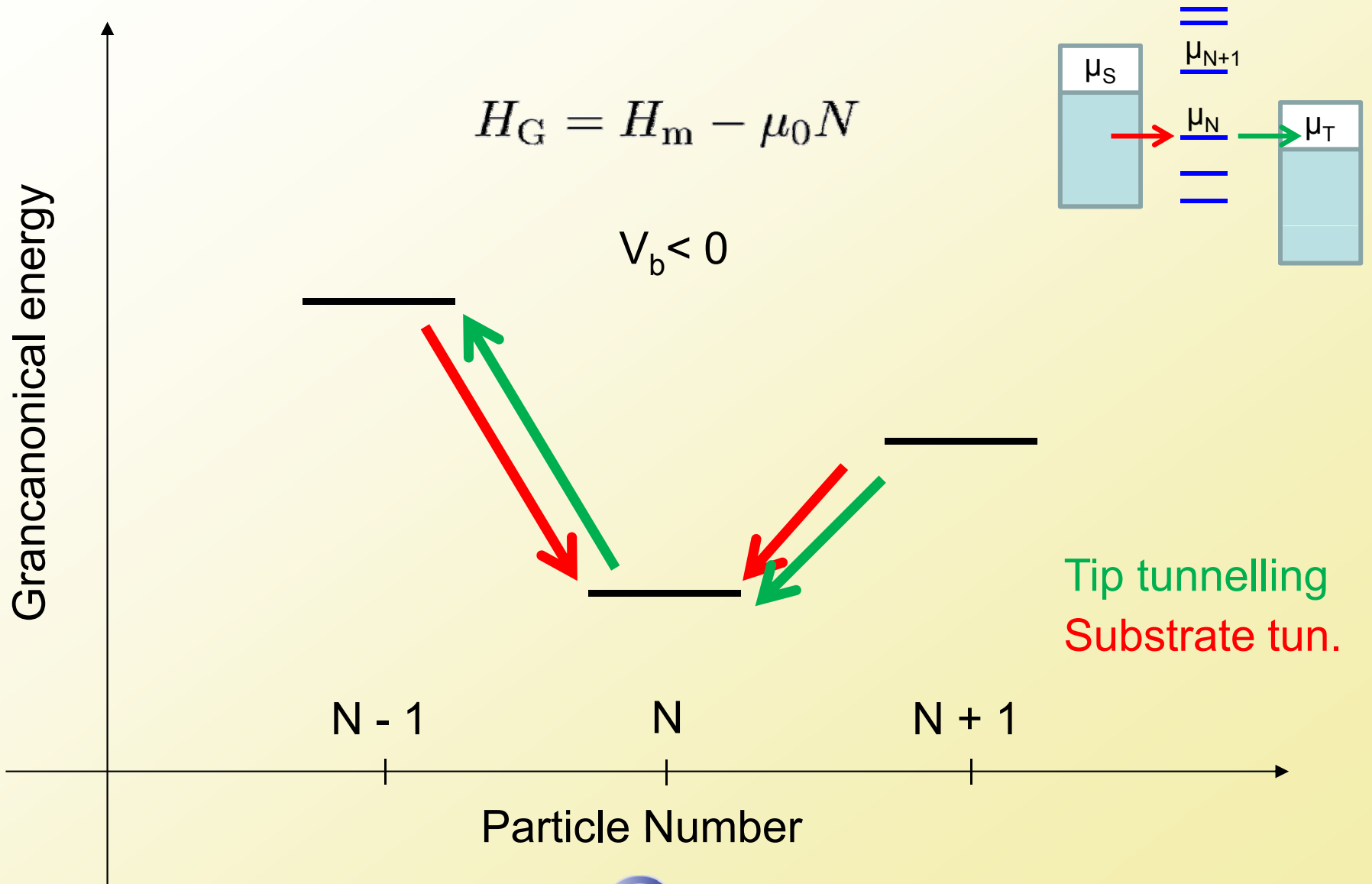
# Dynamics in energy space



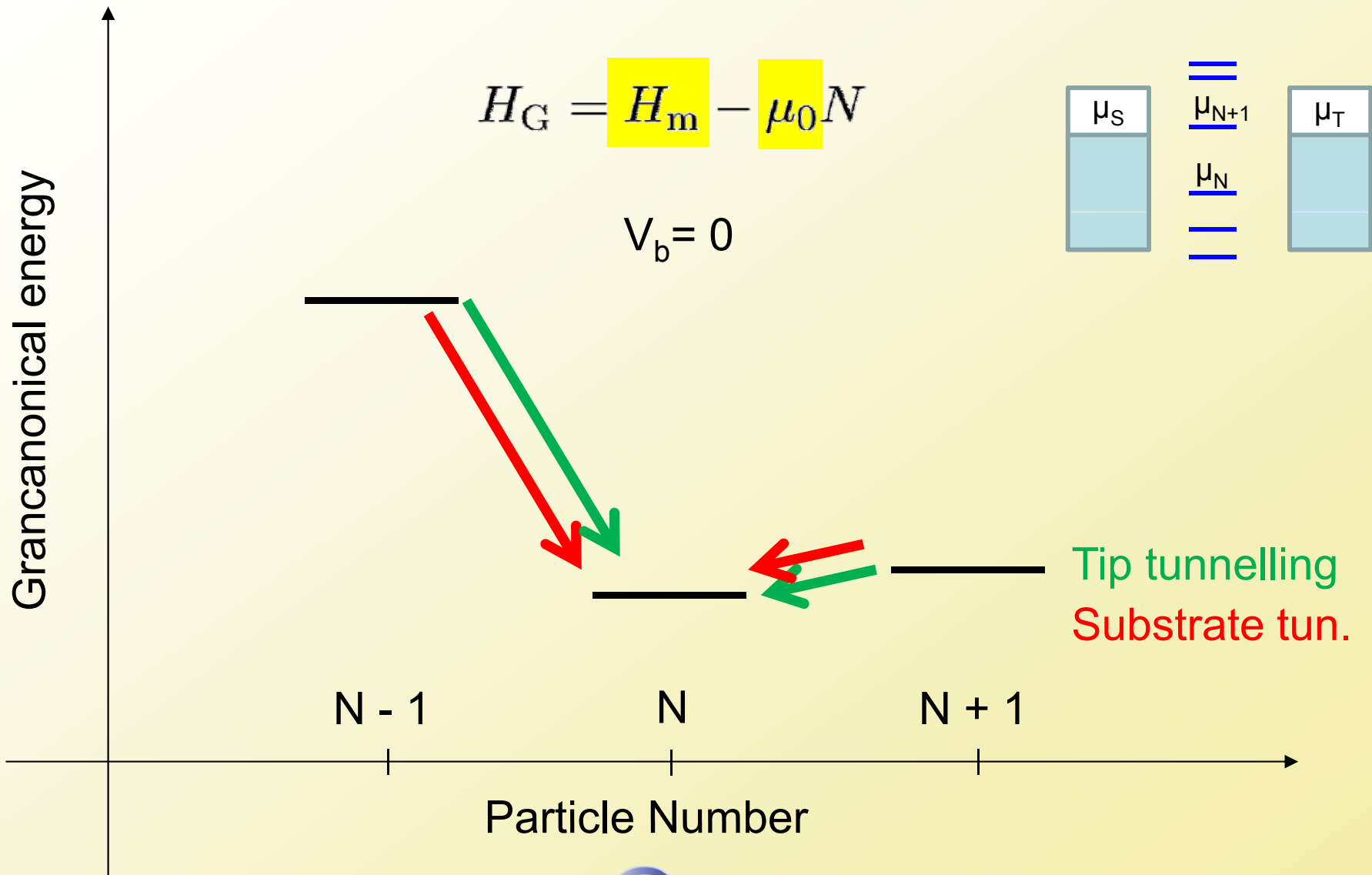
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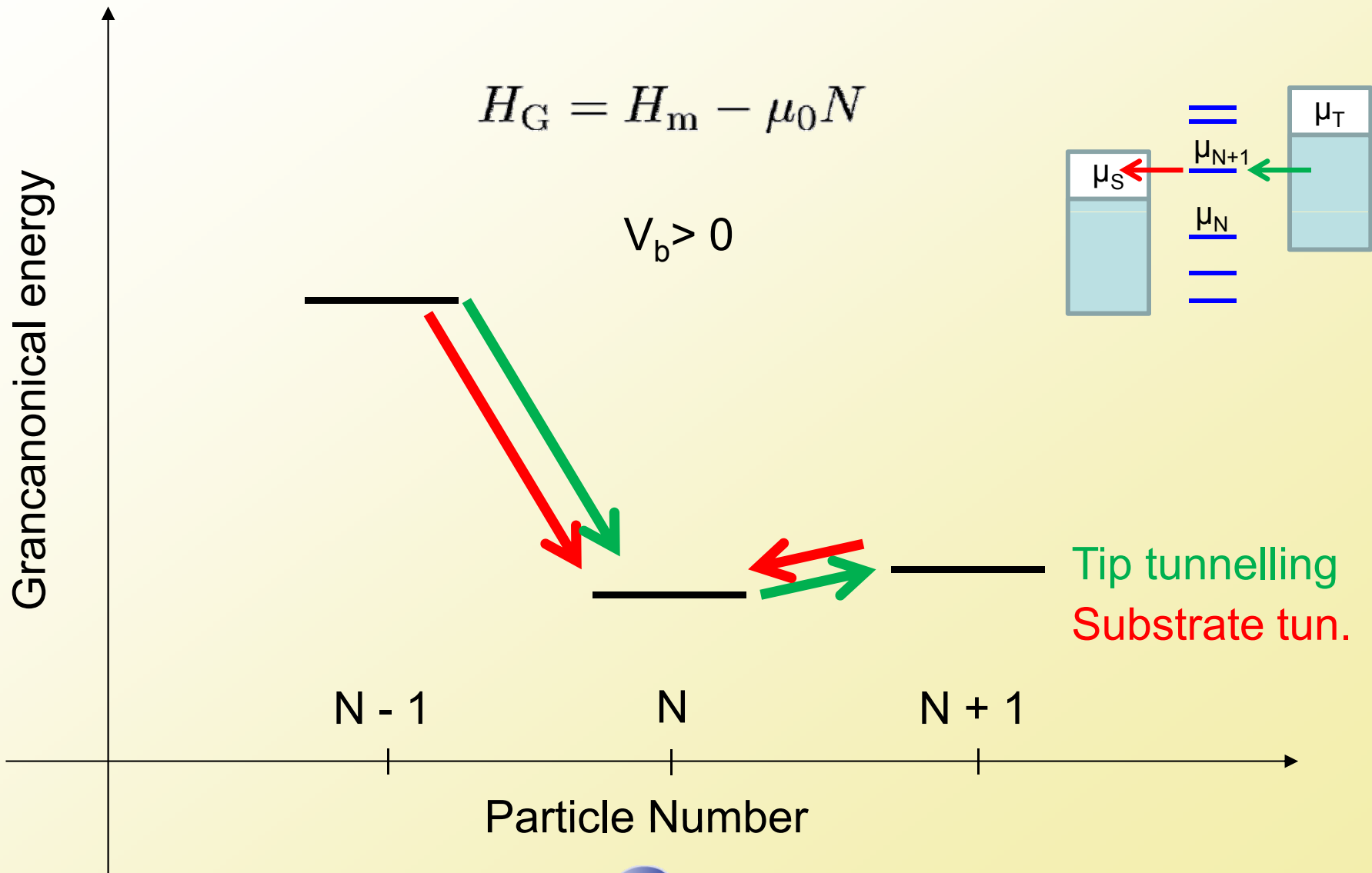
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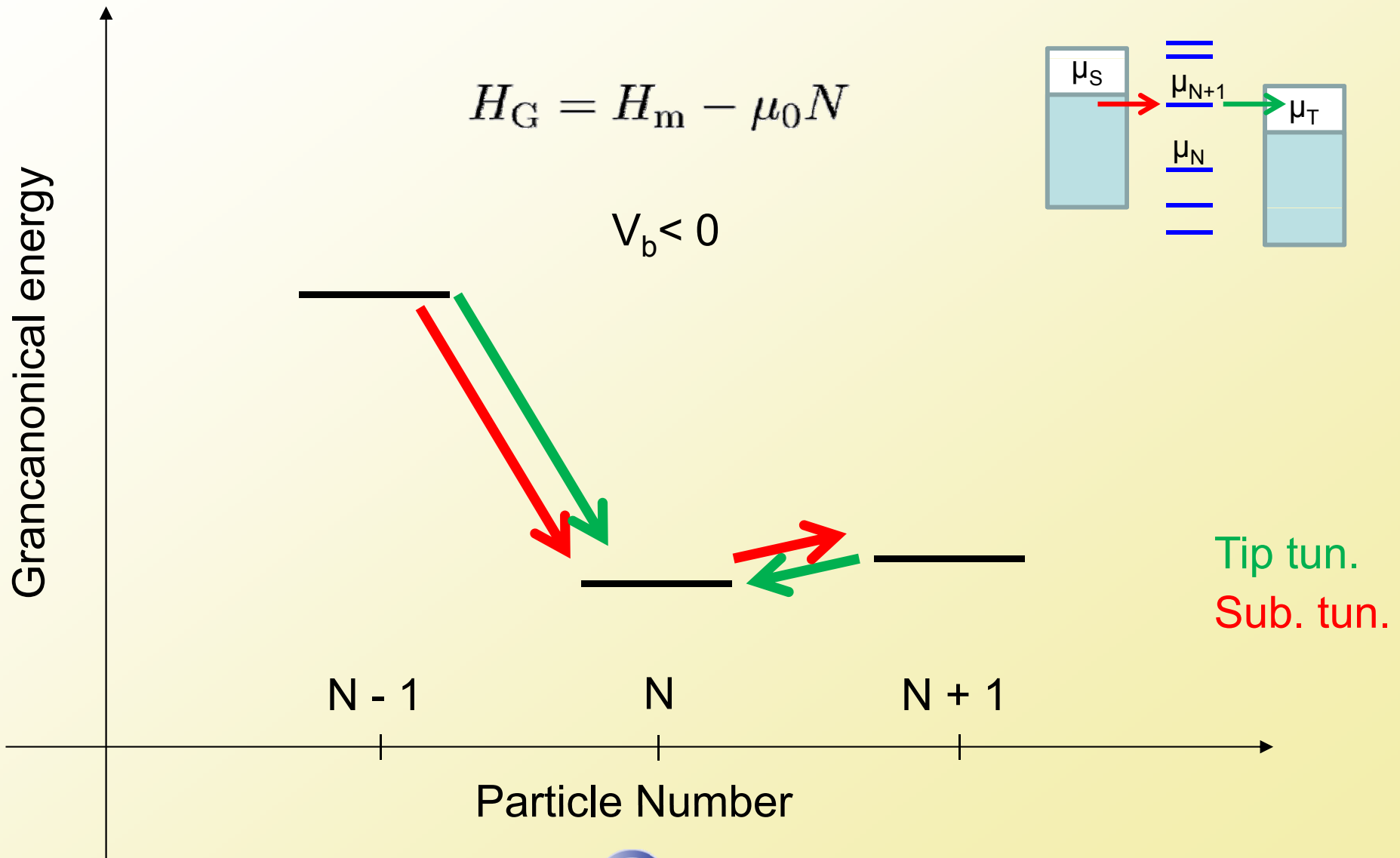


# Dynamics in energy space

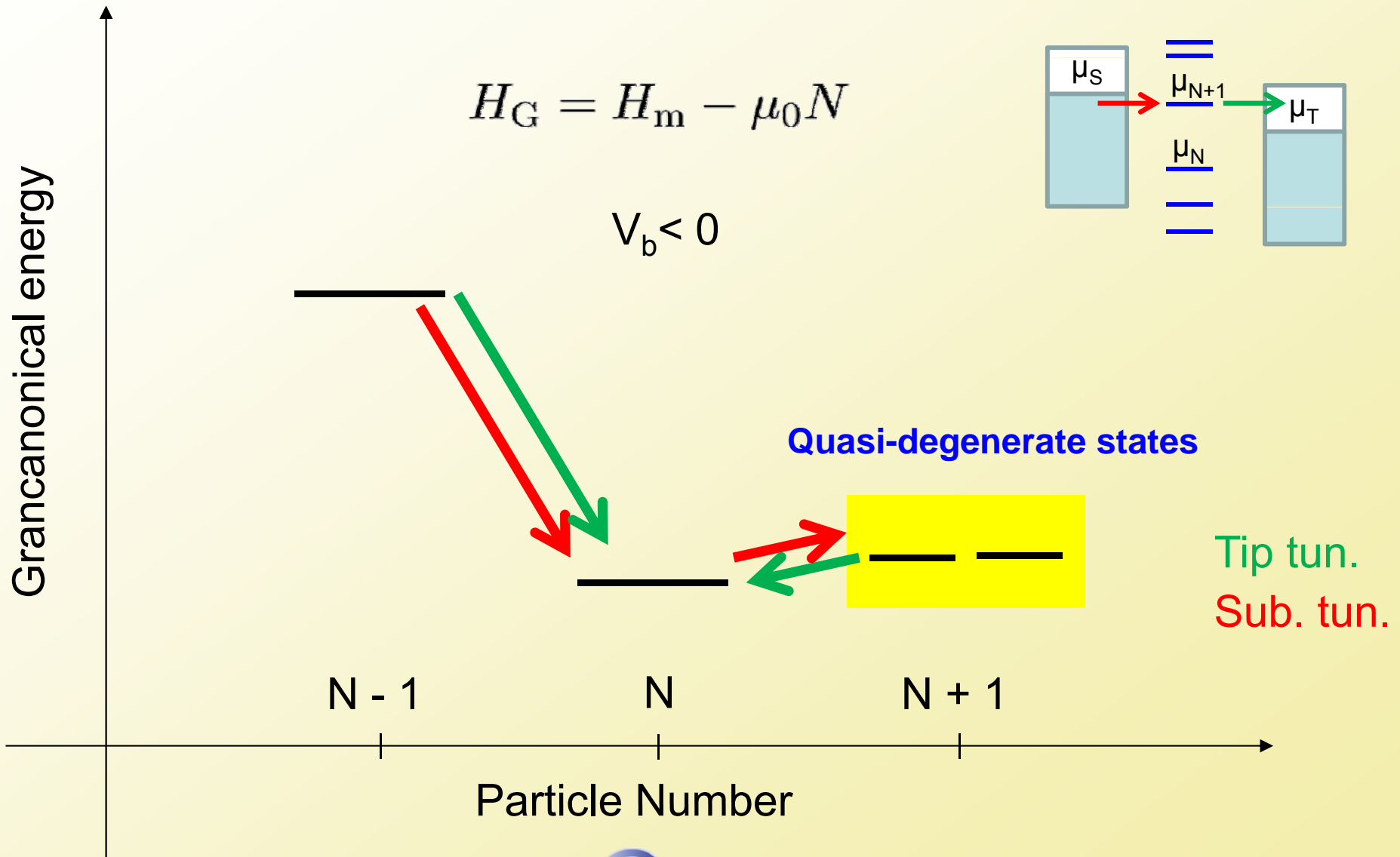




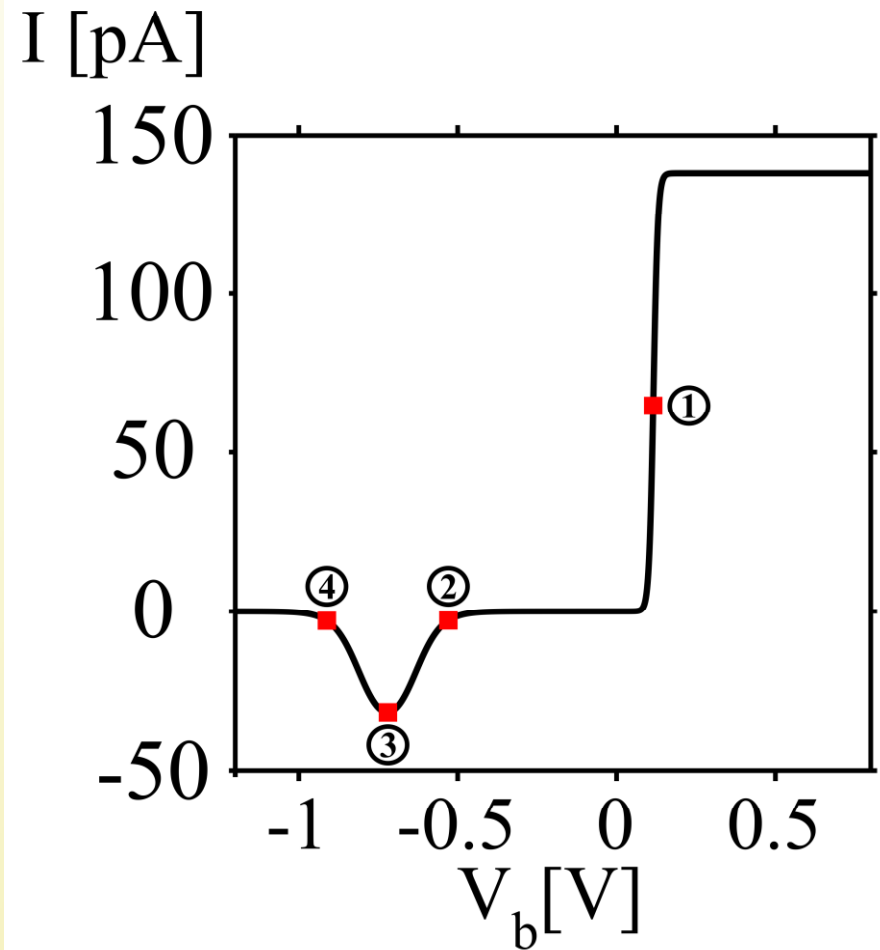
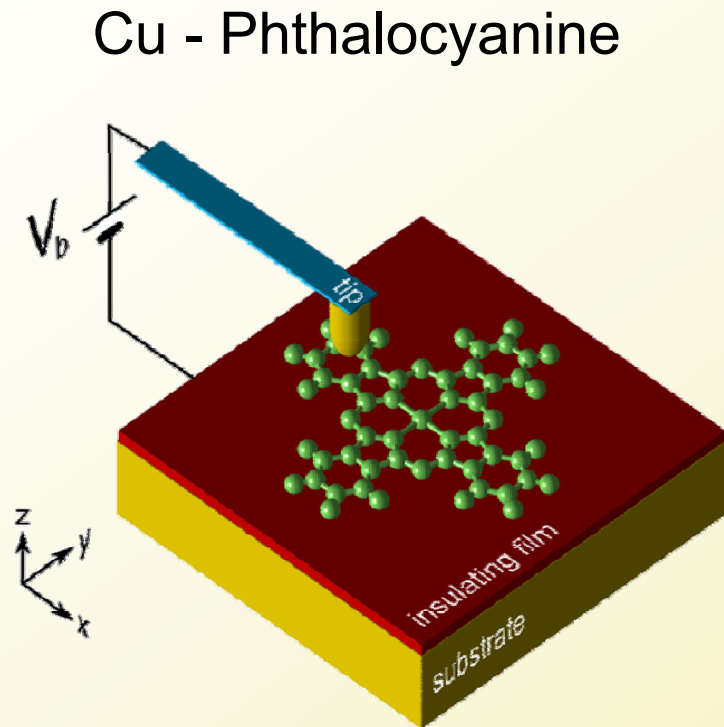
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# Dynamics in energy space

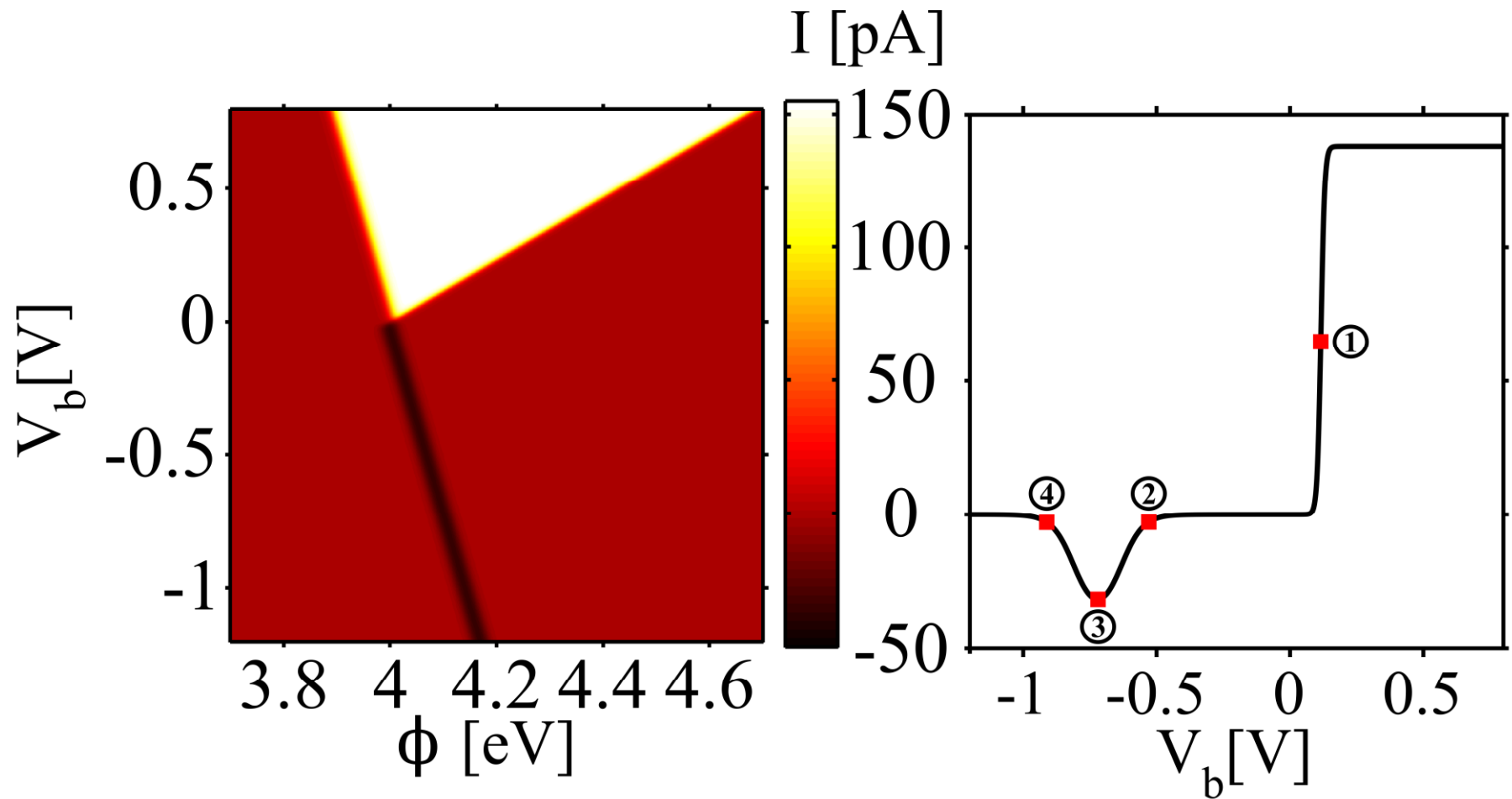


# Interference blocking



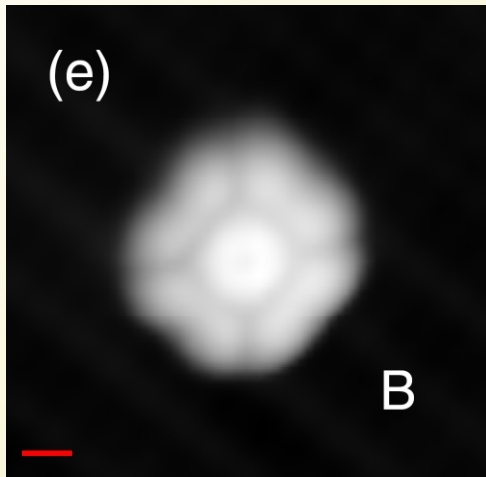
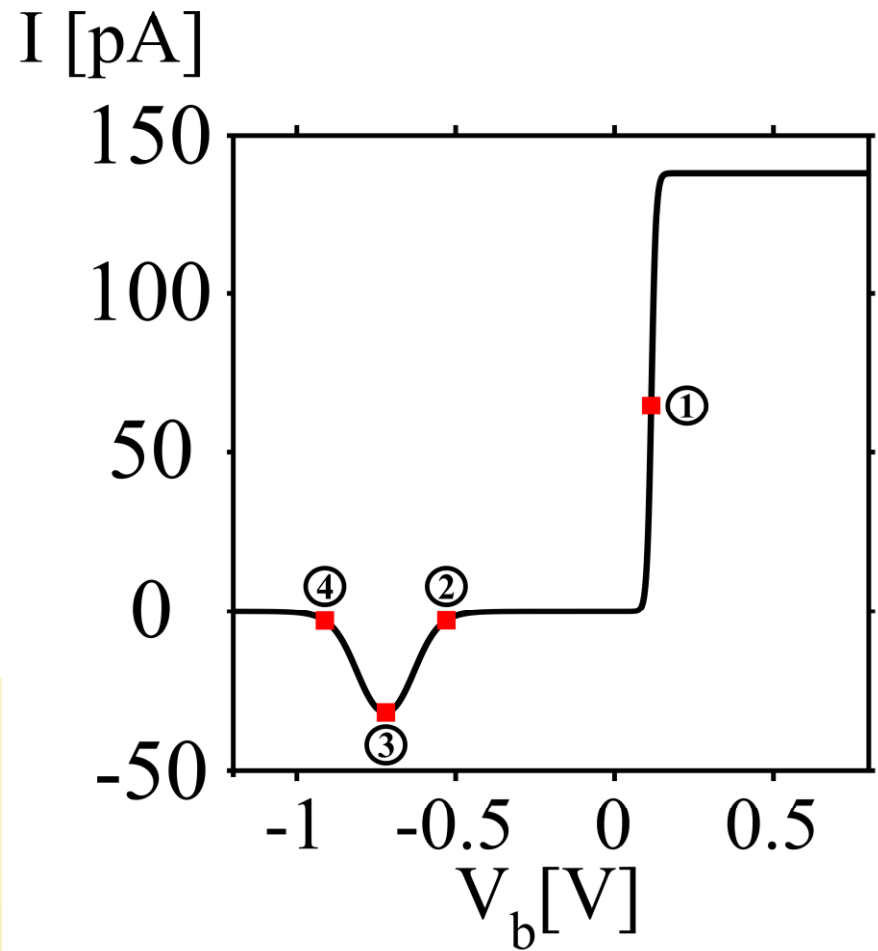
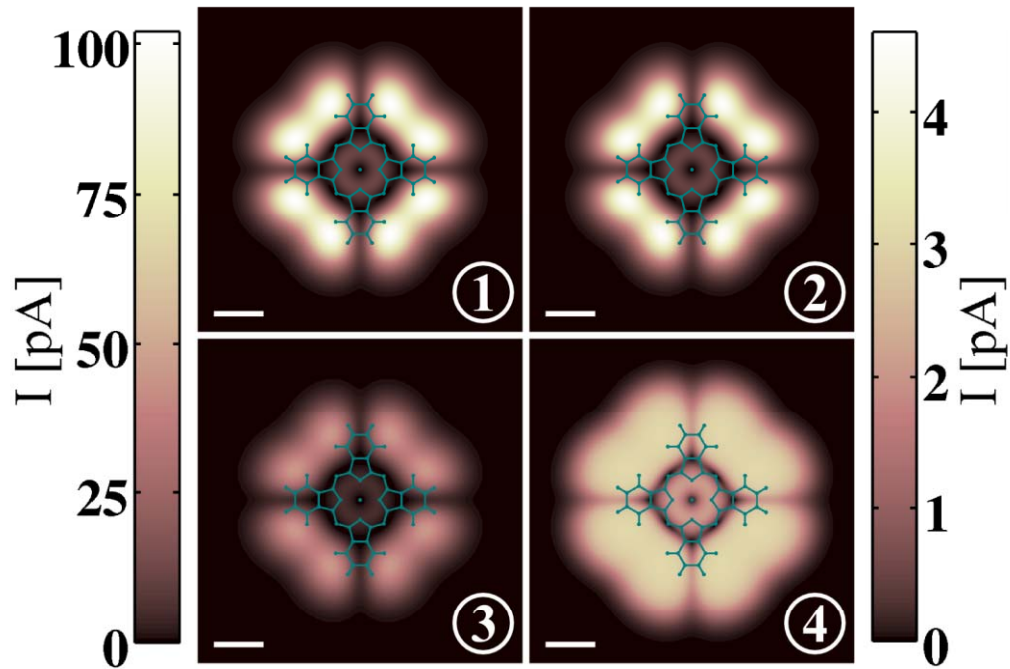
Donarini, Sobczyk, Siegert, and Grifoni [arXiv:1206.2664](https://arxiv.org/abs/1206.2664) (2012)

# Interference blocking



Donarini, Sobczyk, Siegert, and Grifoni [arXiv:1206.2664](https://arxiv.org/abs/1206.2664) (2012)

# Topographical fingerprint



Experiment:  
Cu-Pc on t  
wo-atomic-layer NaBr  
  
W. Ho et al.  
PRL 100, 126807 (2008)

Donarini, Sobczyk, Siegert, and Grifoni  
arXiv:1206.2664 (2012)

# Interference blocking

Necessary conditions:

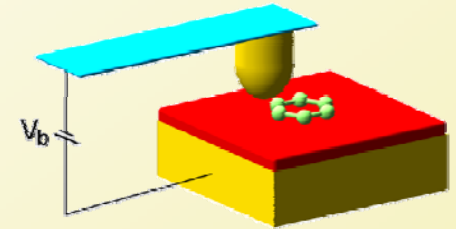
1. **Quasi-degeneracy** of the anionic ground state (e.g. Due to rotational symmetry);
2. **Electron affinity** approximately **equals** the (effective) substrate **work function**.

Fingerprints:

1. Strong **negative differential conductance** at **negative sample biases**.
2. **Flattening** of the **constant height current images** in the vicinity of the interference blockade regime.

# The total Hamiltonian

$$H = H_m + H_{\text{sub}} + H_{\text{tip}} + H_{\text{tun}}$$



$$H_m = \underbrace{\sum_{\alpha\sigma} a_{\alpha} d_{\alpha\sigma}^{\dagger} d_{\alpha\sigma}}_{\text{On-site}} + \underbrace{\sum_{\alpha \neq \beta\sigma} b_{\alpha\beta} d_{\alpha\sigma}^{\dagger} d_{\beta\sigma}}_{\text{Hopping}} + \underbrace{V_{e-e}}_{\text{electron-electron interaction}}$$

$$H_{\text{sub}} = \sum_{\vec{k}\sigma} \epsilon_{\vec{k}}^S c_{S\vec{k}\sigma}^{\dagger} c_{S\vec{k}\sigma} \quad \epsilon_{\vec{k}}^S = \epsilon_0^S + \frac{\hbar^2 |\vec{k}|^2}{2m} \quad \text{No confinement in the x-y directions}$$

$$H_{\text{tip}} = \sum_{k_z\sigma} \epsilon_{k_z}^T c_{Tk_z\sigma}^{\dagger} c_{Tk_z\sigma} \quad \epsilon_{k_z}^T = \epsilon_0^T + \hbar\omega + \frac{\hbar^2 k_z^2}{2m} \quad \text{Parabolic confinement in the x-y directions}$$

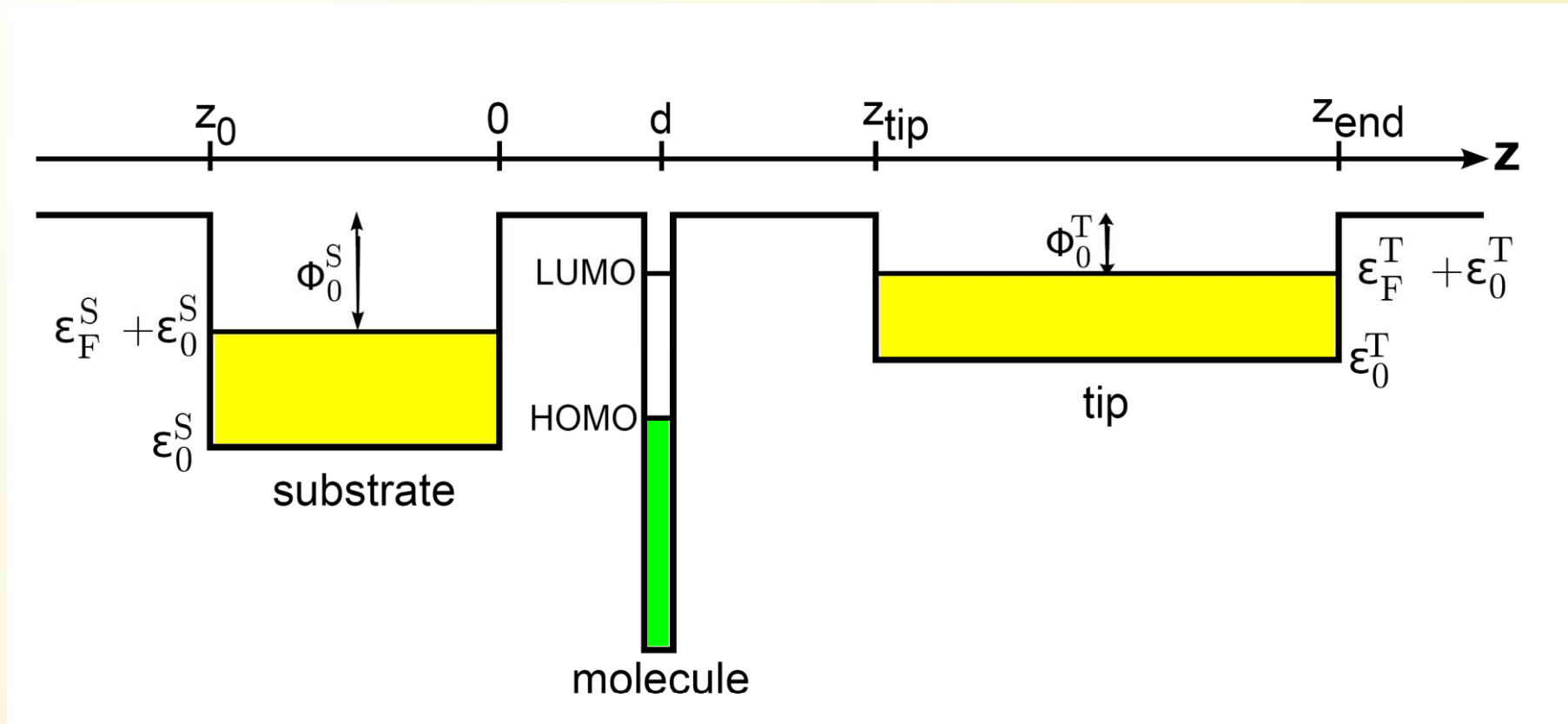
$$H_{\text{tun}} = \sum_{\chi k i\sigma} t_{ki}^{\chi} c_{\chi k\sigma}^{\dagger} d_{i\sigma} + h.c. \quad \text{It is a single particle operator}$$

Molecular orbital

# Tunnelling amplitudes

$$t_{ki}^{\chi} := \langle \chi k \sigma | h | i \sigma \rangle$$

$$h = \frac{p^2}{2m} + v_m + v_{\text{sub}} + v_{\text{tip}}$$





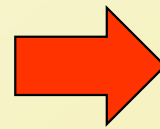
# Tunnelling amplitudes (ii)

$$t_{ki}^{\chi} = \langle \chi k \sigma | \frac{p^2}{2m} + v_m | i \sigma \rangle + \langle \chi k \sigma | v_{\text{sub}} + v_{\text{tip}} | i \sigma \rangle$$

$$= \varepsilon_i \langle \chi k \sigma | i \sigma \rangle = \varepsilon_i \sum_{\alpha} \langle \chi k \sigma | \alpha \sigma \rangle \langle \alpha \sigma | i \sigma \rangle$$

Valence atomic orbitals  
larger in the leads than  
in the molecule

More perpendicular nodal planes  
in the molecule than in the leads



$$\psi_{\chi k}(\vec{r}) \phi_i(\vec{r})$$

Is shifted towards  
the molecule

# Tunnelling amplitudes (iii)

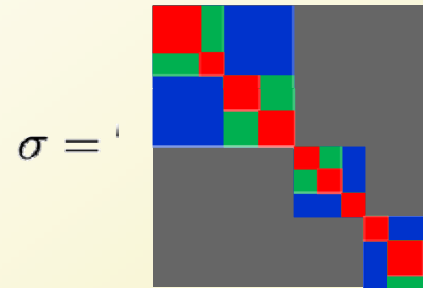
$$t_{ki}^S = \varepsilon_i \underbrace{\sum_{\alpha} e^{-i\vec{k}_{\parallel} \cdot \vec{R}_{\alpha}} O_S(\vec{k})}_{\text{Coherent superposition}} \underbrace{\langle \alpha\sigma | i\sigma \rangle}_{\text{Molecular to atomic basis}}$$

$$t_{ki}^T = \varepsilon_i \sum_{\alpha} O_T(k_z, \vec{R}_{\text{tip}} - \vec{R}_{\alpha}) \langle \alpha\sigma | i\sigma \rangle$$

# Generalized Master Equation

- We start with the **Liouville** equation:  $\dot{\rho} = -\frac{i}{\hbar}[H, \rho]$

- We define the reduced density matrix  $\sigma = \text{Tr}_{S+T}\{\rho\}$  which is **block-diagonal** in



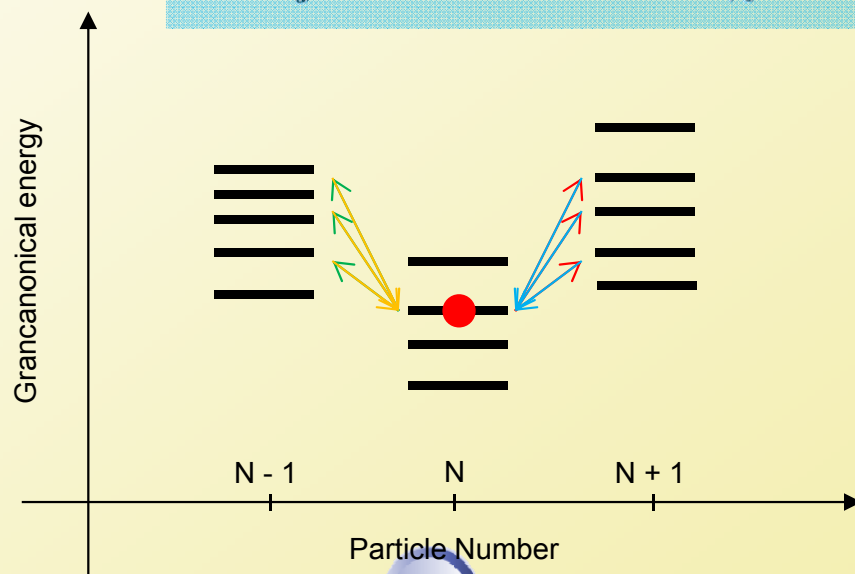
particle number  
spin  
energy

- We keep the coherences between **orbitally** degenerate states.
- The **Generalized Master Equation** is the equation of motion for  $\sigma$ :

$$\dot{\sigma} = \underbrace{-\frac{i}{\hbar}[H_m, \sigma]}_{\text{Coherent dynamics}} - \underbrace{\frac{i}{\hbar}[H_{\text{eff}}, \sigma]}_{\text{Effective internal dynamics}} + \underbrace{\mathcal{L}_{\text{tun}}\sigma}_{\text{Tunnelling dynamics}} := \mathcal{L}\sigma$$

# Tunnelling Liouvillean

$$\begin{aligned}
 \mathcal{L}_{\text{tun}} \sigma^{NE} = & -\frac{1}{2} \sum_{\chi\tau} \sum_{ij} \left\{ \mathcal{P}_{NE} \left[ d_{i\tau}^\dagger \Gamma_{ij}^\chi (E - H_m) f_\chi^-(E - H_m) d_{j\tau} + \right. \right. \\
 & \left. \left. + d_{j\tau} \Gamma_{ij}^\chi (H_m - E) f_\chi^+(H_m - E) d_{i\tau}^\dagger \right] \sigma^{NE} + h.c. \right\} \\
 & + \sum_{\chi\tau} \sum_{ijE'} \mathcal{P}_{NE} \left[ d_{i\tau}^\dagger \Gamma_{ij}^\chi (E - E') \sigma^{N-1E'} f_\chi^+(E - E') d_{j\tau} + \right. \\
 & \left. + d_{j\tau} \Gamma_{ij}^\chi (E' - E) \sigma^{N+1E'} f_\chi^-(E' - E) d_{i\tau}^\dagger \right] \mathcal{P}_{NE}
 \end{aligned}$$



# Tunnelling rate matrix

$$H_{\text{eff}} = \frac{1}{2\pi} \sum_{NE} \sum_{\chi\sigma} \sum_{ij} \mathcal{P}_{NE} \left[ d_{i\sigma}^\dagger \Gamma_{ij}^\chi (E - H_m) p_\chi (E - H_m) d_{j\sigma} \right. \\ \left. + d_{j\sigma} \Gamma_{ij}^\chi (H_m - E) p_\chi (H_m - E) d_{i\sigma}^\dagger \right] \mathcal{P}_{NE}$$

Effective  
Hamiltonian

$$I_\chi = \sum_{NE\sigma ij} \mathcal{P}_{NE} \left[ d_{j\sigma} \Gamma_{ij}^\chi (H_m - E) f_\chi^+ (H_m - E) d_{i\sigma}^\dagger \right. \\ \left. - d_{i\sigma}^\dagger \Gamma_{ij}^\chi (E - H_m) f_\chi^- (E - H_m) d_{j\sigma} \right] \mathcal{P}_{NE}$$

Current  
operator

$$\Gamma_{ij}^\chi(\Delta E) = \frac{2\pi}{\hbar} \sum_k (t_{ki}^\chi)^* t_{kj}^\chi \delta(\varepsilon_k^\chi - \Delta E)$$

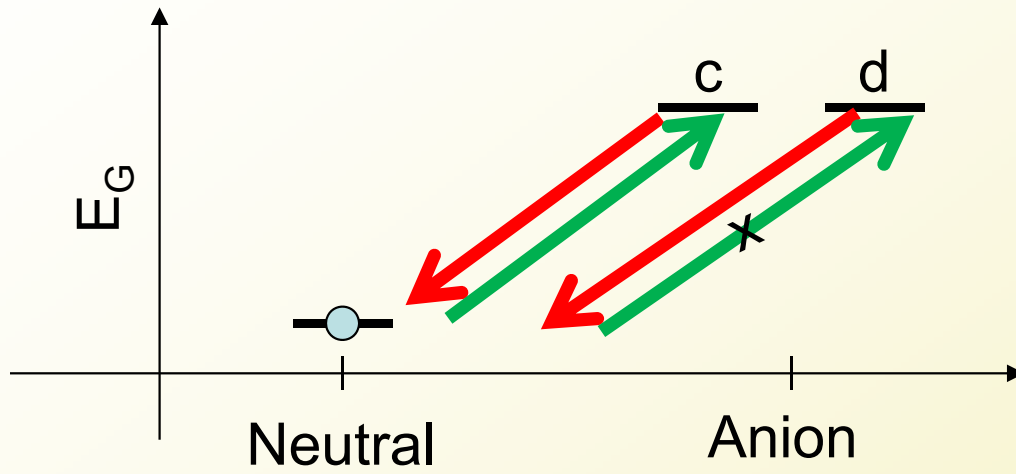
# Interference: decoupling basis

Let us concentrate on the Neutral  $\leftrightarrow$  Anion transition (Neglecting spin) of a Cu-Phthalocyanine molecule (rotationally symmetric)

	Angular momentum basis	Decoupling basis
Tip	$\mathbf{\Gamma}^T = \Gamma_l^T \begin{pmatrix} 1 & e^{-2i\phi_l} \\ e^{+2i\phi_l} & 1 \end{pmatrix}$	$\tilde{\mathbf{\Gamma}}^T = \Gamma_l^T \begin{pmatrix} 2 & 0 \\ 0 & 0 \end{pmatrix}$
Substrate	$\mathbf{\Gamma}^S = \Gamma_l^S \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\tilde{\mathbf{\Gamma}}^S = \Gamma_l^S \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

Notice that the decoupling basis depends on the position of the tip!

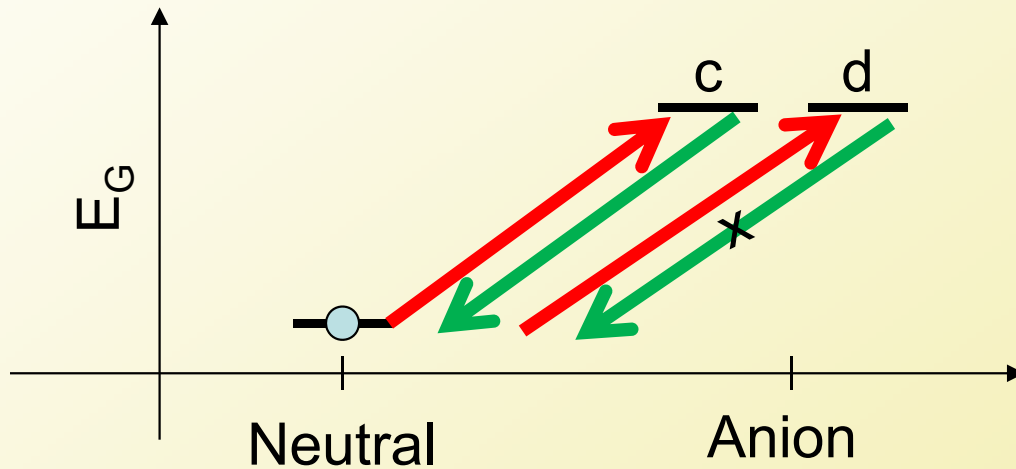
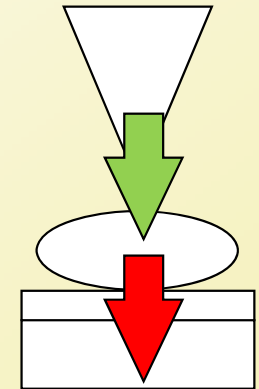
# Interference: current blocking



$$V_b > -|\Delta E_G|/ec$$



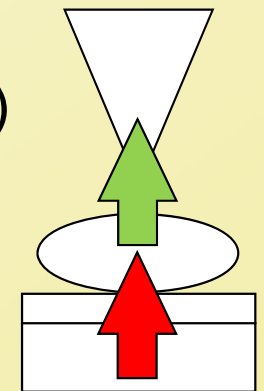
Current



$$V_b < |\Delta E_G|/e(1-c)$$



No current



$$\mu_T = \mu_0 - ceV_b$$

$$\mu_S = \mu_0 + (1-c)eV_b$$

$$c \approx 0.9$$

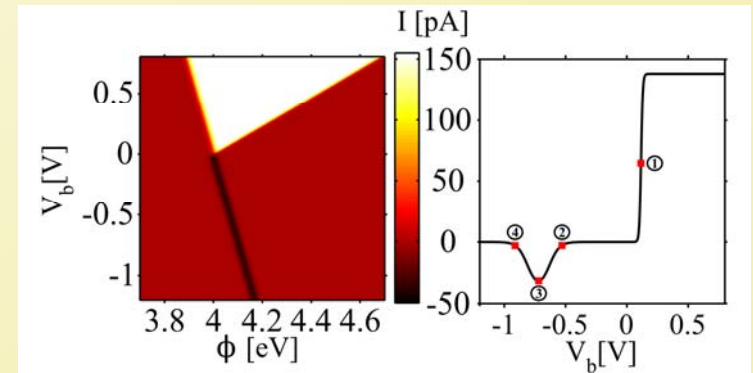
# Dynamics in a reduced space

$$\begin{pmatrix} \dot{\sigma}^N \\ \dot{\sigma}_c^{N+1\tau} \\ \dot{\sigma}_d^{N+1\tau} \end{pmatrix} = \left[ 2R^T \begin{pmatrix} -2f_T^+ & 2f_T^- & 0 \\ f_T^+ & -f_T^- & 0 \\ 0 & 0 & 0 \end{pmatrix} + R^S \begin{pmatrix} -4f_S^+ & 2f_S^- & 2f_S^- \\ f_S^+ & -f_S^- & 0 \\ f_S^+ & 0 & -f_S^- \end{pmatrix} \right] \begin{pmatrix} \sigma^N \\ \sigma_c^{N+1\tau} \\ \sigma_d^{N+1\tau} \end{pmatrix}$$

$$I(\vec{R}_{\text{tip}}, V_b) = 2eR^S f_S^+ \sigma^N \left( 1 - \frac{\sigma_c^{N+1\tau}}{\sigma_d^{N+1\tau}} \right)$$

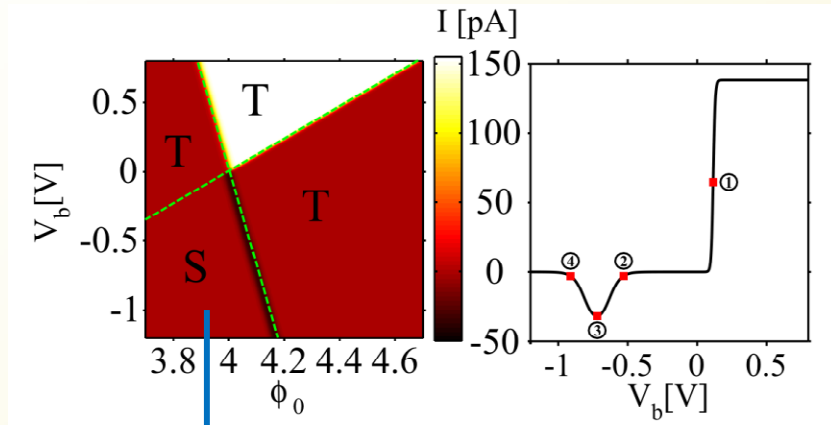
$$\sigma^N = \left( 1 + 2 \frac{R^S f_S^+ + 2R^T f_T^+}{R^S f_S^- + 2R^T f_T^-} + 2 \frac{f_S^+}{f_S^-} \right)^{-1}$$

$$\frac{\sigma_c^{N+1\tau}}{\sigma_d^{N+1\tau}} = \frac{R^S f_S^+ + 2R^T f_T^+}{R^S f_S^- + 2R^T f_T^-} \cdot \frac{f_S^-}{f_S^+}$$

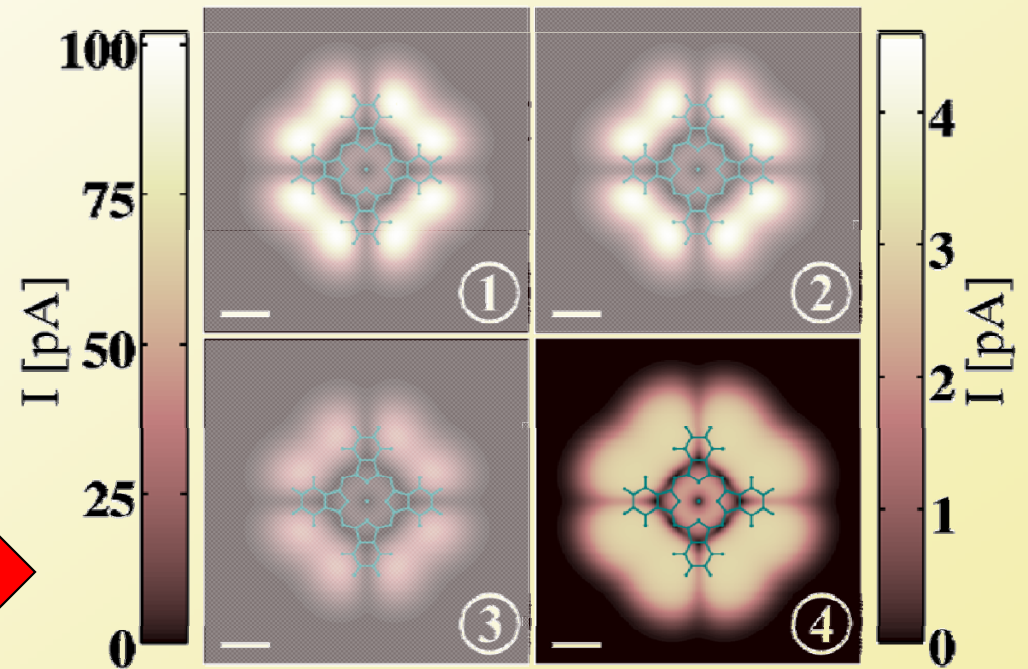
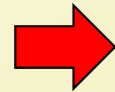




# A new bottle-neck process



$$I = R^S f_S^- \left( 1 + \frac{R^S}{2RT} \frac{f_S^-}{f_S^+} \right)^{-2}$$



The **depopulation** of the blocking state via a **substrate transition** dominates the transport

# Conclusions

- We developed a **semi-quantitative model** for the description of “weakly coupled” STM junctions with pi-conjugated molecules.
- The tunnelling dynamics is described in terms of tunnelling events connecting many-body states
- Transport through **degenerate states** is associated to **electron interference** blockade at negative sample biases.
- In the vicinity of the interference blocking regime, **flat constant height current maps** indicate that the substrate tunnelling event becomes the new bottle-neck process.

Thank you for your attention...